

Chapter 21: Aldehydes and Ketones—Nucleophilic Addition

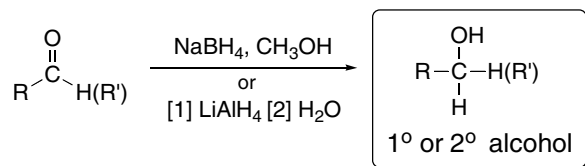
◆ General facts

- Aldehydes and ketones contain a carbonyl group bonded to only H atoms or R groups. The carbonyl carbon is sp^2 hybridized and trigonal planar (21.1).
- Aldehydes are identified by the suffix *-al*, while ketones are identified by the suffix *-one* (21.2).
- Aldehydes and ketones are polar compounds that exhibit dipole–dipole interactions (21.3).

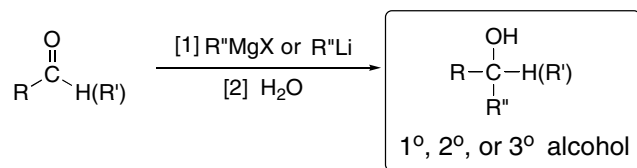
◆ Summary of spectroscopic absorptions of RCHO and R₂CO (21.4)

IR absorptions	C=O	~1715 cm ⁻¹ for ketones • increasing frequency with decreasing ring size ~1730 cm ⁻¹ for aldehydes • For both RCHO and R ₂ CO, the frequency decreases with conjugation.
	C _{sp} ² -H of CHO	~2700–2830 cm ⁻¹ (one or two peaks)
¹ H NMR absorptions	CHO	9–10 ppm (highly deshielded proton)
	C-H α to C=O	2–2.5 ppm (somewhat deshielded C _{sp} ³ -H)
¹³ C NMR absorption	C=O	190–215 ppm

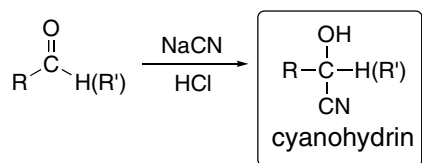
◆ Nucleophilic addition reactions

[1] Addition of hydride (H⁻) (21.8)

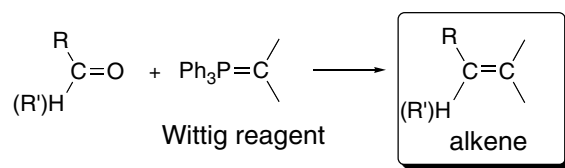
- The mechanism has two steps.
- H⁻ adds to the planar C=O from both sides.

[2] Addition of organometallic reagents (R⁻) (21.8)

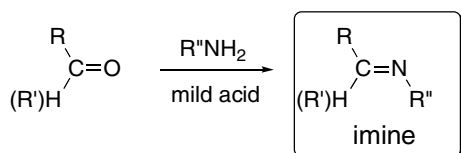
- The mechanism has two steps.
- R⁻ adds to the planar C=O from both sides.

[3] Addition of cyanide (⁻CN) (21.9)

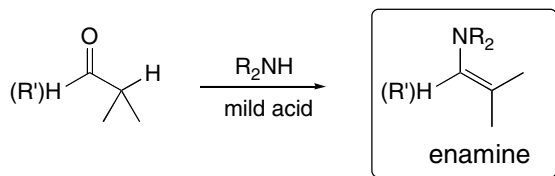
- The mechanism has two steps.
- ⁻CN adds to the planar C=O from both sides.

[4] Wittig reaction (21.10)

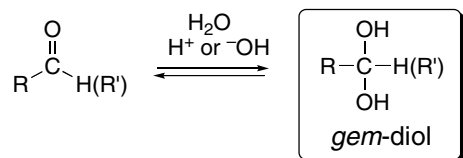
- The reaction forms a new C–C σ bond and a new C–C π bond.
- $\text{Ph}_3\text{P}=\text{O}$ is formed as by-product.

[5] Addition of 1° amines (21.11)

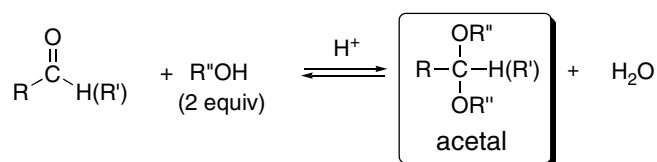
- The reaction is fastest at pH 4–5.
- The intermediate carbinolamine is unstable, and loses H_2O to form the $\text{C}=\text{N}$.

[6] Addition of 2° amines (21.12)

- The reaction is fastest at pH 4–5.
- The intermediate carbinolamine is unstable, and loses H_2O to form the $\text{C}=\text{C}$.

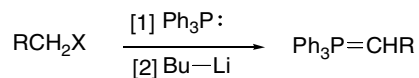
[7] Addition of H_2O —Hydration (21.13)

- The reaction is reversible. Equilibrium favors the product only with less stable carbonyl compounds (e.g., H_2CO and Cl_3CCHO).
- The reaction is catalyzed with either H^+ or ^-OH .

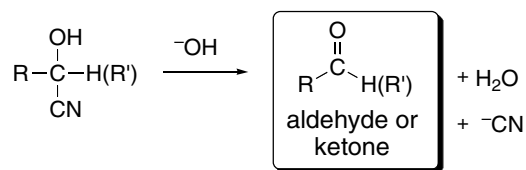
[8] Addition of alcohols (21.14)

- The reaction is reversible.
- The reaction is catalyzed with acid.
- Removal of H_2O drives the equilibrium to favor the products.

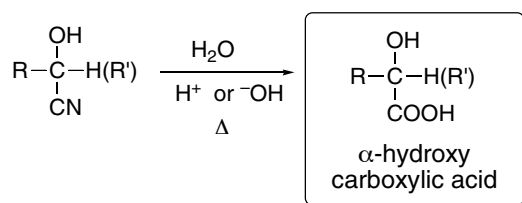
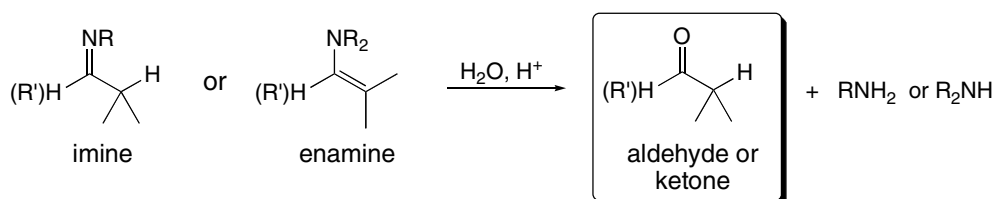
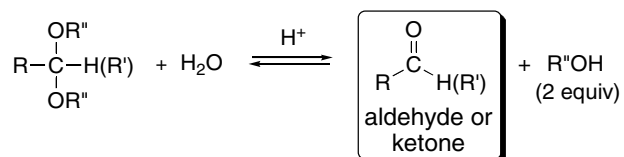
◆ Other reactions

[1] Synthesis of Wittig reagents (21.10A)

- Step [1] is best with CH_3X and RCH_2X since the reaction follows an $\text{S}_{\text{N}}2$ mechanism.
- A strong base is needed for proton removal in Step [2].

[2] Conversion of cyanohydrins to aldehydes and ketones (21.9)

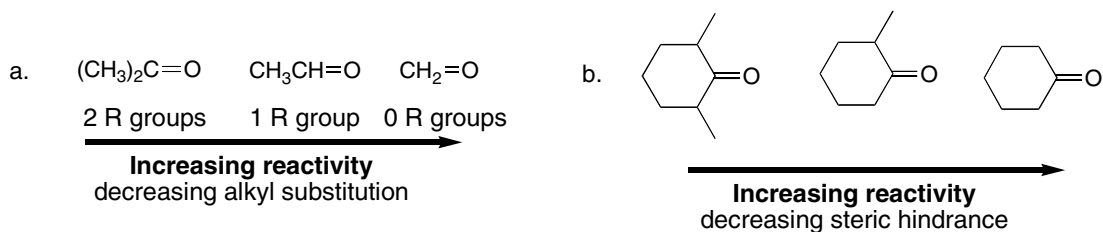
- This reaction is the reverse of cyanohydrin formation.

[3] Hydrolysis of nitriles (21.9)**[4] Hydrolysis of imines and enamines (21.12)****[5] Hydrolysis of acetals (21.14)**

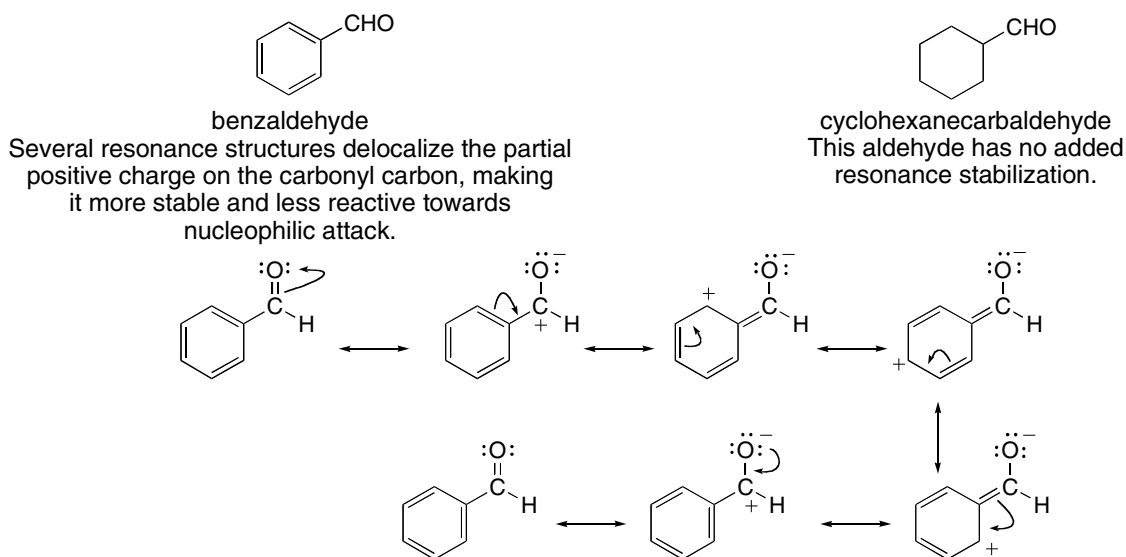
- The reaction is acid catalyzed and is the reverse of acetal synthesis.
- A large excess of H_2O drives the equilibrium to favor the products.

Chapter 21: Answers to Problems

21.1 As the number of R groups bonded to the carbonyl C increases, reactivity towards nucleophilic attack decreases.

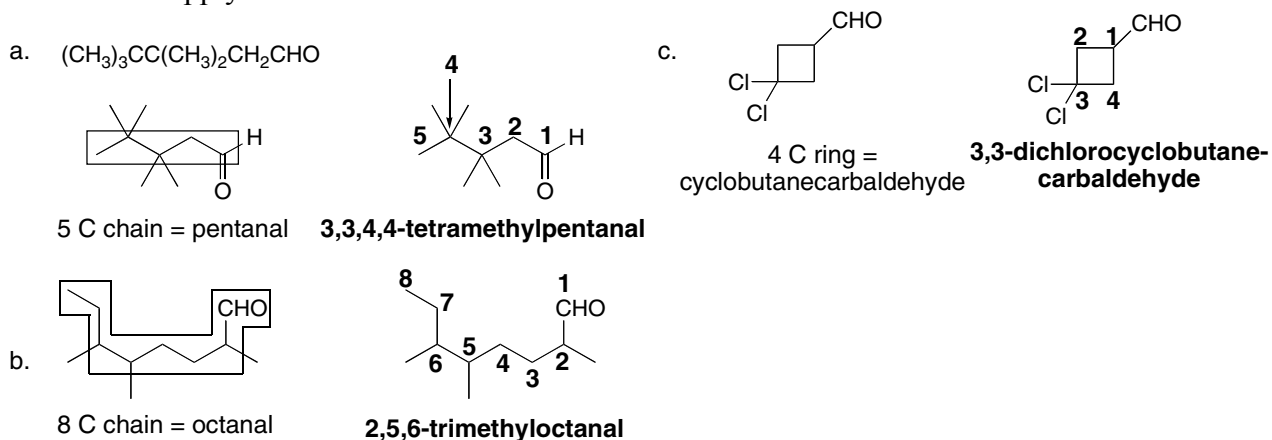


21.2 More stable aldehydes are less reactive towards nucleophilic attack.



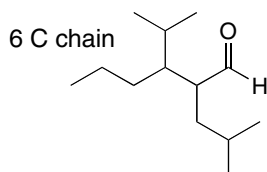
21.3

- To name an aldehyde with a chain of atoms: [1] Find the longest chain with the CHO group and change the *-e* ending to *-al*. [2] Number the carbon chain to put the CHO at C1, but omit this number from the name. Apply all other nomenclature rules.
- To name an aldehyde with the CHO bonded to a ring: [1] Name the ring and add the suffix *-carbaldehyde*. [2] Number the ring to put the CHO group at C1, but omit this number from the name. Apply all other nomenclature rules.

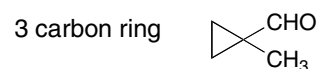


21.4 Work backwards from the name to the structure, referring to the nomenclature rules in Answer 21.3.

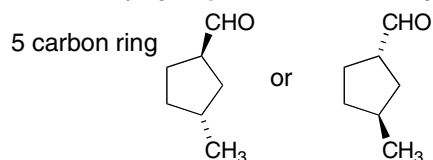
a. 2-isobutyl-3-isopropylhexanal



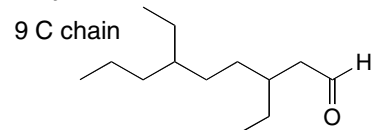
c. 1-methylcyclopropanecarbaldehyde



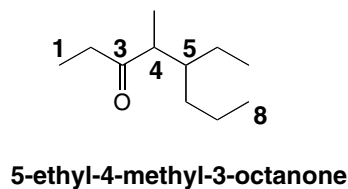
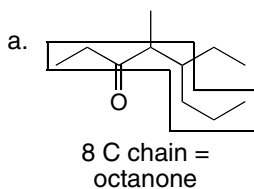
b. *trans*-3-methylcyclopentanecarbaldehyde



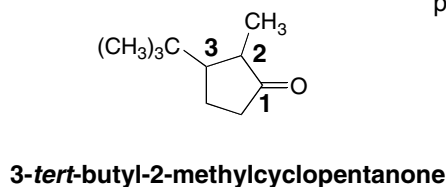
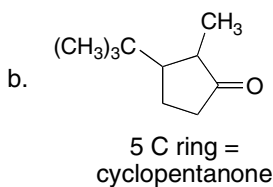
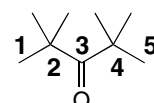
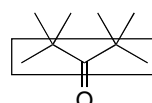
d. 3,6-diethylnonanal



- 21.5**
- To name an acyclic ketone: [1] Find the longest chain with the carbonyl group and change the *-e* ending to *-one*. [2] Number the carbon chain to give the carbonyl C the lower number. Apply all other nomenclature rules.
 - To name a cyclic ketone: [1] Name the ring and change the *-e* ending to *-one*. [2] Number the C's to put the carbonyl C at C1 and give the next substituent the lower number. Apply all other nomenclature rules.

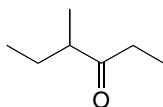


c. $(\text{CH}_3)_3\text{CCOC}(\text{CH}_3)_3$

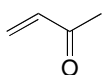


21.6 Most common names are formed by naming both alkyl groups on the carbonyl C, arranging them alphabetically, and adding the word ketone.

