



# Lecture #12 of 14

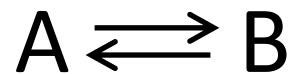
(3: TThF, 5: MTWThF, 4: MTWTh, 2: TW)

Prof. Shane Ardo

Department of Chemistry

University of California Irvine

# Summary of Key Equations and Equilibrium



$$\frac{\partial c_{A,z_0}}{\partial t} = \sum_j R_{A,j}$$

(Chemists should be yawning)

$$\bar{\mu}_i^\alpha = \left( \frac{\partial G^\alpha}{\partial n_i^\alpha} \right)_{T,p,n_{j \neq i}^\alpha}$$

$$\bar{\mu}_B^\alpha - \bar{\mu}_A^\alpha = \Delta G^\alpha = \Delta G^{0,\alpha} + RT \ln Q \dots \text{ where } Q = \frac{a_B^\alpha}{a_A^\alpha} = \frac{\gamma_B^\alpha (c_B^\alpha / c_B^{0,\alpha})}{\gamma_A^\alpha (c_A^\alpha / c_A^{0,\alpha})}$$

$$R_{A,f} = -k_f a_A \quad R_{B,f} = +k_f a_A \quad k_j \text{ (M/s)... a rate!}$$

$$R_{A,b} = +k_b a_B \quad R_{B,b} = -k_b a_B \quad k'_j \text{ (s}^{-1}\text{)... an inverse time constant!}$$

$$\frac{\partial c_{A,z_0}^\alpha}{\partial t} = -k_f a_A^\alpha + k_b a_B^\alpha = -k'_f c_A^\alpha + k'_b c_B^\alpha = -\frac{\partial c_{B,z_0}^\alpha}{\partial t}$$

Now, consider the reaction to be at equilibrium (3 ways)...  $\Delta G^\alpha = 0 = \Delta G^{0,\alpha} + RT \ln Q$

$$\dots \text{ and so, } \Delta G^{0,\alpha} = -RT \ln K, \text{ with } K = \frac{a_{B,\text{eq}}^\alpha}{a_{A,\text{eq}}^\alpha} = \frac{\gamma_B^\alpha (c_{B,\text{eq}}^\alpha / c_B^{0,\alpha})}{\gamma_A^\alpha (c_{A,\text{eq}}^\alpha / c_A^{0,\alpha})}$$

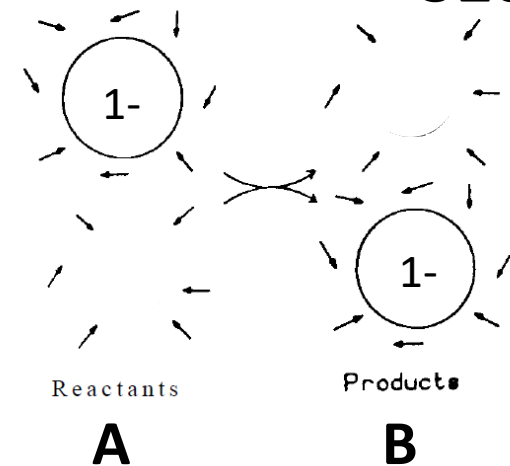
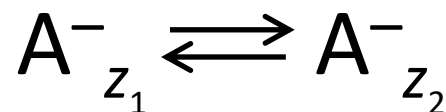
And also,  $\bar{\mu}_B^\alpha - \bar{\mu}_A^\alpha = 0 \dots$  and so,  $\bar{\mu}_A^\alpha = \bar{\mu}_B^\alpha \dots$  if that is even helpful

$$\text{And also, } \frac{\partial c_{A,z_0}^\alpha}{\partial t} = 0 = -\frac{\partial c_{B,z_0}^\alpha}{\partial t} = -k_f a_{A,\text{eq}}^\alpha + k_b a_{B,\text{eq}}^\alpha \dots \text{ and so } \frac{k_f}{k_b} = \frac{a_{B,\text{eq}}^\alpha}{a_{A,\text{eq}}^\alpha} = \frac{\gamma_B^\alpha (c_{B,\text{eq}}^\alpha / c_B^{0,\alpha})}{\gamma_A^\alpha (c_{A,\text{eq}}^\alpha / c_A^{0,\alpha})} = K$$

# Transport as a Chemical Reaction

$$\frac{\partial c_{A,z_0}}{\partial t} = \sum_j R_{A,j} \left[ -\frac{\partial N_A}{\partial z} \right]$$