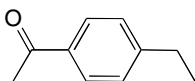
a. *sec*-butyl ethyl ketone



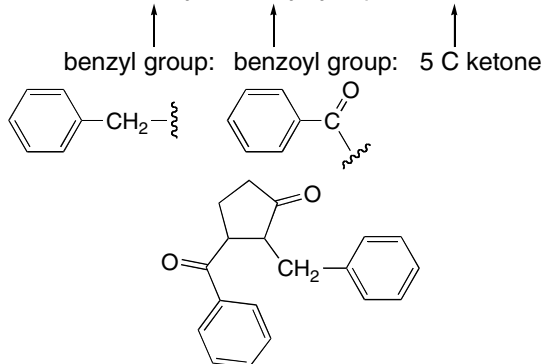
b. methyl vinyl ketone



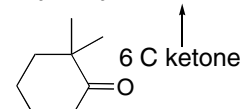
c. *p*-ethylacetophenone



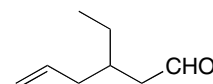
d. 3-benzoyl-2-benzylcyclopentanone



e. 6,6-dimethyl-2-cyclohexenone

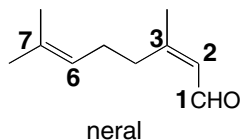


f. 3-ethyl-5-hexenal

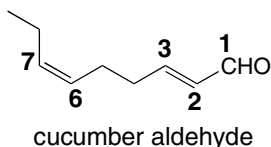


21.7 Compounds with both a C–C double bond and an aldehyde are named as enals.

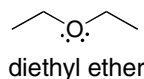
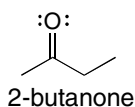
a. (2Z)-3,7-dimethyl-2,6-octadienal



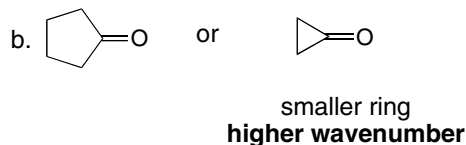
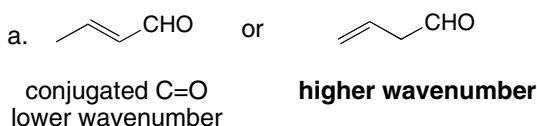
b. (2E,6Z)-2,6-nonadienal



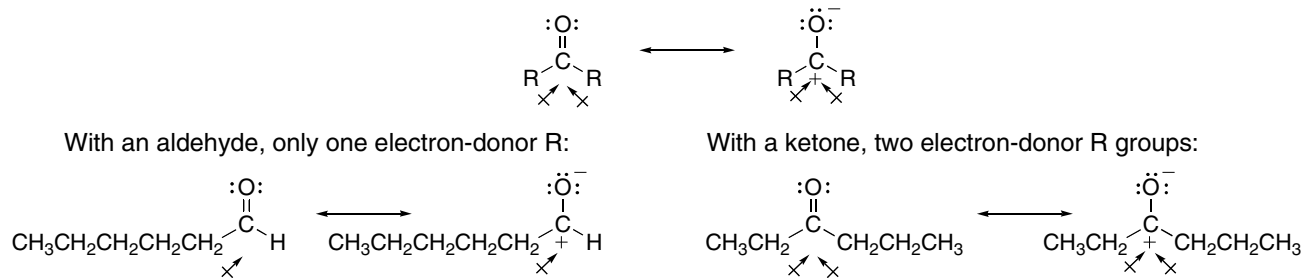
21.8 Even though both compounds have polar C–O bonds, the electron pairs around the sp^3 hybridized O atom of diethyl ether are more crowded and less able to interact with electron-deficient sites in other diethyl ether molecules. The O atom of the carbonyl group of 2-butanone extends out from the carbon chain making it less crowded. The lone pairs of electrons on the O atom can more readily interact with the electron-deficient sites in the other molecules, resulting in stronger forces.



21.9 For cyclic ketones, the carbonyl absorption shifts to higher wavenumber as the size of the ring decreases and the ring strain increases. Conjugation of the carbonyl group with a C=C or a benzene ring shifts the absorption to lower wavenumber.



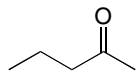
21.10 Since a charge-separated resonance structure can be drawn for a carbonyl group, more electron donor R groups stabilize the (+) charge on this resonance form. The two R groups on the ketone C=O thus help to stabilize it.



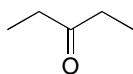
The H of RCHO does not stabilize the charge-separated resonance structure, so it contributes less to the hybrid. The C=O has more double bond character.
higher wavenumber

The 2 R groups stabilize the charge-separated resonance structure, so it contributes more to the hybrid. The C=O has more single bond character.
weaker bond
lower wavenumber

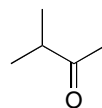
21.11 The number of lines in their ^{13}C NMR spectra can distinguish the constitutional isomers.



2-pentanone
5 lines

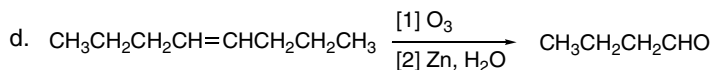
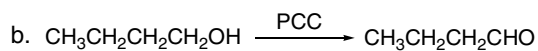
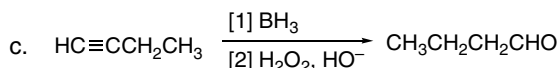
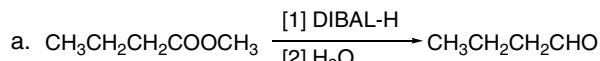


3-pentanone
3 lines

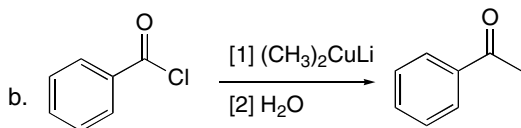
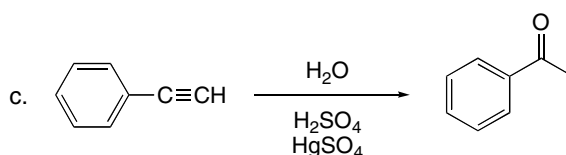
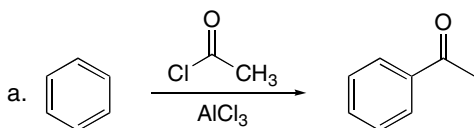


3-methyl-2-butanone
4 lines

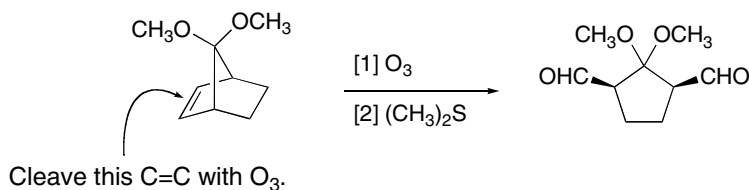
21.12



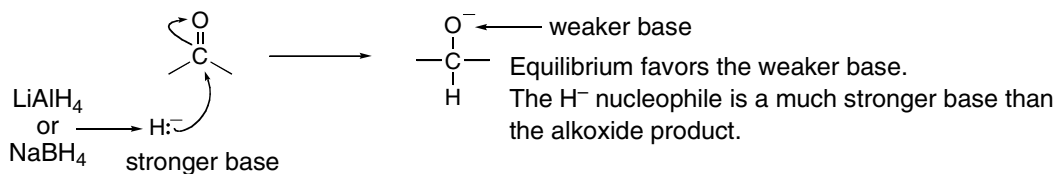
21.13



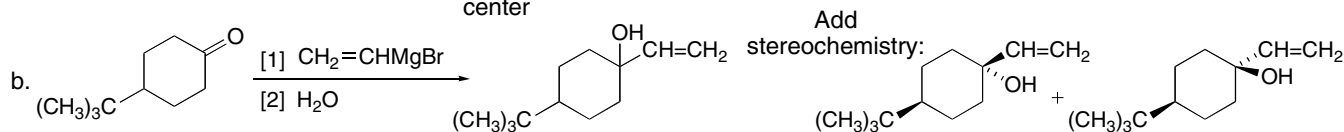
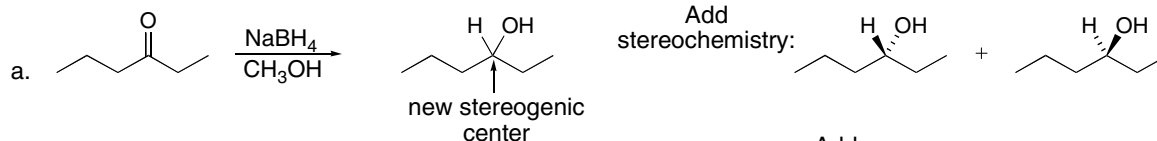
21.14



21.15



21.16 Addition of hydride or R-M occurs at a planar carbonyl C, so two different configurations at a new stereogenic center are possible.

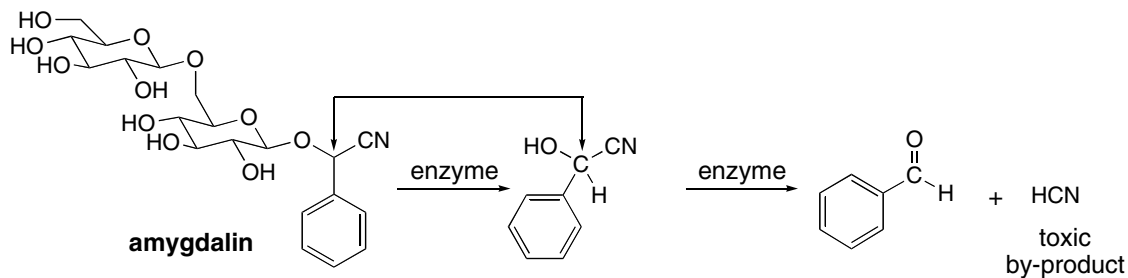


Chapter 21-8

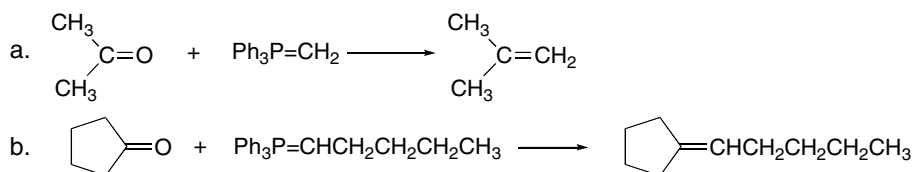
21.17 Treatment of an aldehyde or ketone with NaCN, HCl adds HCN across the double bond. Cyano groups are hydrolyzed by H_3O^+ to replace the 3 C-N bonds with 3 C-O bonds.



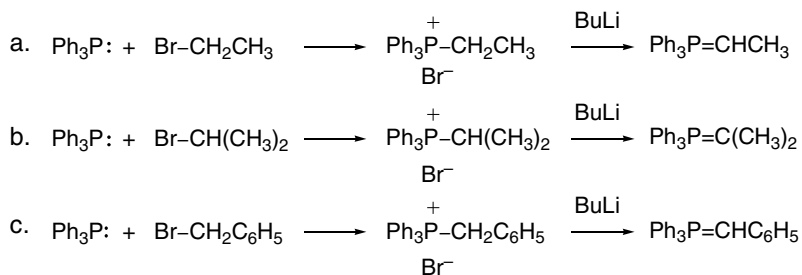
21.18



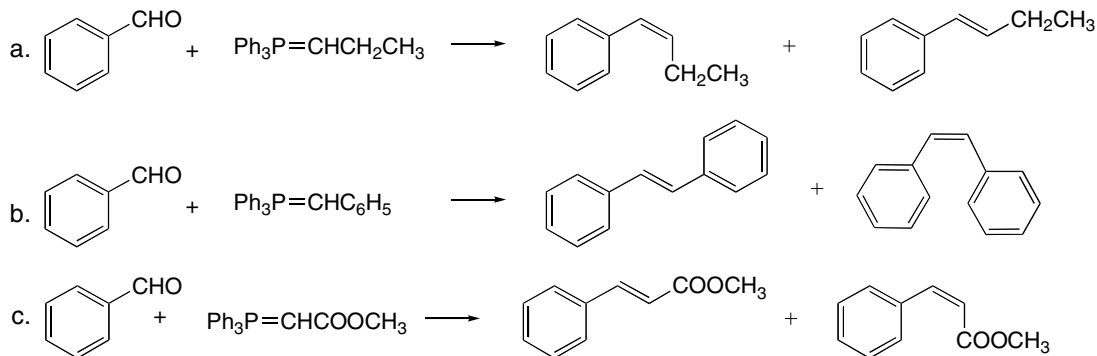
21.19



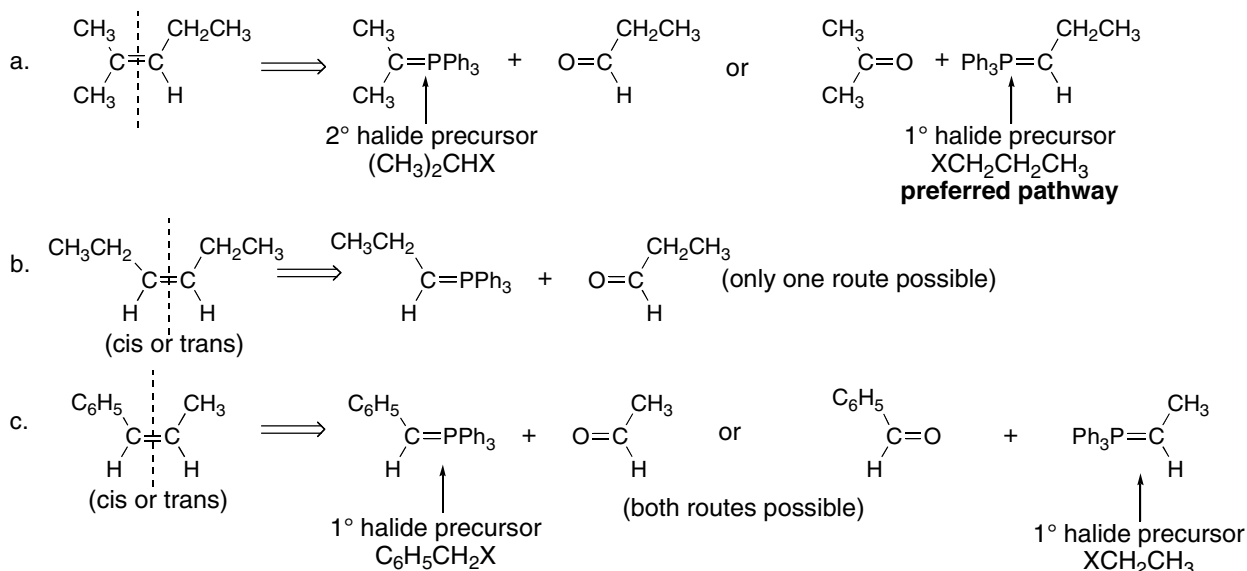
21.20



21.21

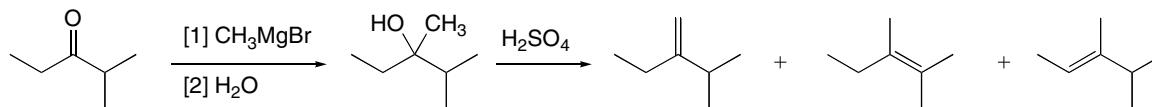


21.22 To draw the starting materials of the Wittig reactions, find the C=C and cleave it. Replace it with a C=O in one half of the molecule and a C=PPh₃ in the other half. The preferred pathway uses a Wittig reagent derived from a less hindered alkyl halide.



21.23

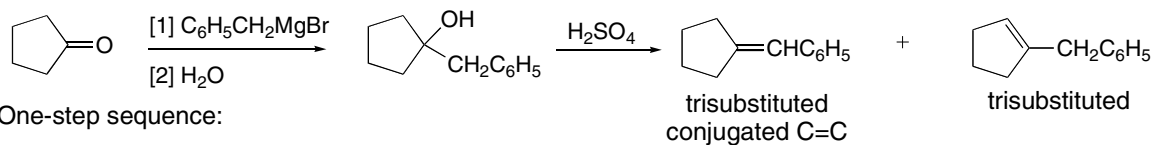
a. Two-step sequence:



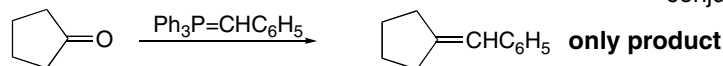
One-step sequence:



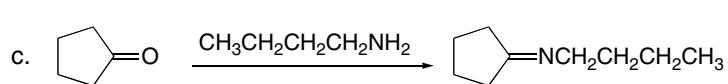
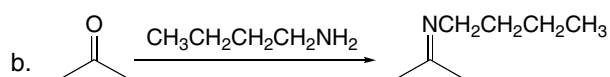
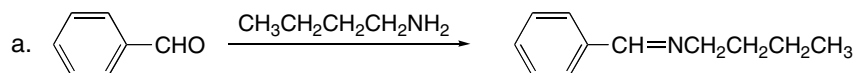
b. Two-step sequence:



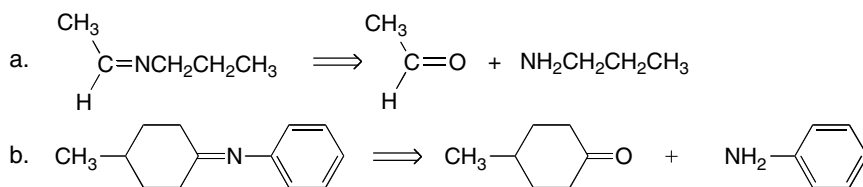
One-step sequence:



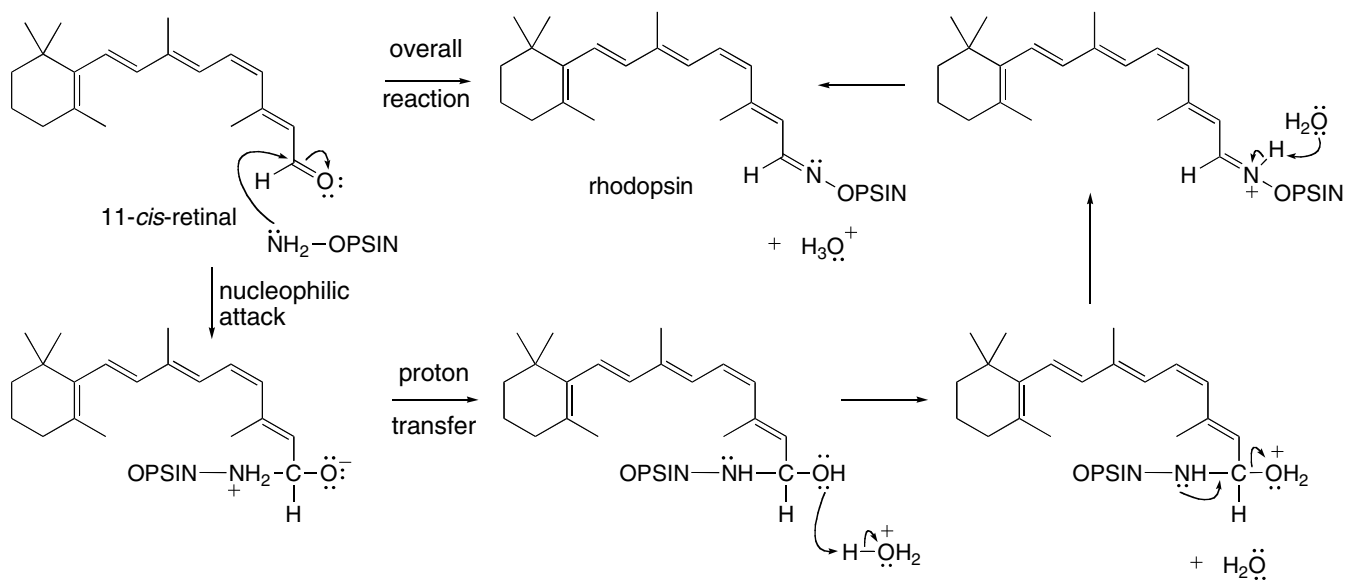
21.24 When a 1° amine reacts with an aldehyde or ketone, the C=O is replaced by C=NR.



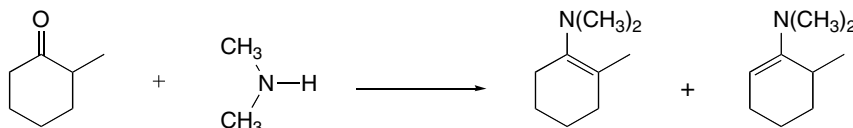
21.25 Remember that the C=NR is formed from a C=O and an NH₂ group of a 1° amine.



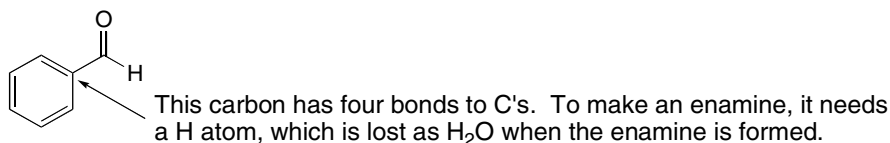
21.26



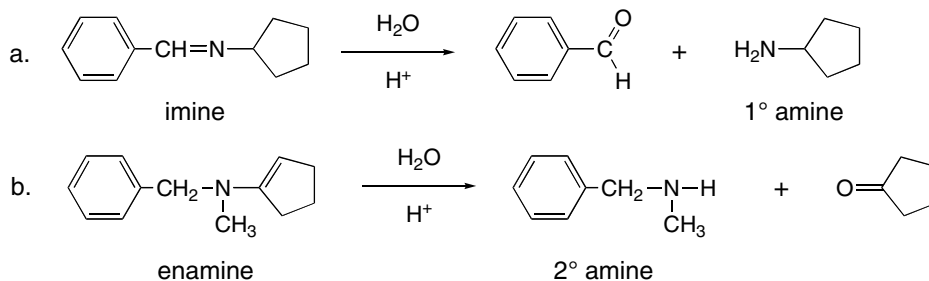
21.27



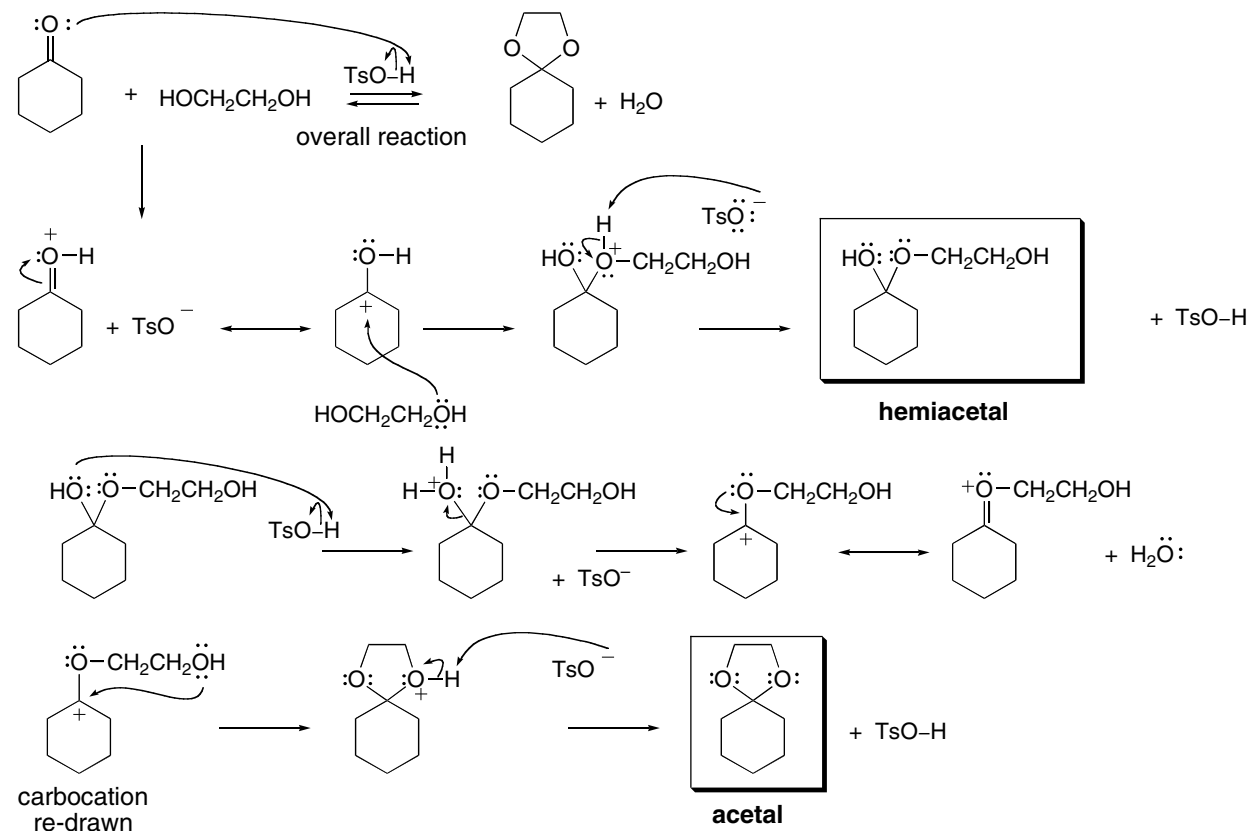
21.28



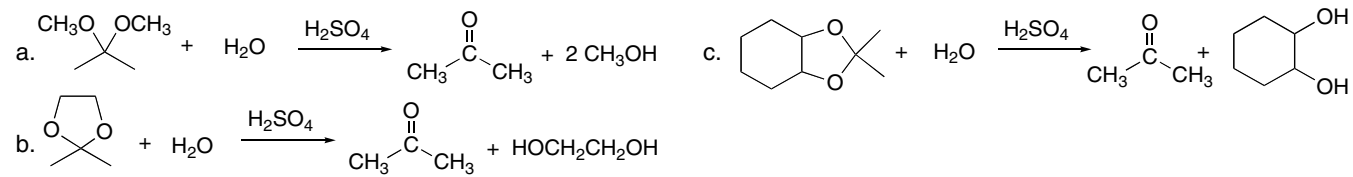
- 21.29**
- Imines are hydrolyzed to 1° amines and a carbonyl compound.
 - Enamines are hydrolyzed to 2° amines and a carbonyl compound.



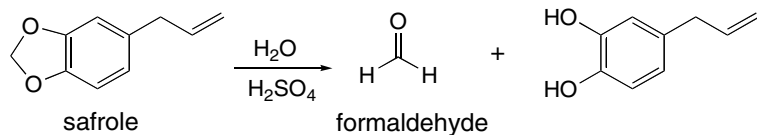
21.34 The mechanism has two parts: [1] nucleophilic addition of ROH to form a hemiacetal; [2] conversion of the hemiacetal to an acetal.



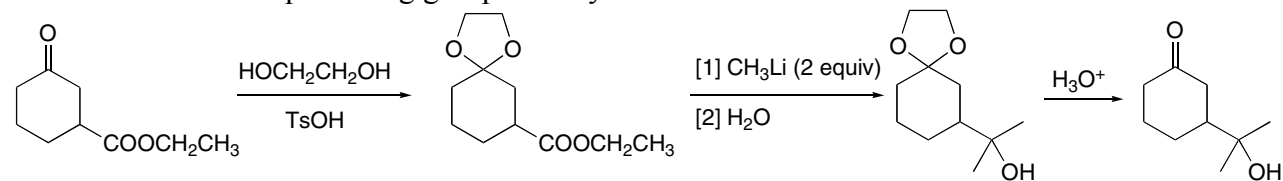
21.35



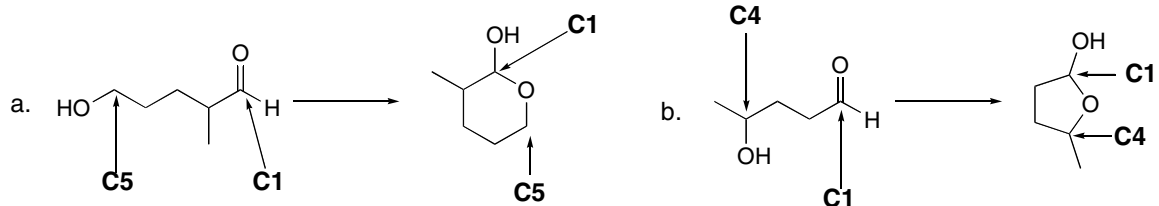
21.36



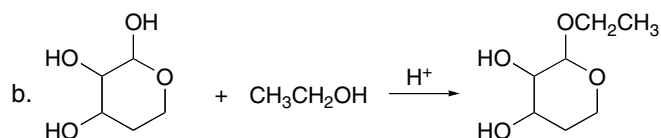
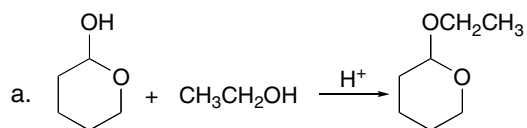
21.37 Use an acetal protecting group to carry out the reaction.



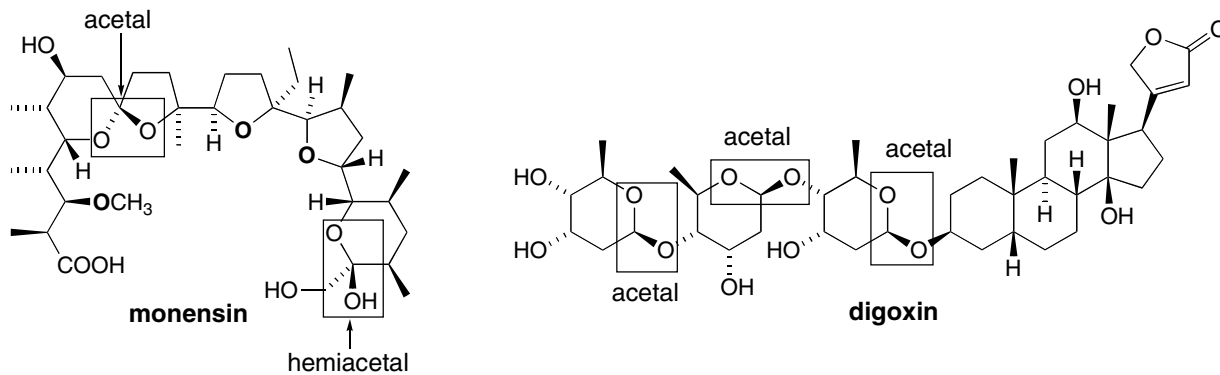
21.38



21.39 The hemiacetal OH is replaced by an OR group to form an acetal.

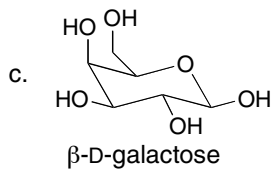
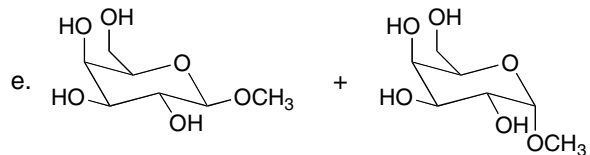
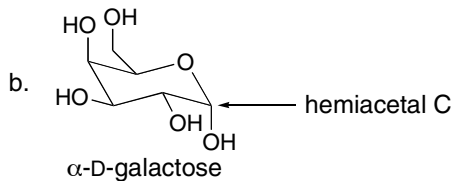
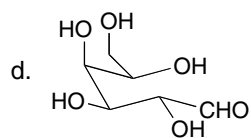
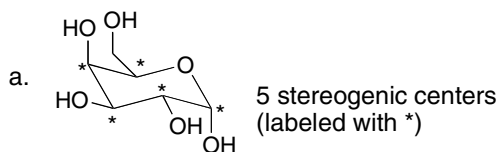


21.40



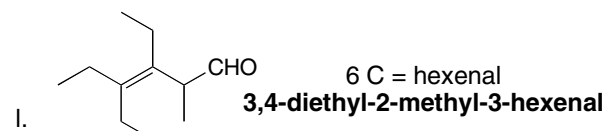
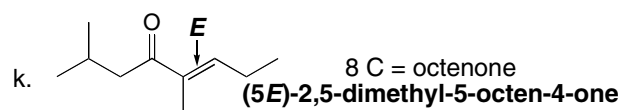
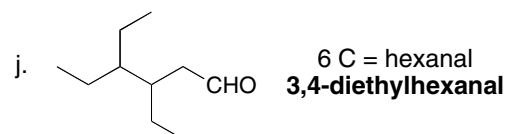
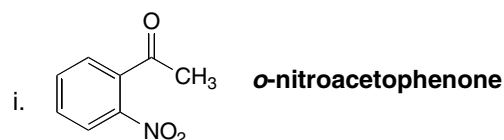
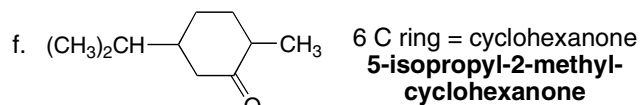
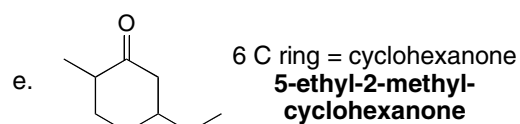
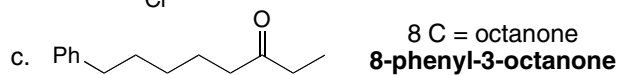
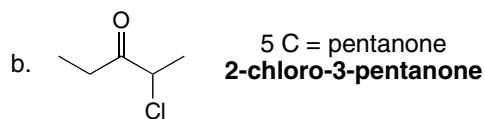
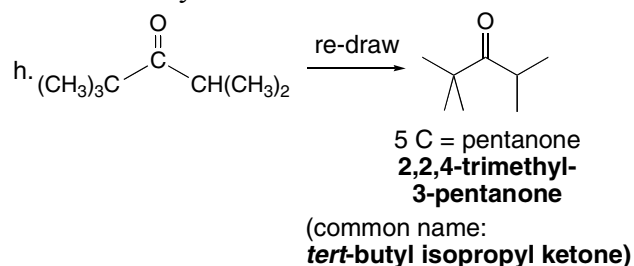
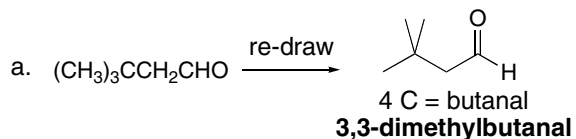
Ether O atoms are indicated in **bold**.

21.41

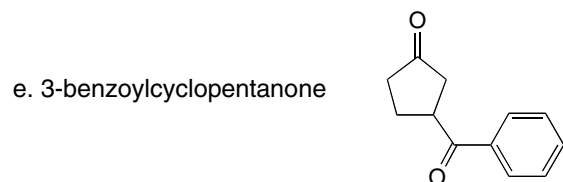
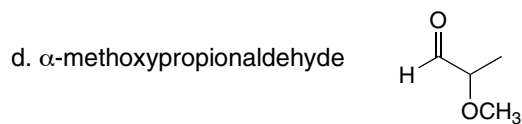
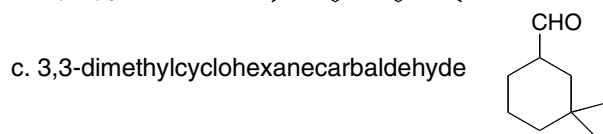
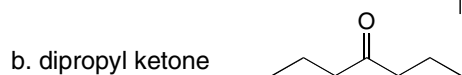
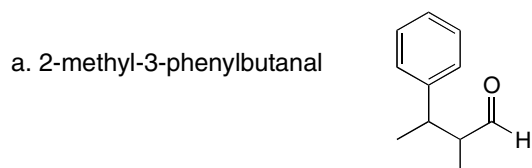


Chapter 21-14

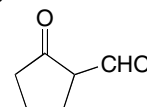
21.42 Use the rules from Answers 21.3 and 21.5 to name the aldehydes and ketones.