... in a reaction "volume"  $z_0$ ...  
 ... that encompasses  $z_1$  and  $z_2$



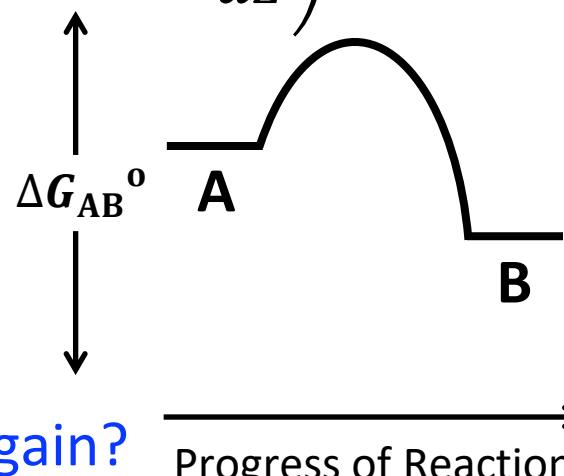
$$R_A = -k_f c_{A_{z_1}} + k_b c_{A_{z_2}} = (k_b - k_f) c_{A_{z_1}} + k_b \Delta c_A \dots \text{where } c_{A_{z_2}} = c_{A_{z_1}} + \Delta c_A$$

$$N_A = \left( -\frac{D_A}{RT} \frac{\partial \bar{\mu}_A}{\partial z} \right) c_A = -\frac{D_A}{RT} \left( \frac{RT}{c_A} \frac{dc_A}{dz} + \frac{d\mu_A^0}{dz} - RT \frac{d(\ln \gamma)}{dz} - RT \frac{d(\ln c_A^0)}{dz} - F \frac{d\phi}{dz} \right) c_A$$

$$= -D_A \frac{dc_A}{dz} + \frac{-D_A F E'}{RT} c_A \approx -\frac{D_A}{l} \Delta c_A + \frac{-D_A F E'}{RT} c_A$$

... where  $E'$  is an **effective force field**

$$-\frac{\partial N_A}{\partial z} \approx \frac{D_A}{l^2} \Delta c_A + \frac{D_A F E'}{RT l} c_A = \underbrace{"k_b" \Delta c_A}_{(diffusion)} + \underbrace{"(k_b - k_f)" c_A}_{(drift)} \dots \text{what is } D_A \text{ again?}$$



... since the reaction volume is  $>1 \text{ \AA}^3$ ,  $l > 1 \text{ \AA}$ ... and  $D(aq) < 10^{-4} \text{ cm}^2/\text{s}$ ...  $k_{D(aq)} < 10^{12} \text{ s}^{-1} = (>1 \text{ ps})^{-1}$