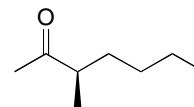
21.43



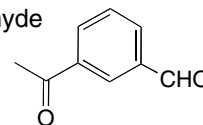
f. 2-formylcyclopentanone



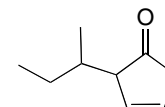
g. (3R)-3-methyl-2-heptanone



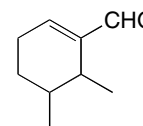
h. m-acetylbenzaldehyde



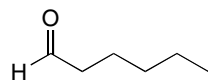
i. 2-sec-butyl-3-cyclopentenone



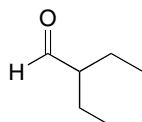
j. 5,6-dimethyl-1-cyclohexenecarbaldehyde



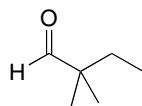
21.44



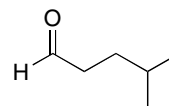
hexanal



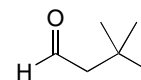
2-ethylbutanal



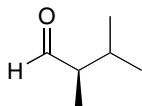
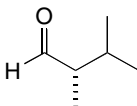
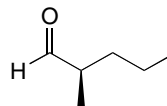
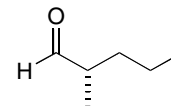
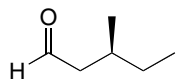
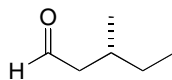
2,2-dimethylbutanal



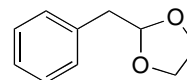
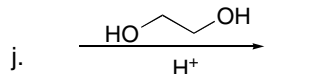
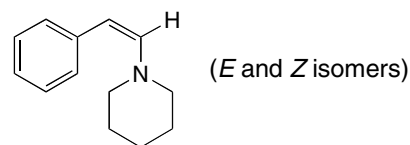
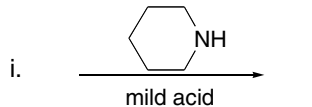
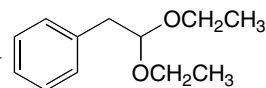
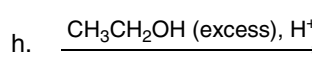
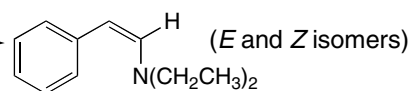
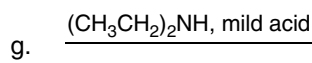
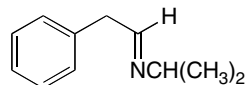
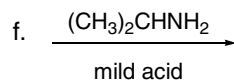
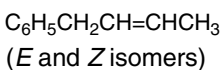
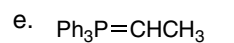
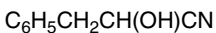
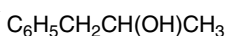
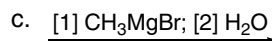
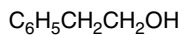
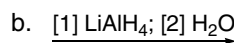
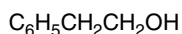
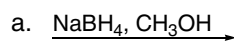
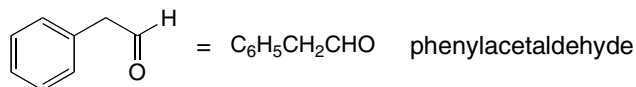
4-methylpentanal



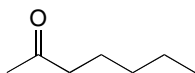
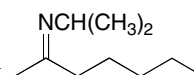
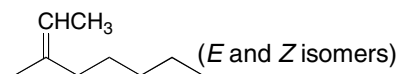
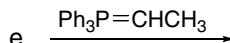
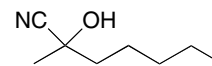
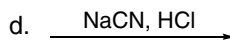
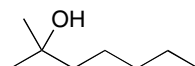
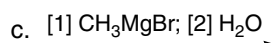
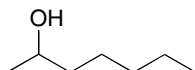
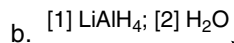
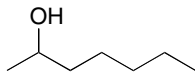
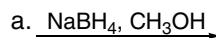
3,3-dimethylbutanal

(2*R*)-2,3-dimethylbutanal(2*S*)-2,3-dimethylbutanal(2*R*)-2-methylpentanal(2*S*)-2-methylpentanal(3*S*)-3-methylpentanal(3*R*)-3-methylpentanal

21.45

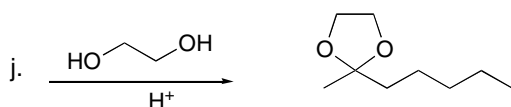
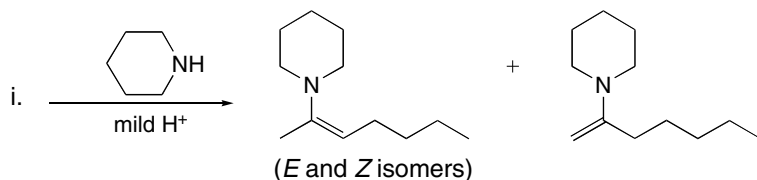
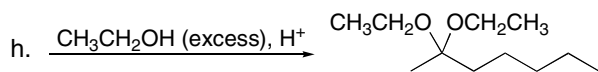
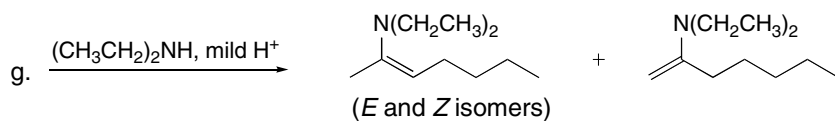


21.46

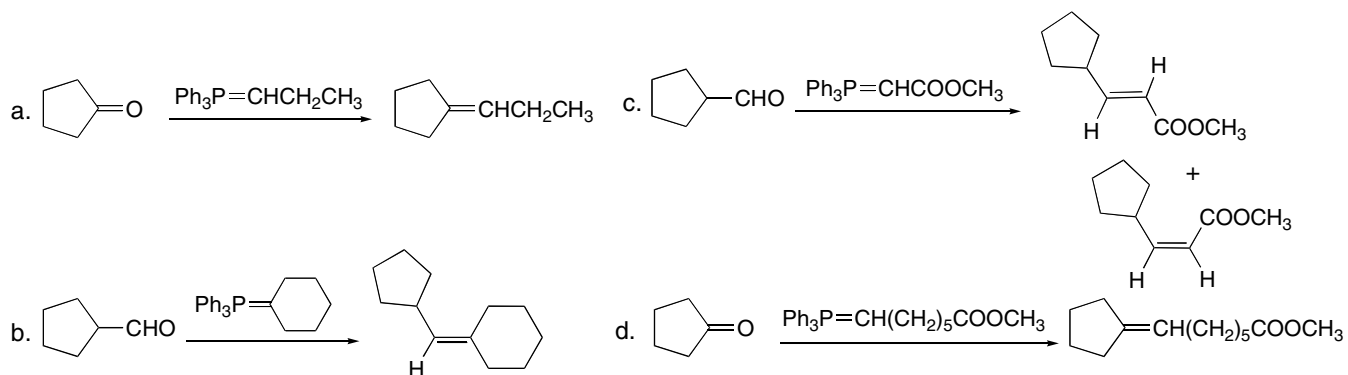


2-heptanone

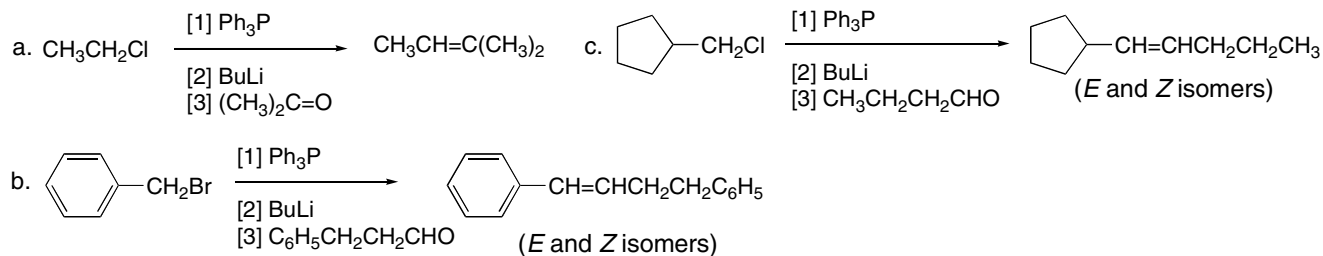
Chapter 21-16



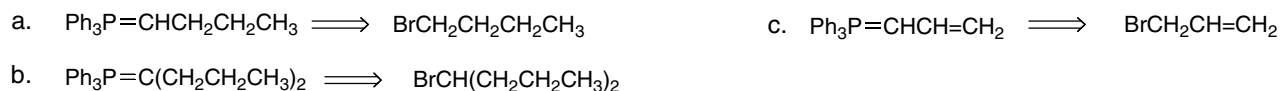
21.47



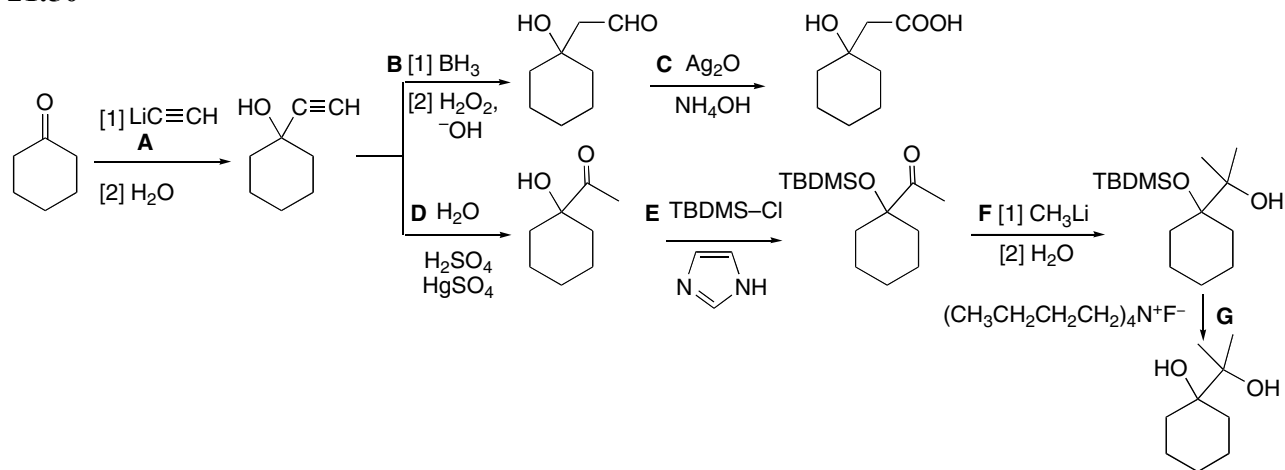
21.48



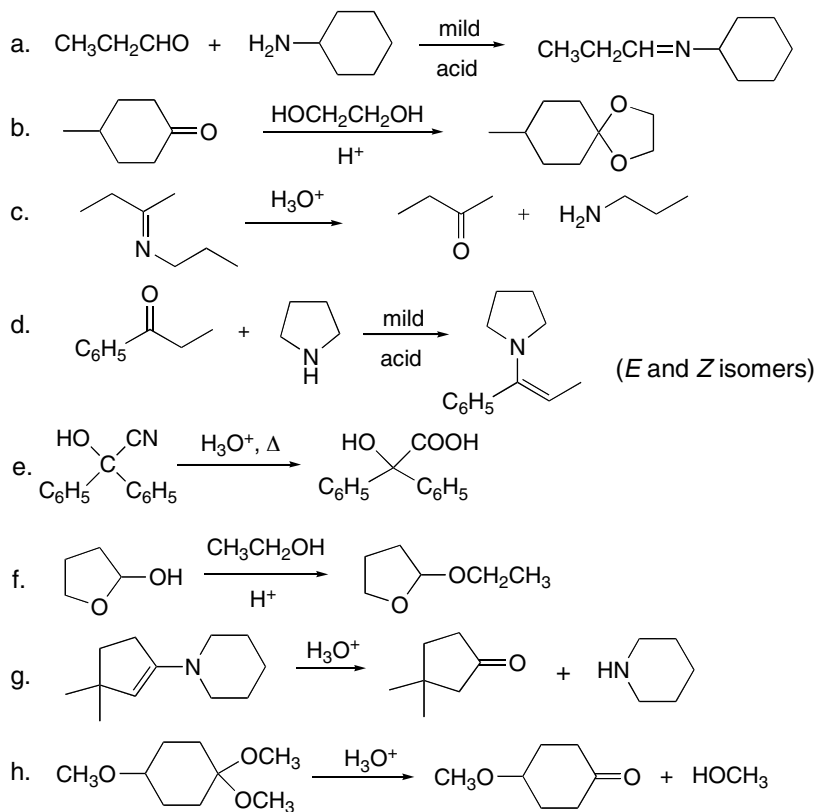
21.49



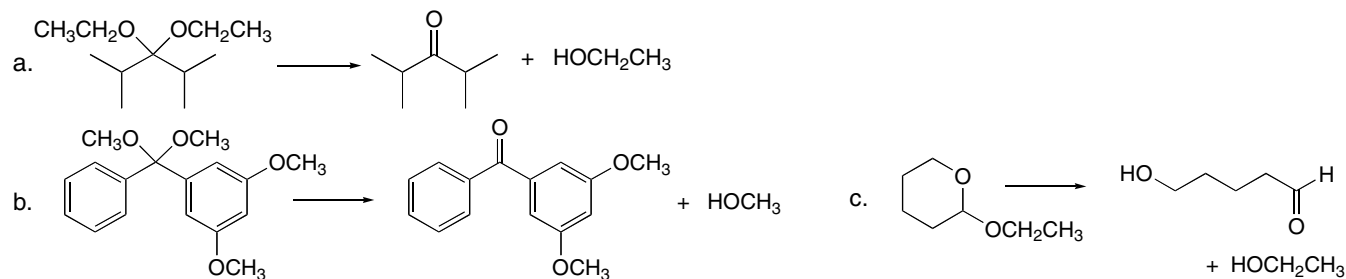
21.50



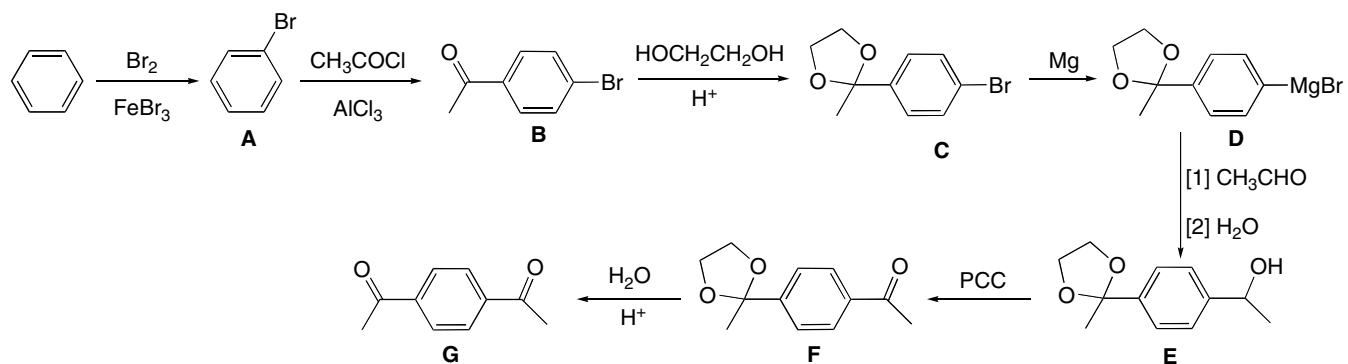
21.51



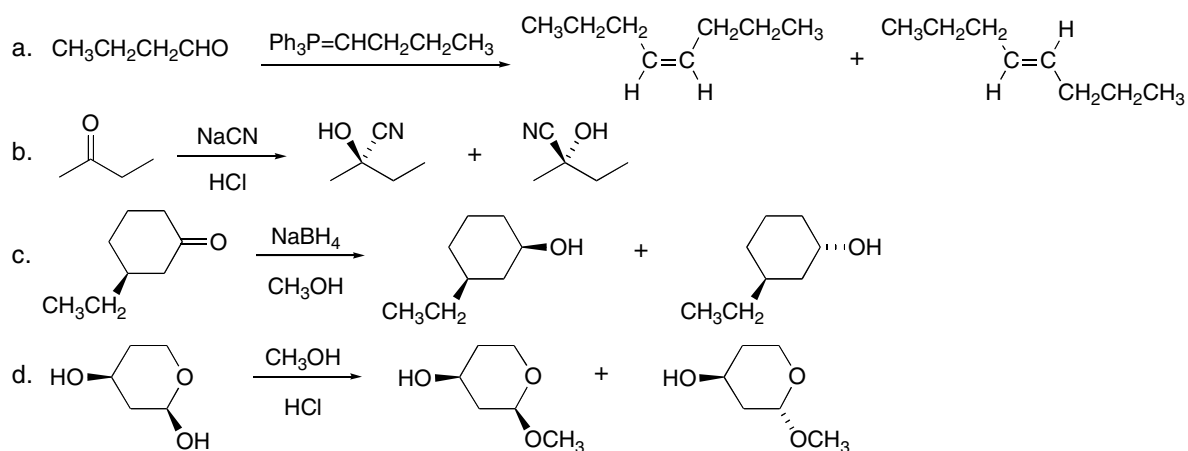
21.52



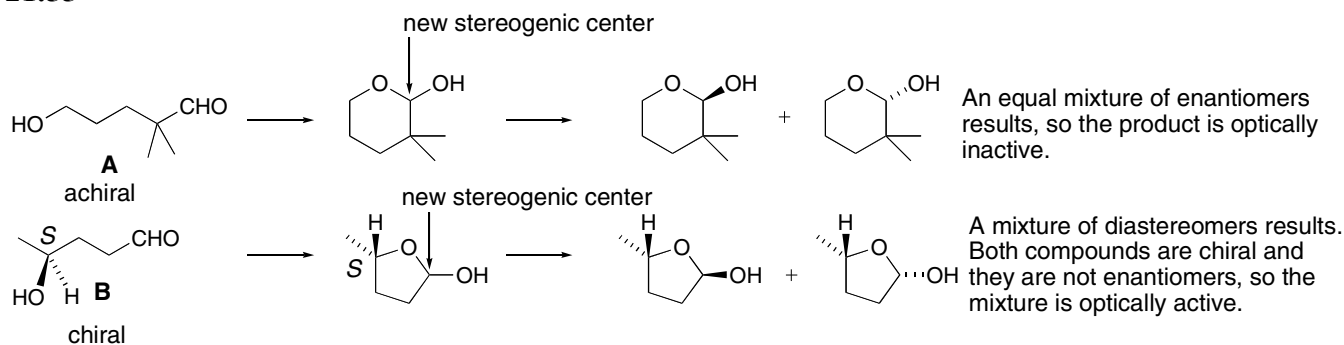
21.53 Consider para product only, when an ortho, para mixture can result.



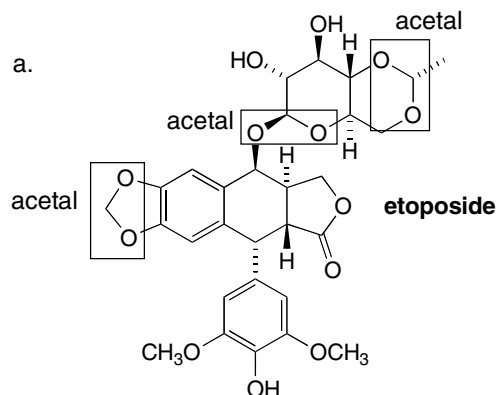
21.54



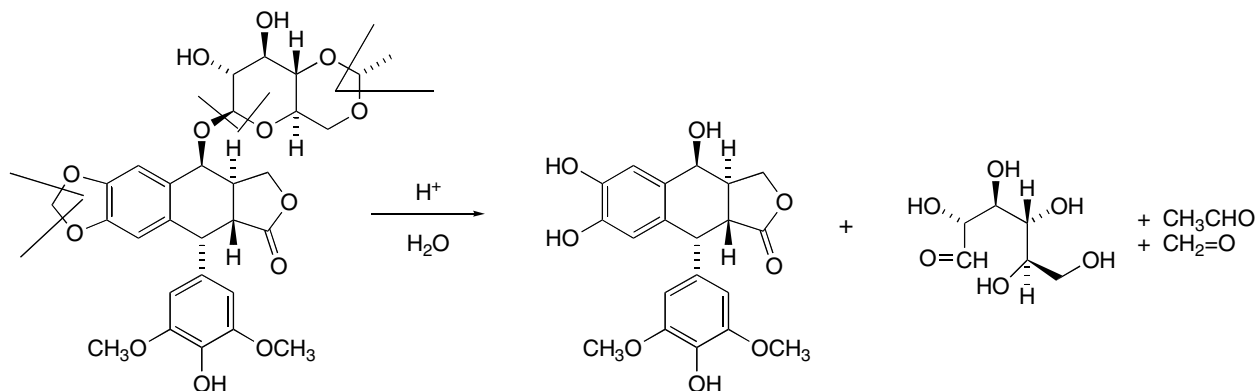
21.55



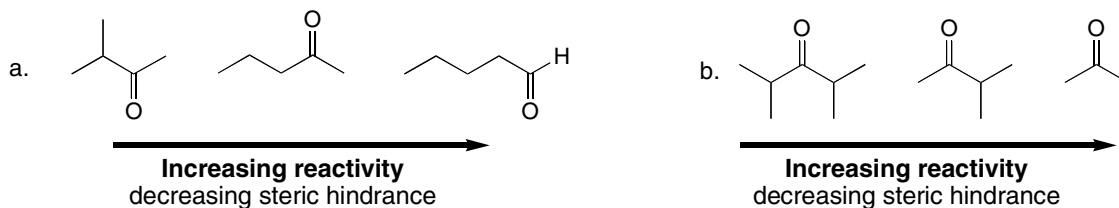
21.56



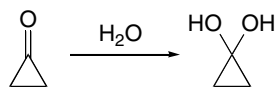
b. Lines of cleavage are drawn in.



21.57 Use the rule from Answer 21.1.



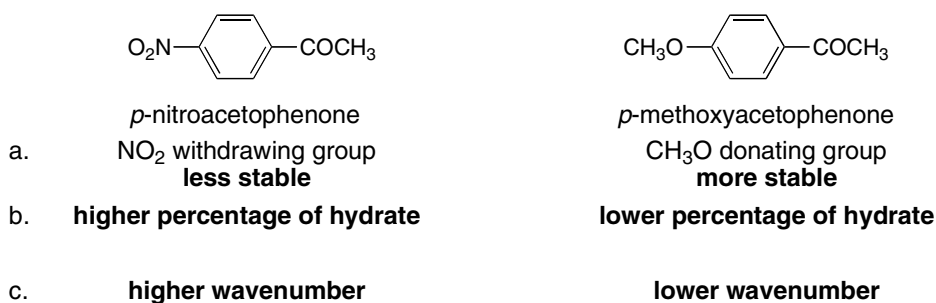
21.58



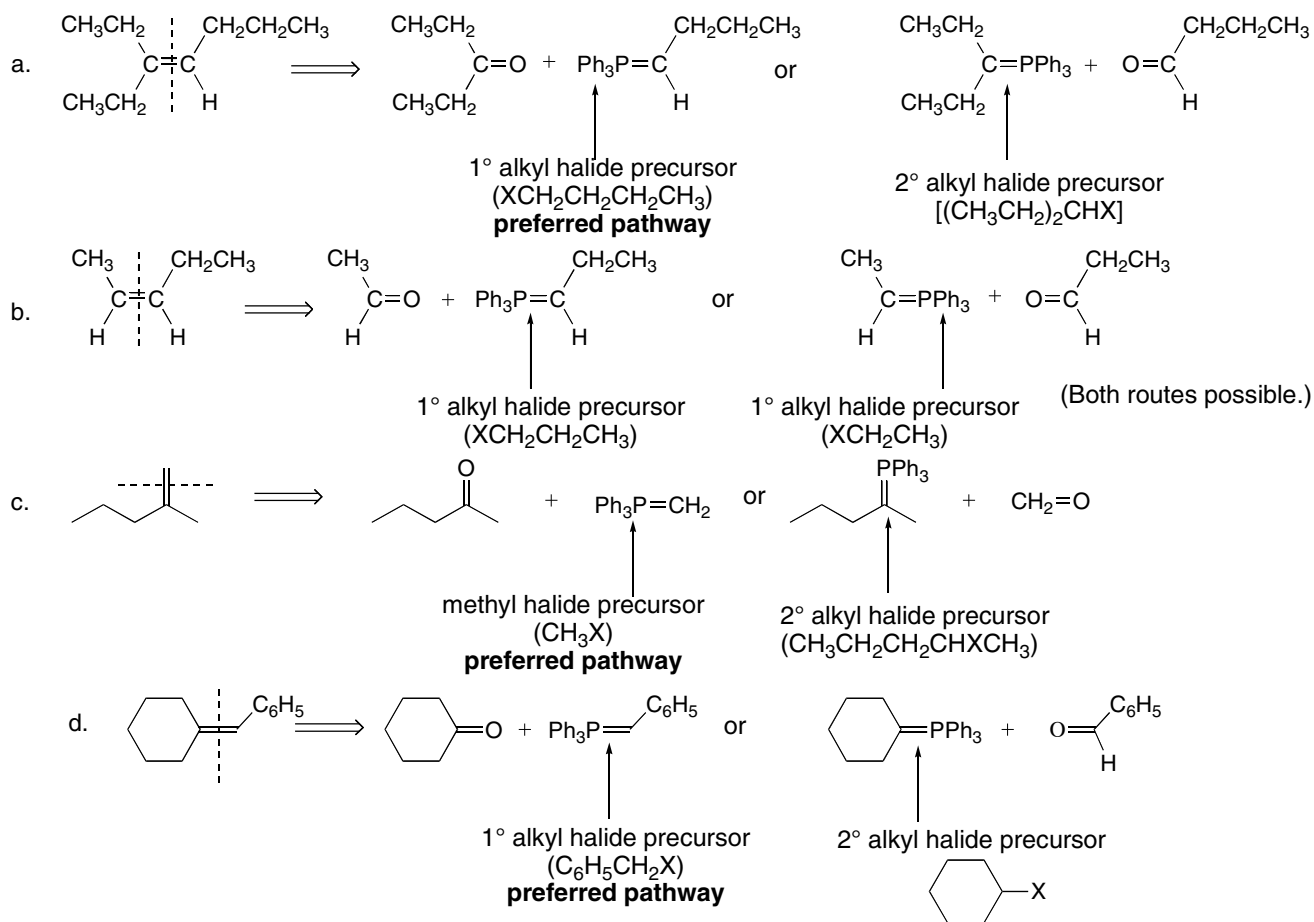
Less stable carbonyl compounds give a higher percentage of hydrate. Cyclopropanone is an unstable carbonyl compound because the bond angles around the carbonyl carbon deviate considerably from the desired angle. Since the carbonyl carbon is sp^2 hybridized, the optimum bond angle is 120° , but the three-membered ring makes the C–C–C bond angles only 60° . This destabilizes the ketone, giving a high concentration of hydrate when dissolved in H₂O.

21.59 Electron-donating groups decrease the amount of hydrate at equilibrium by stabilizing the carbonyl starting material. Electron-withdrawing groups increase the amount of hydrate at equilibrium by destabilizing the carbonyl starting material. Electron-donating groups make the IR absorption of the C=O shift to lower wavenumber because they stabilize the charge-separated resonance form, giving the C=O more single bond character.

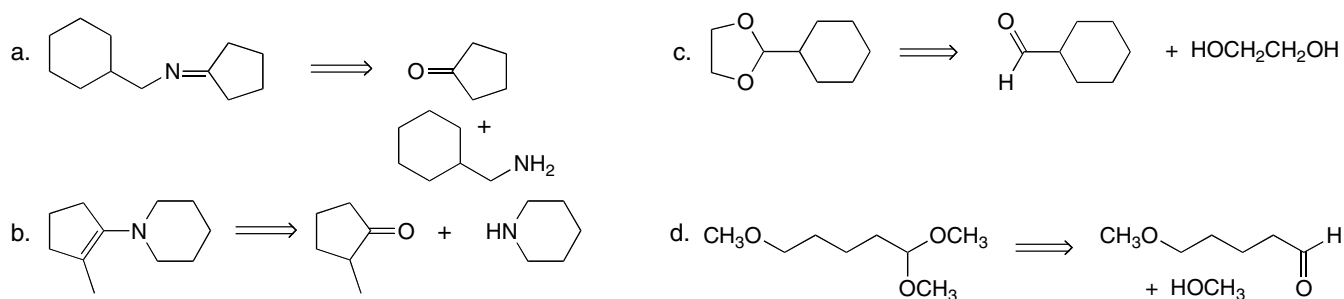
Chapter 21–20



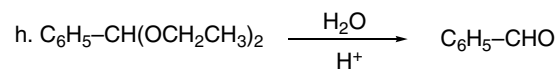
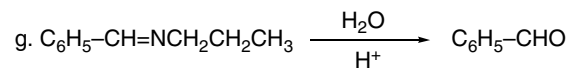
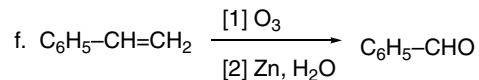
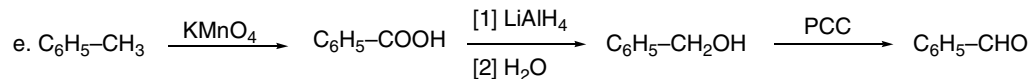
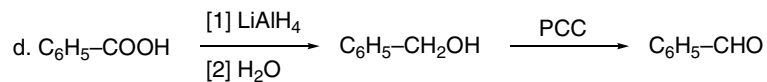
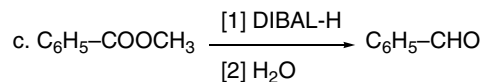
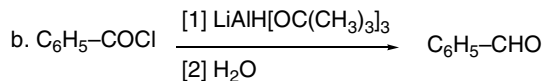
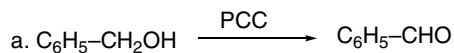
21.60 Use the principles from Answer 21.22.



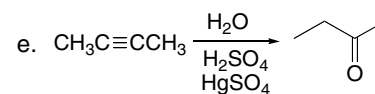
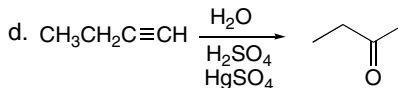
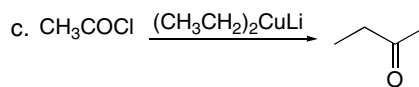
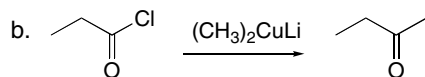
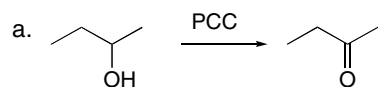
21.61



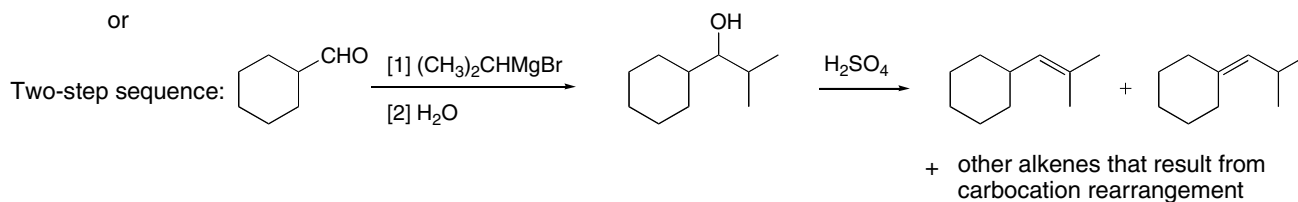
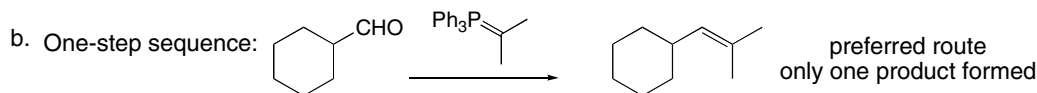
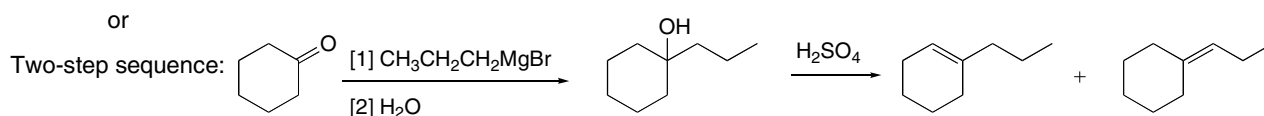
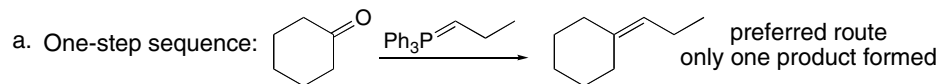
21.62