# Chemical Kinetics

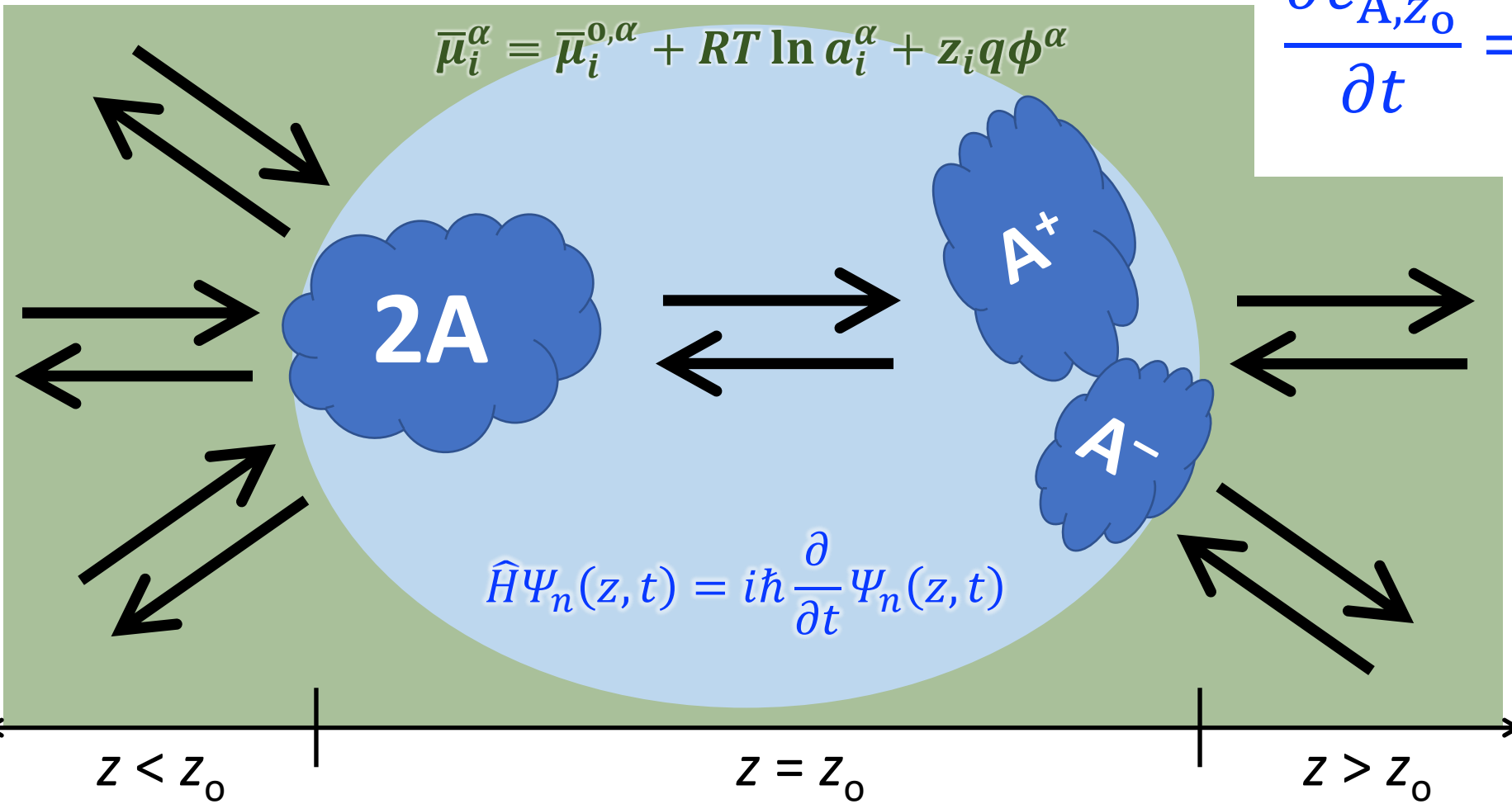
Prof. Shane Ardo  
Department of Chemistry  
University of California Irvine

**Quiz Time!**

# Chemical Kinetics

- Continuity of mass, Mass transfer, Nernst–Planck equation, Diffusion, Diffusion coefficient, Migration, Mobility, Convection, Boundary layer
- Mass action, Microscopic reversibility, Förster cube, Square schemes, Rate constants, Activation energies, Transition-state theory, Marcus–Hush theory, Transition-state character, Reorganization energies (outer and inner)
- **Linear free energy relationships, Charge transfer across electrified interfaces, Butler–Volmer equation, Fermi’s golden rule, Solid-state physics, Marcus–Gerischer theory**
- **Rate-determining step, Steady-state & Pre-equilibrium approximations, Langmuir/Frumkin isotherms**

# Continuity of Mass



$$\frac{\partial c_{A,z_0}}{\partial t} = \sum_j R_{A,j} - \frac{\partial N_A}{\partial z}$$

*Note: Adjusting just one variable is difficult... but people, like us, can try*



“Jean Piaget, the Swiss psychologist who first studied object permanence in infants, argued that it is one of an infant's most important accomplishments, as, without this concept, objects would have no separate, permanent existence.”  
 – Wiki, Object permanence

# Thermodynamics *versus* Kinetics

**Thermodynamics** are only as important as their influence on **kinetics**...

... energetics from thermodynamics dictate **equilibrium concentrations**...

... but it is the **kinetic (and transport) properties** that influence how those conditions change upon perturbation...

$$\frac{\partial c_{A,z_0}}{\partial t} = \sum_j R_{A,j} - \frac{\partial N_A}{\partial z}$$

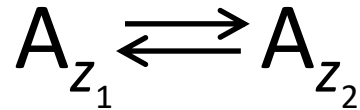
rate of change of the (c)oncentration of species A with respect to (t)ime, in units of  $M s^{-1} (mol dm^{-3} s^{-1})$

mass action (R)ate laws that effect species A, e.g.  $R_A = k_3 a_B a_D^2 \approx k_3 [B][D]^2$  (to a first order, this is driven by differences in chemical potential of various species,  $\mu_j$ )

rate of change of the molar flux (N) of species A with respect to position (z), e.g.  $N_A = -D \frac{\partial a_A}{\partial z}$  (to a first order, this is driven by differences in electrochemical potential of a single species,  $\bar{\mu}_i$ )

... this master equation describes all kinetic and transport processes for mass... more on this later...