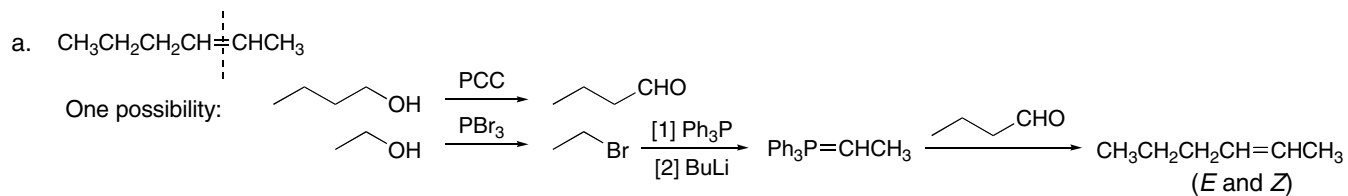
21.63



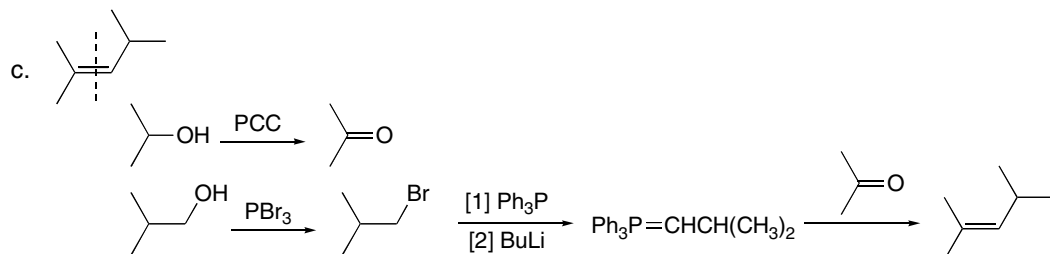
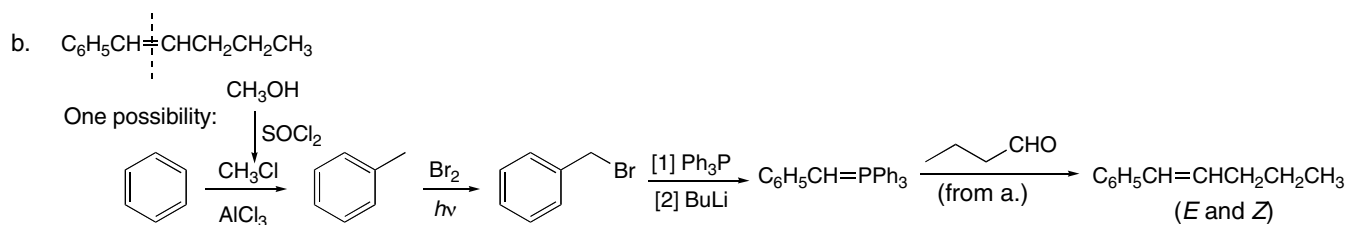
21.64



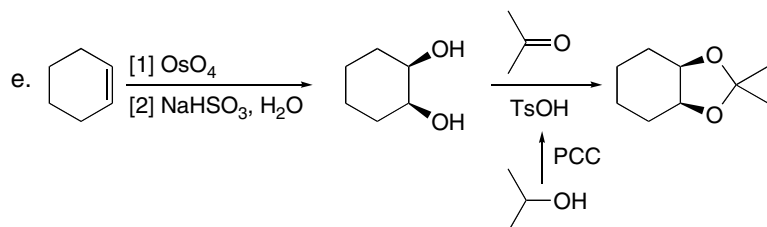
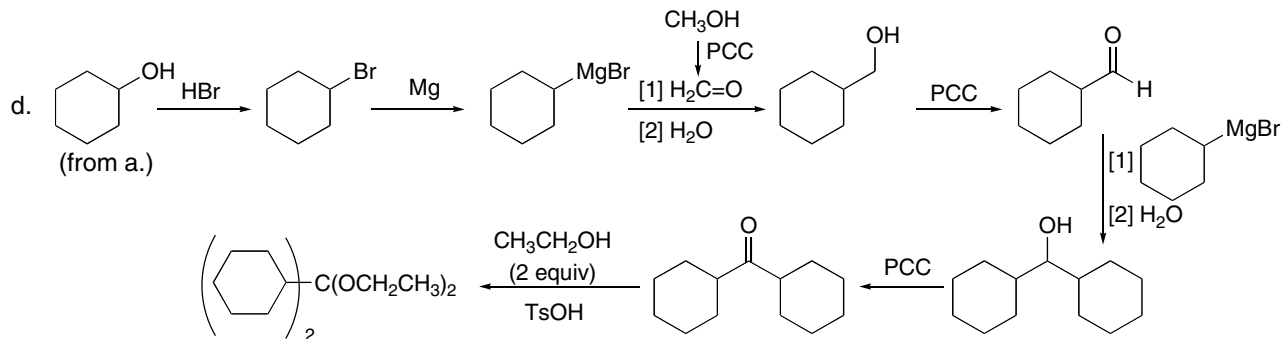
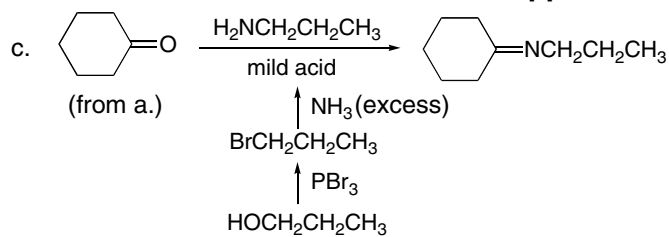
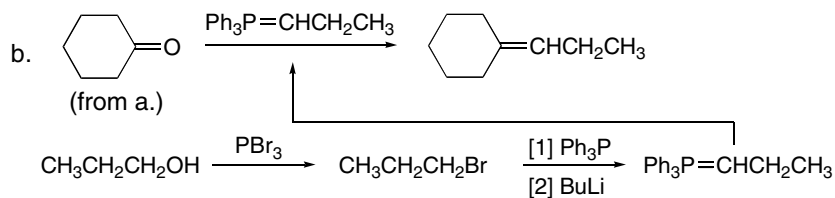
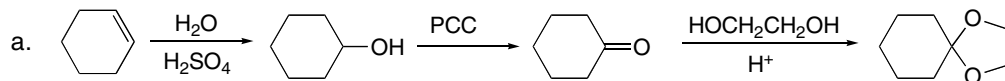
21.65



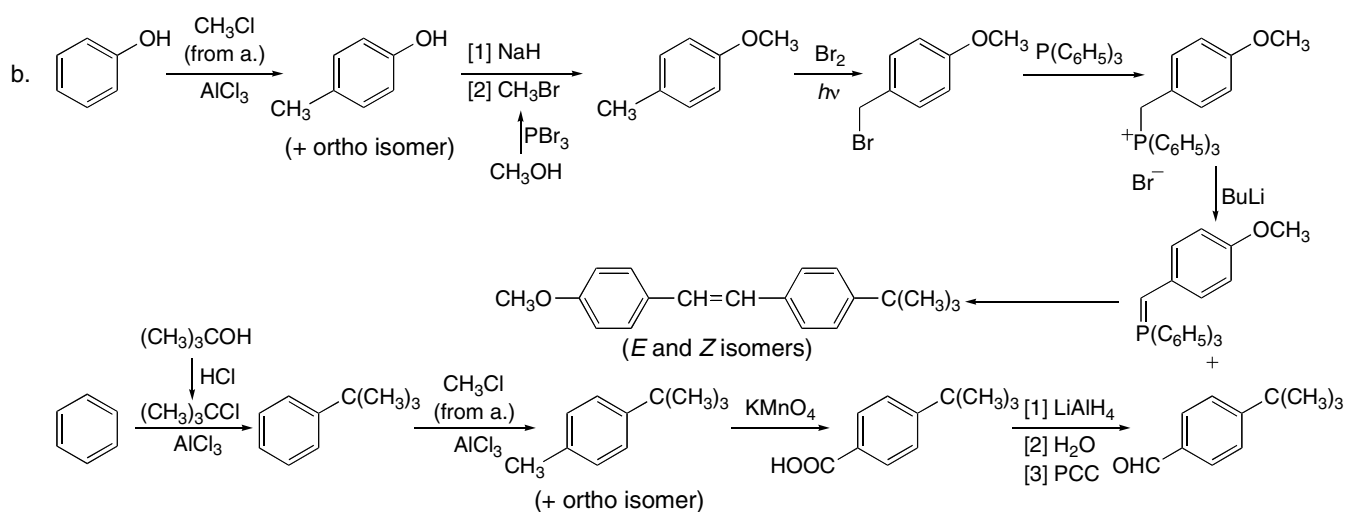
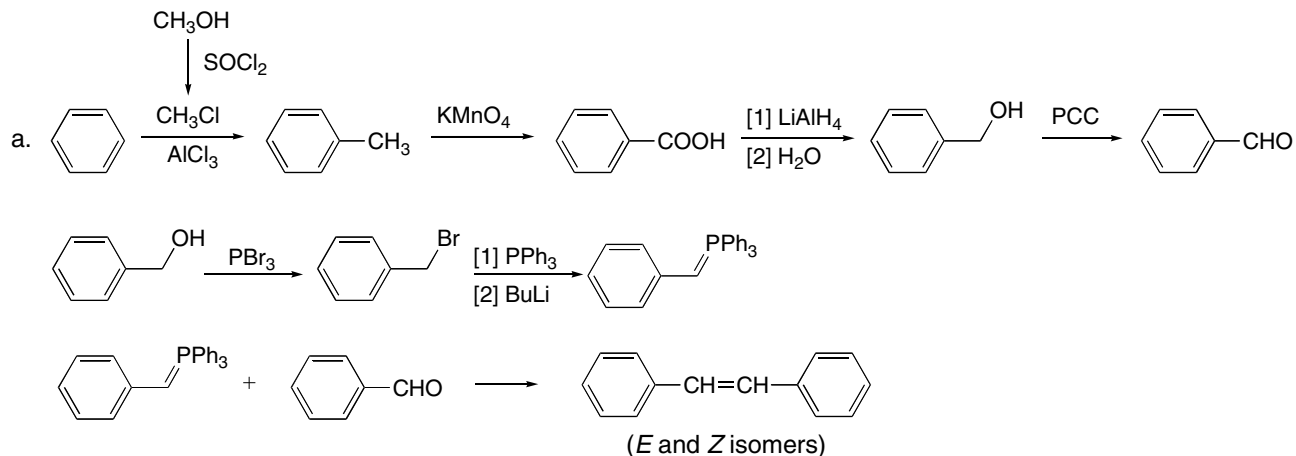
Chapter 21–22



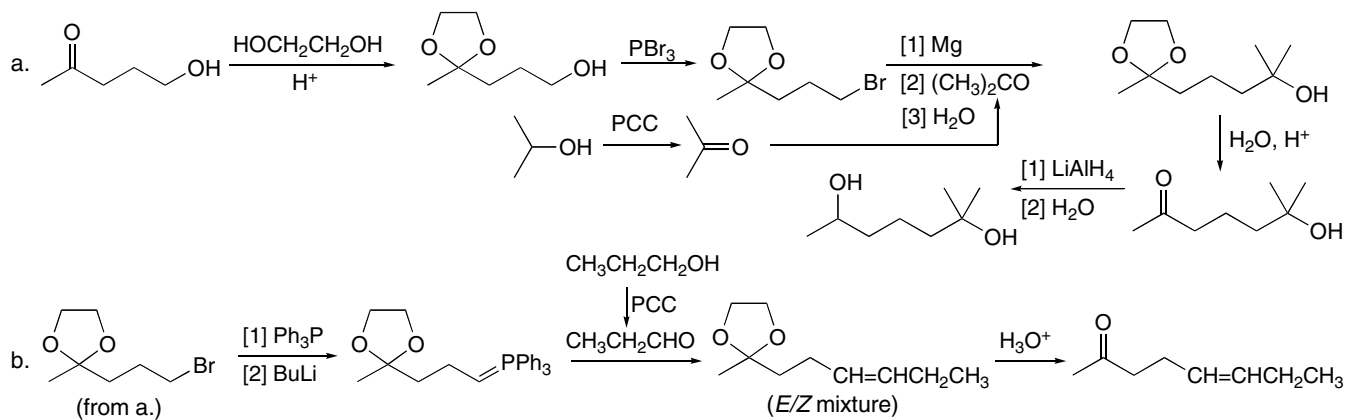
21.66



21.67

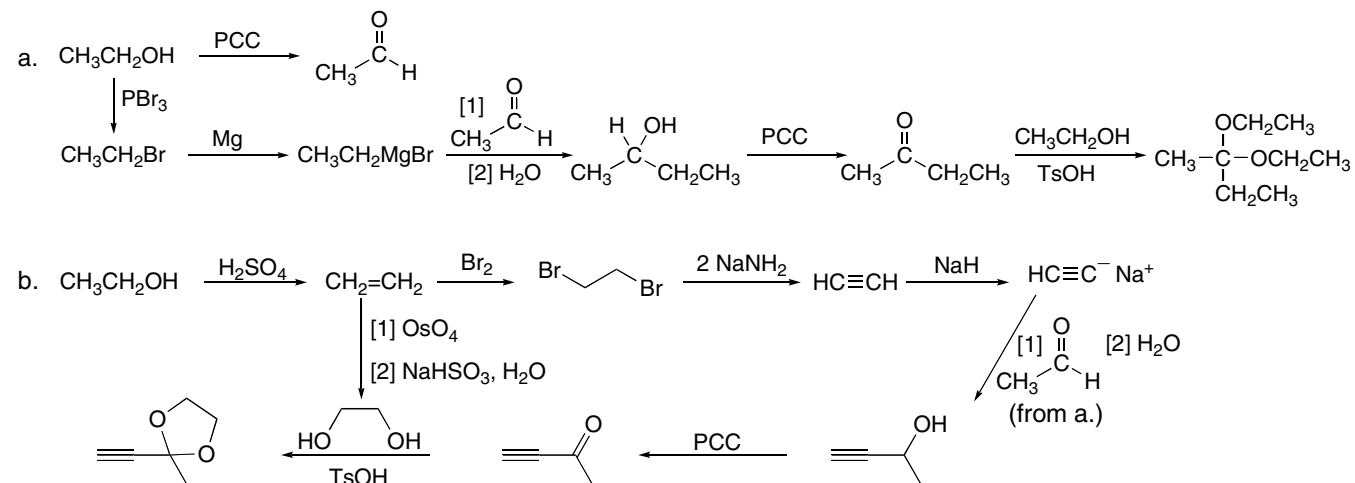


21.68

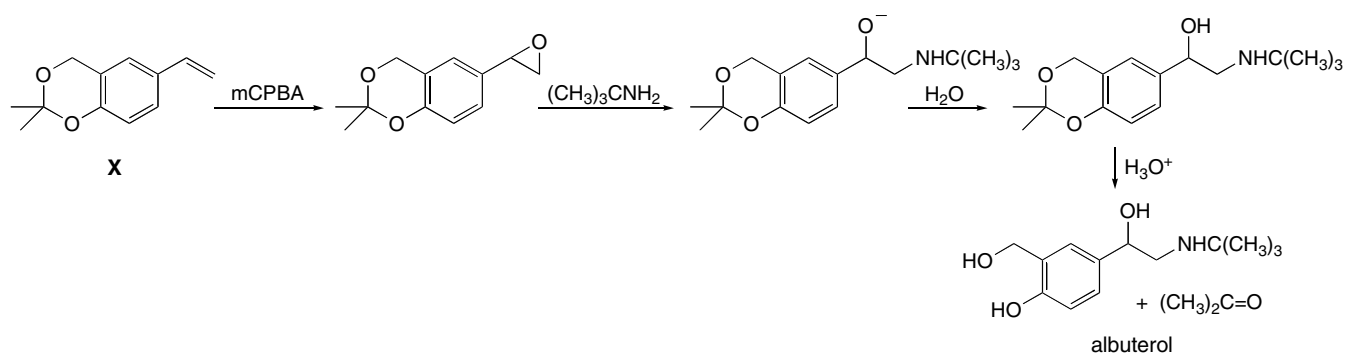


Chapter 21–24

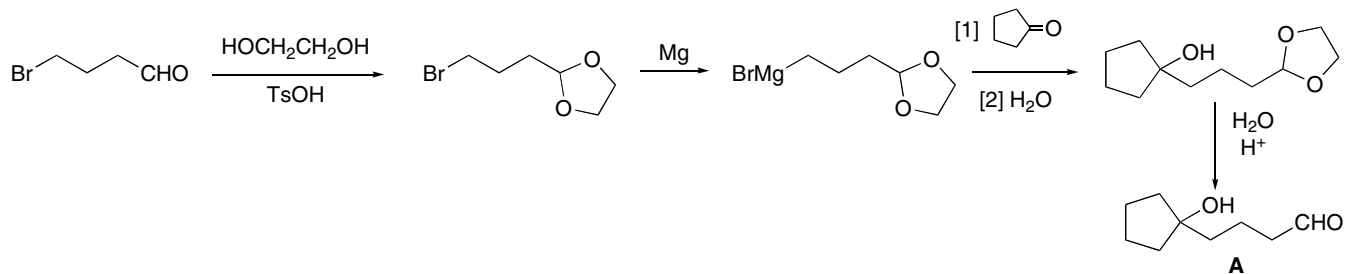
21.69



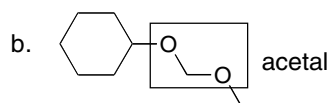
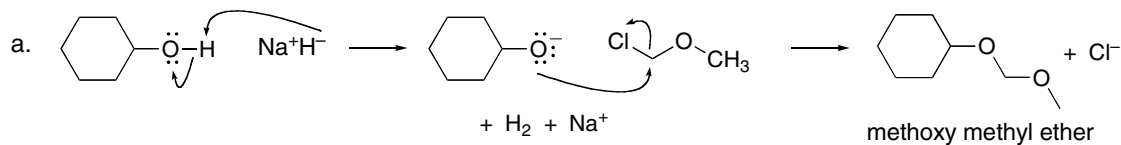
21.70

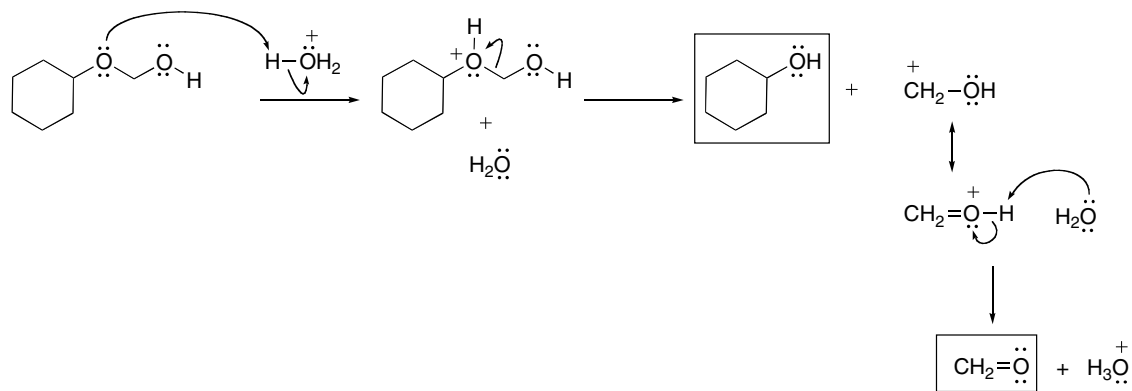


21.71

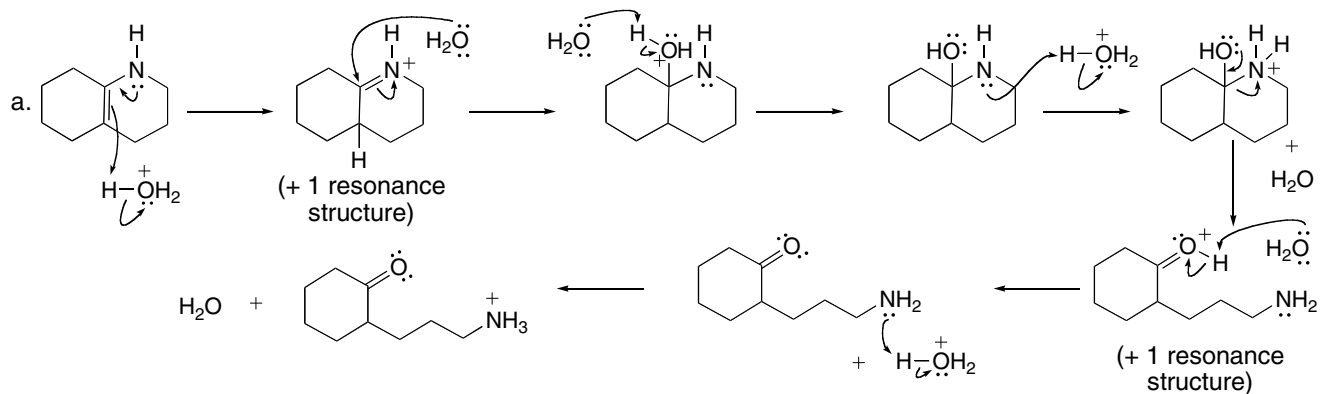


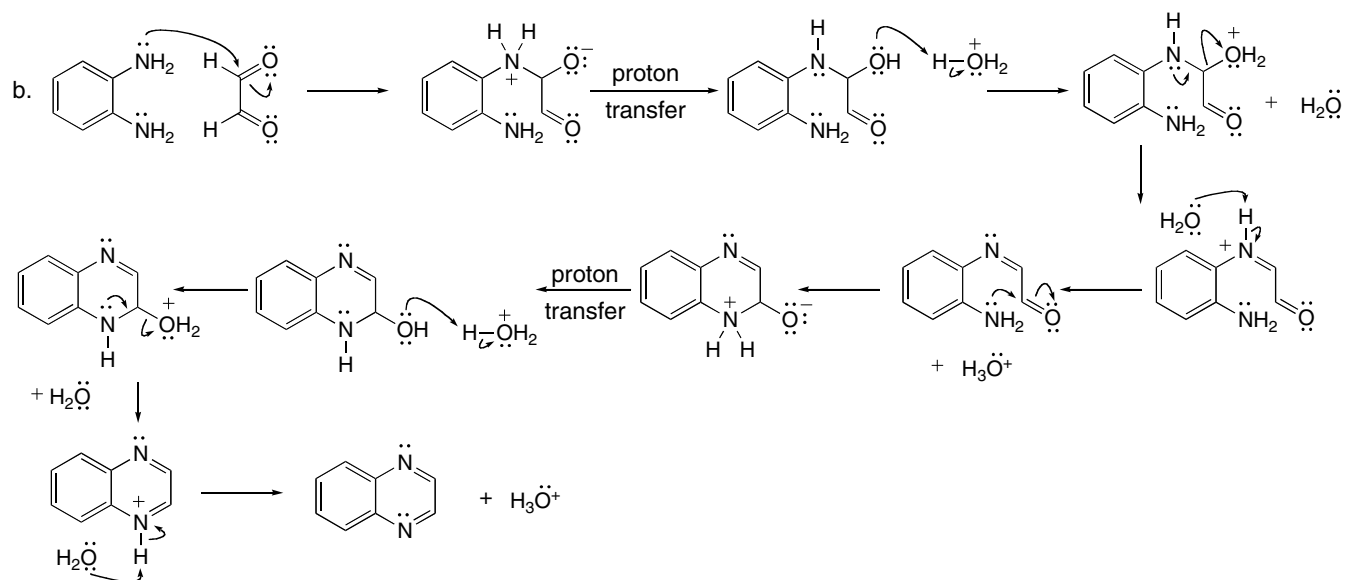
21.72



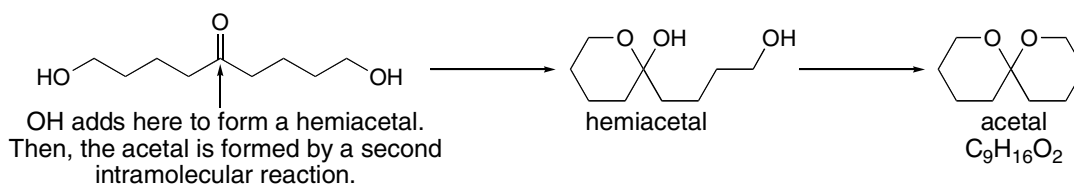


21.73

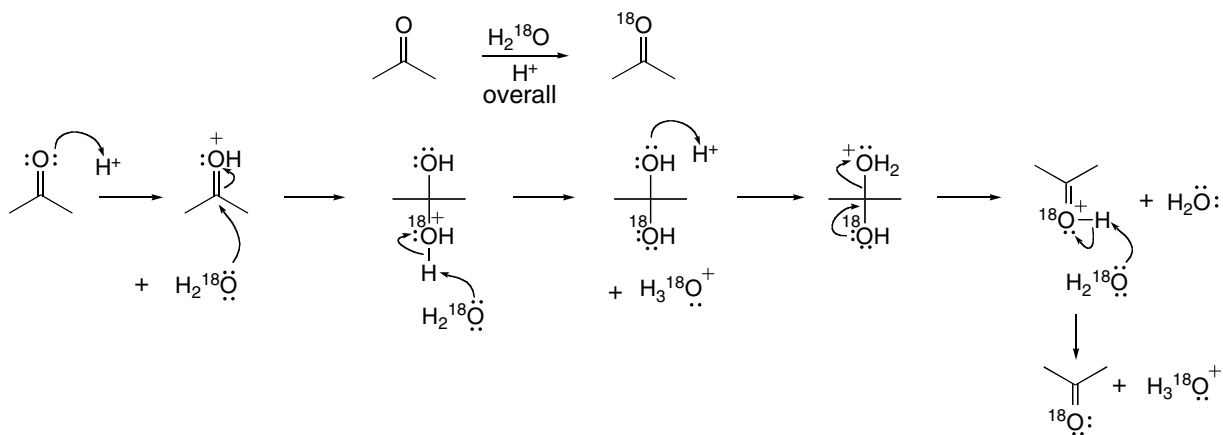




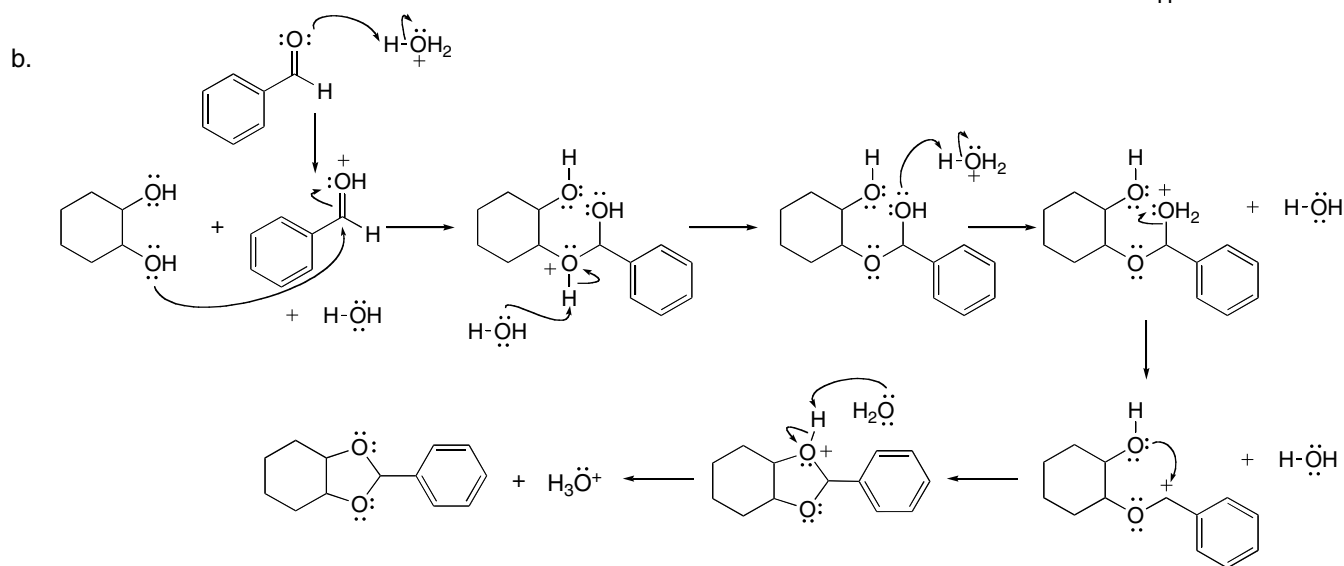
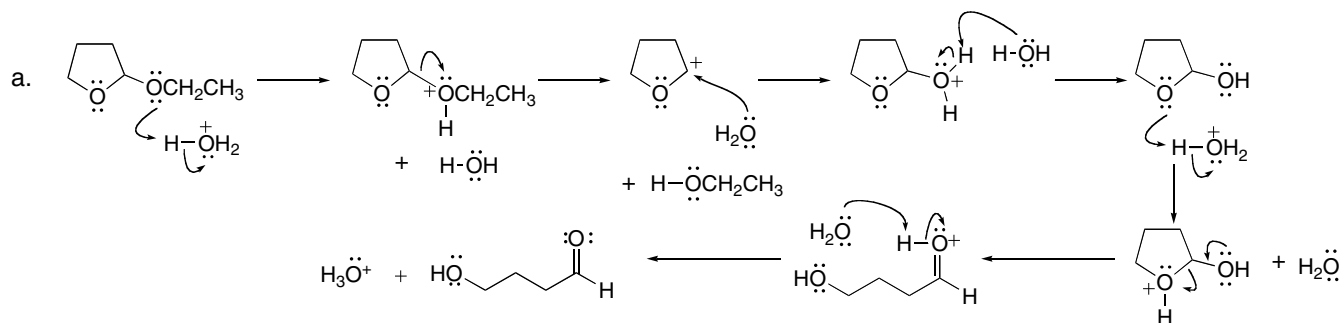
21.74 The OH groups react with the C=O in an intramolecular reaction, first to form a hemiacetal, and then to form an acetal.



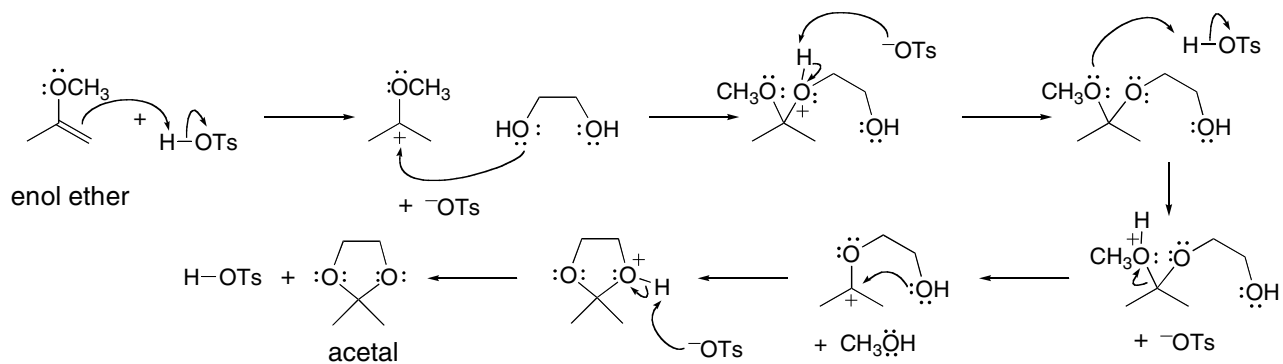
21.75



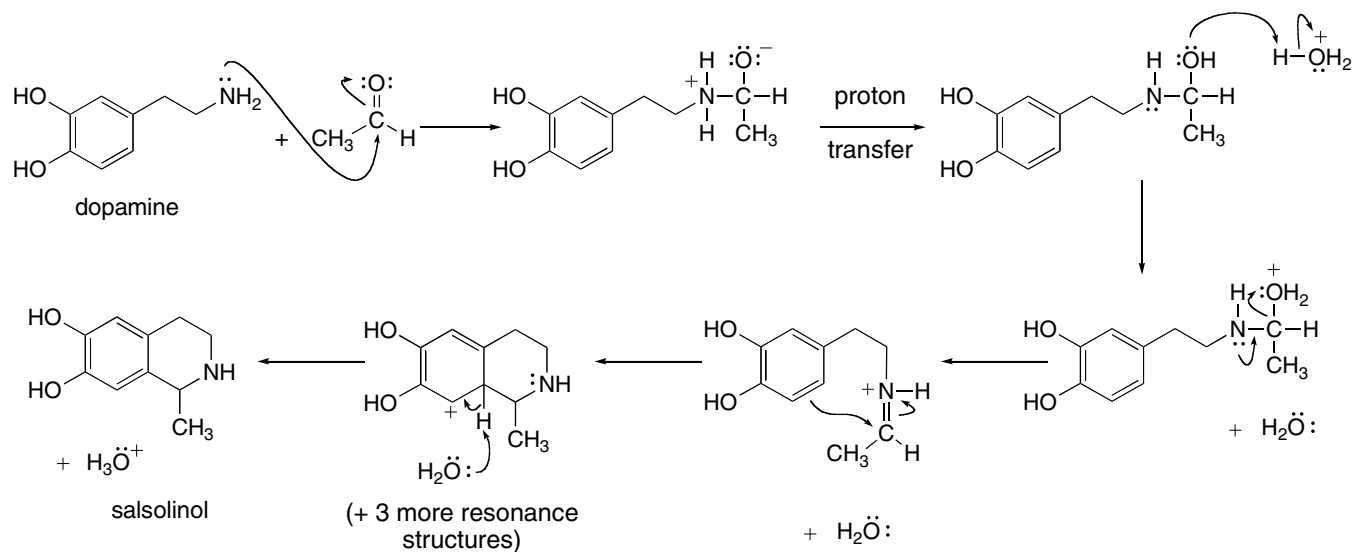
21.76



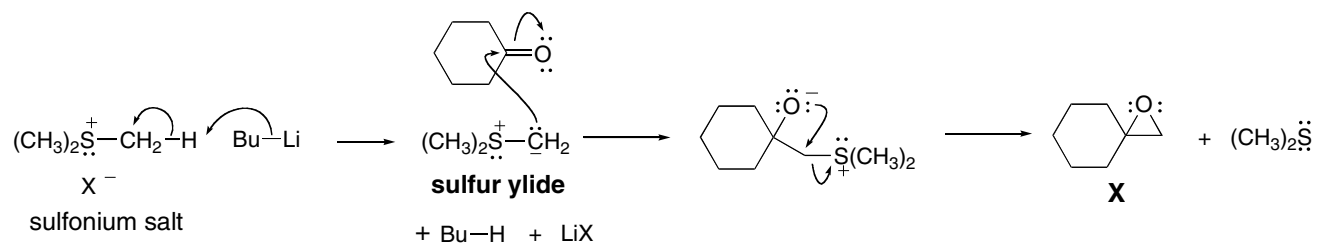
21.77



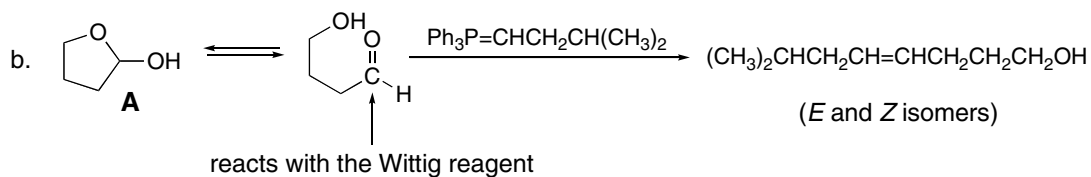
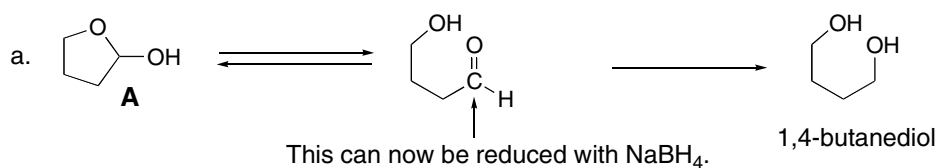
21.78



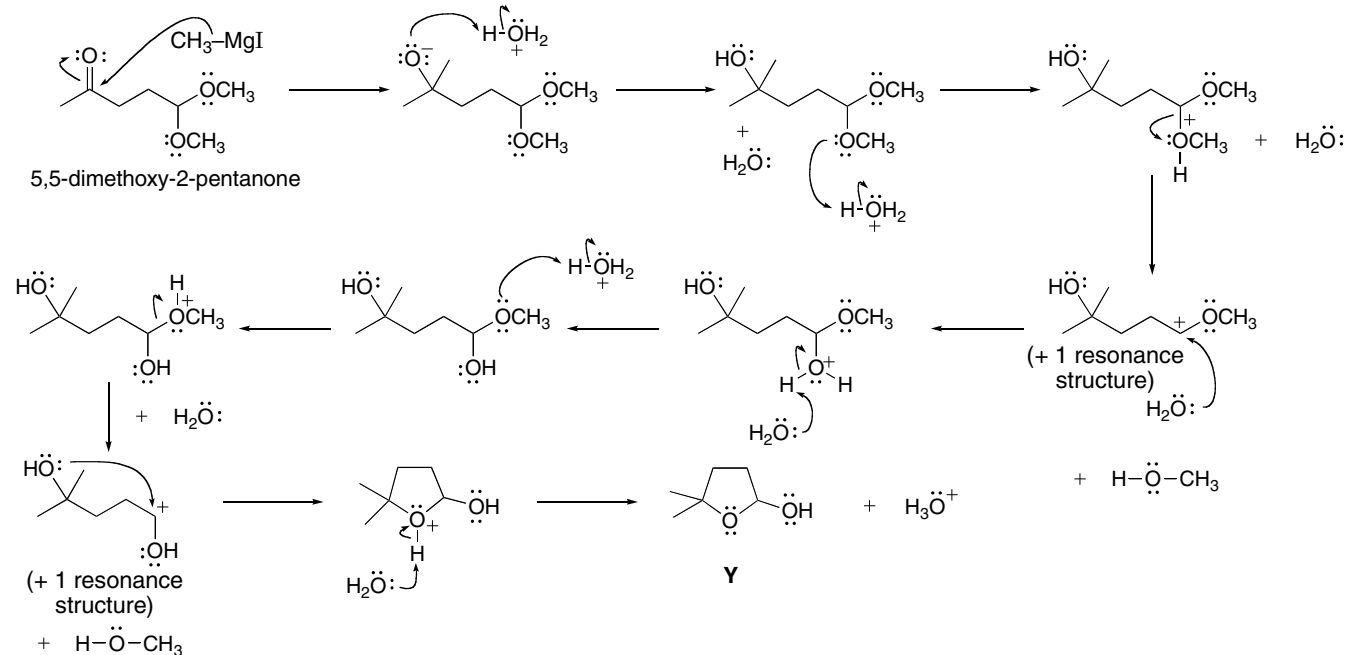
21.79



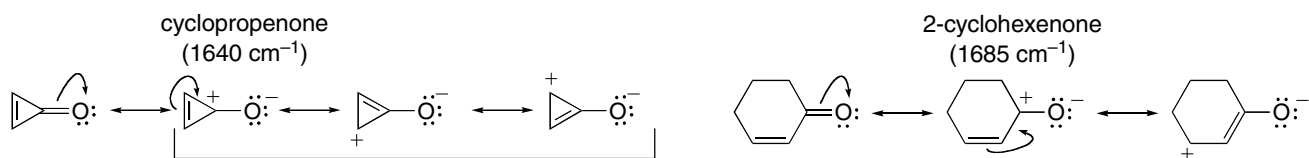
21.80 Hemiacetal **A** is in equilibrium with its acyclic hydroxy aldehyde. The aldehyde can undergo hydride reduction to form 1,4-butanediol and Wittig reaction to form an alkene.



21.81



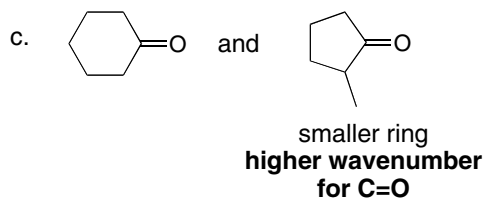
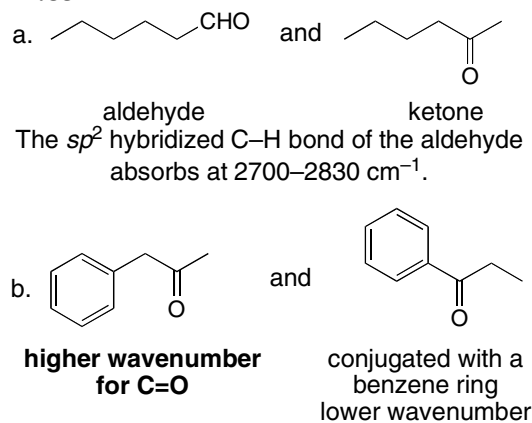
21.82



These three resonance structures include an aromatic ring; $4n + 2 = 2\pi$ electrons. Although they are charge separated, the stabilized aromatic ring makes these three structures contribute to the hybrid more than usual. Since these three resonance contributors have a C–O single bond, the absorption is shifted to a lower wavenumber.

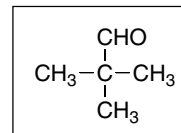
There are three resonance structures for 2-cyclohexenone, but the charge-separated resonance structures are not aromatic so they contribute less to the resonance hybrid. The C=O absorbs in the usual region for a conjugated carbonyl.

21.83

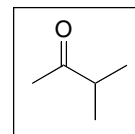


21.84

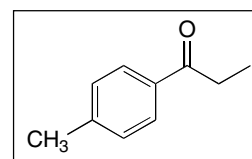
- A.** Molecular formula $C_5H_{10}O$ \longrightarrow 1 degree of unsaturation
 IR absorptions at 1728, 2791, 2700 cm^{-1} \longrightarrow C=O, CHO
 NMR data: singlet at 1.08 (9 H) \longrightarrow 3 CH_3 groups
 singlet at 9.48 (1 H) ppm \longrightarrow CHO



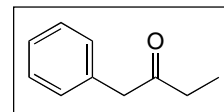
- B.** Molecular formula $C_5H_{10}O$ \longrightarrow 1 degree of unsaturation
 IR absorption at 1718 cm^{-1} \longrightarrow C=O
 NMR data: doublet at 1.10 (6 H) \longrightarrow 2 CH_3 's adjacent to H
 singlet at 2.14 (3 H) \longrightarrow CH_3
 septet at 2.58 (1 H) ppm \longrightarrow CH adjacent to 2 CH_3 's



- C.** Molecular formula $C_{10}H_{12}O$ \longrightarrow 5 degrees of unsaturation (4 due to a benzene ring)
 IR absorption at 1686 cm^{-1} \longrightarrow C=O
 NMR data: triplet at 1.21 (3 H) \longrightarrow CH_3 adjacent to 2 H's
 singlet at 2.39 (3 H) \longrightarrow CH_3
 quartet at 2.95 (2 H) \longrightarrow CH_2 adjacent to 3 H's
 doublet at 7.24 (2 H) \longrightarrow 2 H's on benzene ring
 doublet at 7.85 (2 H) ppm \longrightarrow 2 H's on benzene ring

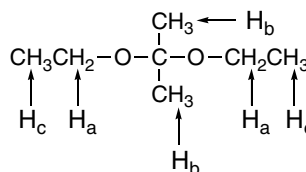


- D.** Molecular formula $C_{10}H_{12}O$ \longrightarrow 5 degrees of unsaturation (4 due to a benzene ring)
 IR absorption at 1719 cm^{-1} \longrightarrow C=O
 NMR data: triplet at 1.02 (3 H) \longrightarrow CH_3 adjacent 2 H's
 quartet at 2.45 (2 H) \longrightarrow 2 H's adjacent to 3 H's
 singlet at 3.67 (2 H) \longrightarrow CH_2
 multiplet at 7.06–7.48 (5 H) ppm \longrightarrow a monosubstituted benzene ring



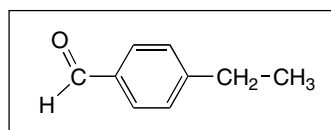
21.85

- $C_7H_{16}O_2$:** 0 degrees of unsaturation
IR: 3000 cm^{-1} : C–H bonds
NMR data (ppm):
 H_a : quartet at 3.5 (4 H), split by 3 H's
 H_b : singlet at 1.4 (6 H)
 H_c : triplet at 1.2 (6 H), split by 2 H's

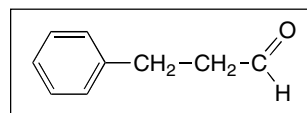


21.86

- A.** Molecular formula $C_9H_{10}O$
 5 degrees of unsaturation
 IR absorption at 1700 cm^{-1} \longrightarrow C=O
 IR absorption at $\sim 2700\text{ cm}^{-1}$ \longrightarrow CH of RCHO
 NMR data (ppm):
 triplet at 1.2 (2 H's adjacent)
 quartet at 2.7 (3 H's adjacent)
 doublet at 7.3 (2 H's on benzene)
 doublet at 7.7 (2 H's on benzene)
 singlet at 9.9 (CHO)



- B.** Molecular formula $C_9H_{10}O$
 5 degrees of unsaturation
 IR absorption at 1720 cm^{-1} \longrightarrow C=O
 IR absorption at $\sim 2700\text{ cm}^{-1}$ \longrightarrow CH of RCHO
 NMR data (ppm):
 2 triplets at 2.85 and 2.95 (suggests $-CH_2CH_2-$)
 multiplet at 7.2 (benzene H's)
 signal at 9.8 (CHO)



21.87

C. Molecular formula $C_6H_{12}O_3$

1 degree of unsaturation

IR absorption at $1718\text{ cm}^{-1} \rightarrow C=O$

To determine the number of H's that give rise to each signal, first find the number of integration units per H by dividing the total number of integration units ($7 + 40 + 14 + 21 = 82$) by the number of H's (12); $82/12 = 6.8$. Then divide each integration unit by this number (6.8).

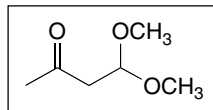
NMR data (ppm):

singlet at 2.2 (3 H's)

doublet at 2.7 (2 H's)

singlet at 3.2 (6 H's – 2 OCH_3 groups)

triplet at 4.8 (1 H)



21.88

D. Molecular ion at $m/z = 150$: $C_9H_{10}O_2$ (possible molecular formula)

5 degrees of unsaturation

IR absorption at $1692\text{ cm}^{-1} \rightarrow C=O$

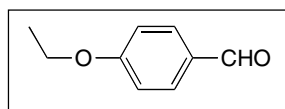
NMR data (ppm):

triplet at 1.5 (3 H's – CH_3CH_2)quartet at 4.1 (2 H's – CH_3CH_2)

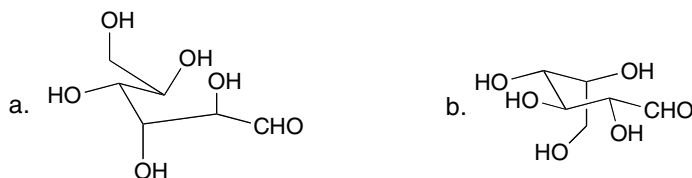
doublet at 7.0 (2 H's – on benzene ring)

doublet at 7.8 (2 H's – on benzene ring)

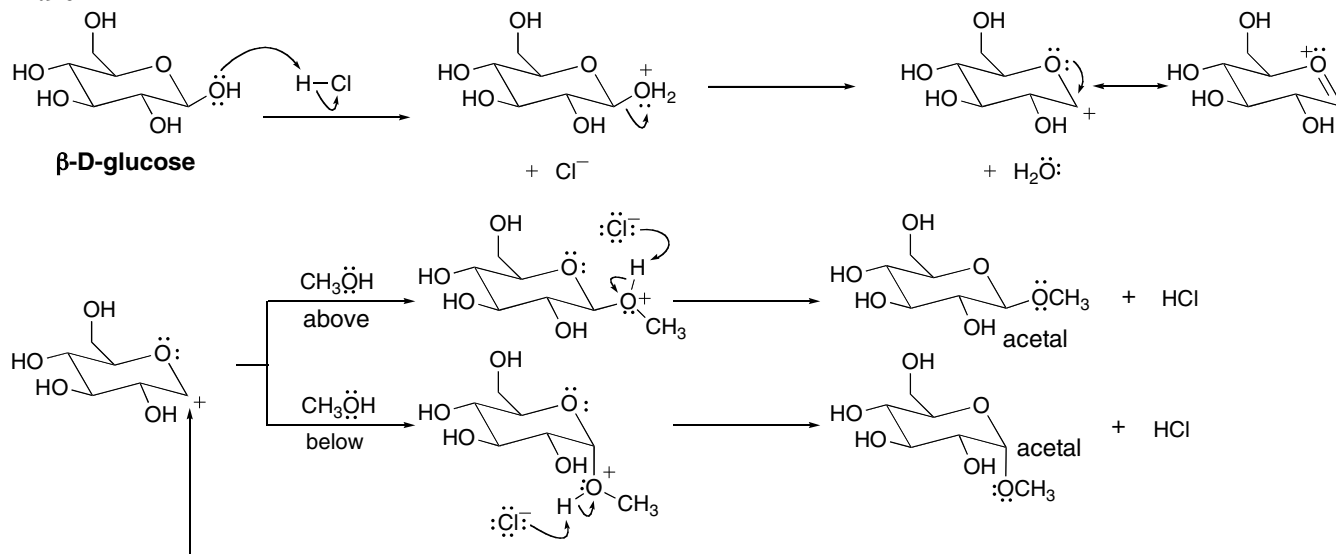
singlet at 9.9 (1 H – on aldehyde)



21.89

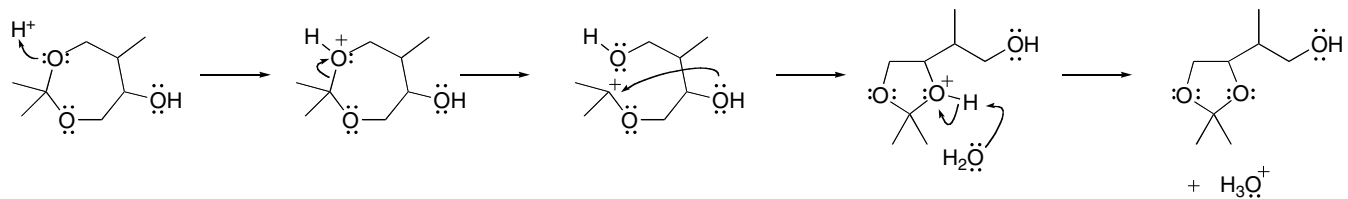


21.90

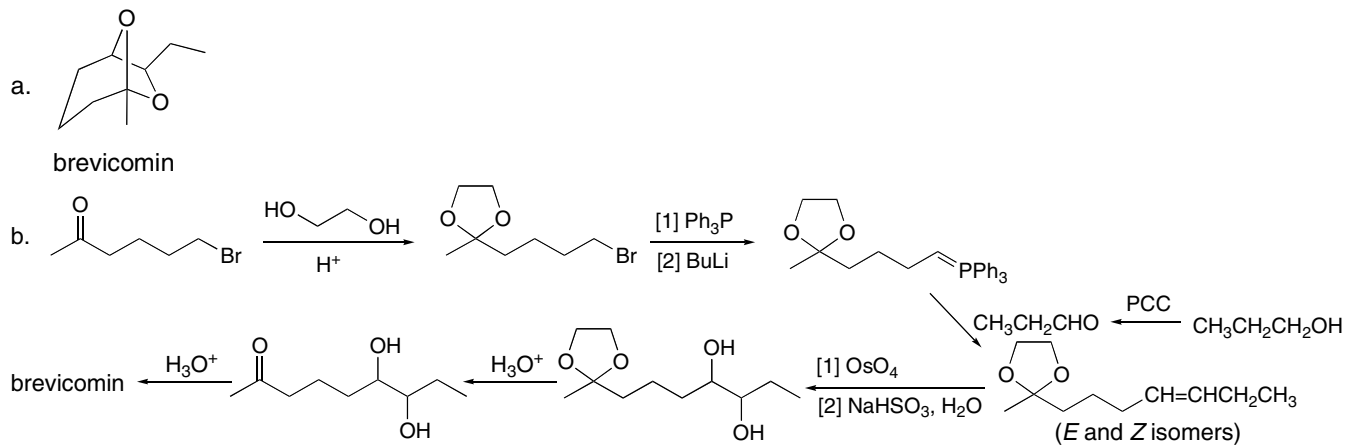


The carbocation is trigonal planar, so CH_3OH attacks from two different directions, and two different acetals are formed.

21.91



21.92



21.93