# 1D Transport in Liquids (solids are simpler)



$$\frac{\partial c_{A,z_0}}{\partial t} = \frac{\partial N_A}{\partial z}$$

$$N_A = - \left( \frac{D_A c_A}{RT} \right) \frac{\partial \bar{\mu}_A}{\partial z} + v c_A$$

(MechEs should be yawning)

... there are many driving forces for flux of species...

... convection ( $vc$ ) is just one (e.g.,  $dT/dx$ )

Group terms... then mass transfer resembles mass action (assume  $v = 0$  for simplicity)...

$$N_A = -D_A \frac{\partial \left( \bar{\mu}_A / RT \right)}{\partial z} c_A$$

What are the directions for the dimensions of  $D_A$ ?

**BOLD**  $((\text{cm}^2/\text{s}) / \text{cm}) = \text{cm}/\text{s}$ ... a velocity!

... and with  $\frac{\partial}{\partial z}$ , units are  $\text{s}^{-1}$ ... an inverse time constant!

... and that equals zero at **steady state**

(Note that...  $R_{A,\text{total}} = -k'_f c_A + k'_b c_B$ ... with  $k'_j$  ( $\text{s}^{-1}$ ), an inverse time constant!)



# 1D Transport in Solids (liquids are "harder")

Let's expand the total differential...

... assuming a species,  $e$ , with valency,  $z_e$ , equal to  $-1$

... other species may have different values for every term, except  $\phi$

$$\text{Flux}_{z,e} = -\frac{\sigma_e}{F^2} \frac{d\bar{\mu}_e}{dz} - S_{e,T_e} \frac{dT_e}{dz} \quad \left(\frac{\partial G}{\partial n_i}\right)_{T,p,n_{j \neq i}} = \bar{\mu}_i = \mu_i + z_i q \phi$$

$$\text{Flux}_{z,e} = -\frac{D_e n_e}{kT_e} \left(\frac{d\mu_e}{dz} - q \frac{d\phi}{dz}\right) - S_{e,T_e} \frac{dT_e}{dz} \quad \mu_i = \mu_i^0 + kT_i \ln a_i = \mu_i^0 + kT_i \ln \left(\frac{\gamma_i n_i}{n_i^0}\right)$$

$$\text{Flux}_{z,e} = -\frac{D_e n_e}{kT_e} \left(\frac{d\mu_e^0}{dz} + \frac{kT_e}{\gamma_e} \frac{d\gamma_e}{dz} + \frac{kT_e}{n_e} \frac{dn_e}{dz} - \frac{kT_e}{n_e^0} \frac{dn_e^0}{dz} + k \left(\ln \frac{\gamma_e n_e}{n_e^0}\right) \frac{dT_e}{dz} - q \frac{d\phi}{dz}\right) - S_{e,T_e} \frac{dT_e}{dz}$$

$$\text{Flux}_{z,e} = -D_e \left(\frac{n_e}{kT_e} \frac{d\mu_e^0}{dz} + \frac{n_e}{\gamma_e} \frac{d\gamma_e}{dz} + \frac{dn_e}{dz} - \frac{n_e}{n_e^0} \frac{dn_e^0}{dz} + \frac{n_e}{T_e} \left(\ln \frac{\gamma_e n_e}{n_e^0}\right) \frac{dT_e}{dz} - \frac{q n_e}{kT_e} \frac{d\phi}{dz}\right) - S_{e,T_e} \frac{dT_e}{dz}$$

$$\text{Flux}_{z,e} = -D_e \frac{dn_e}{dz} + \frac{q D_e n_e}{kT_e} \frac{d\phi}{dz} \quad \dots \text{ assuming spatially invariant } \mu_e^0, \gamma_e, n_e^0, T_e$$

Drift-Diffusion equation

$$\text{Flux}_{z,e} = -D_e n_e \left(\frac{d\mu_e^0}{kT_e dz} + \frac{d(\ln \gamma_e)}{dz} + \frac{d(\ln n_e)}{dz} - \frac{d(\ln n_e^0)}{dz} - \frac{d(q\phi)}{kT_e dz}\right) - D_{n_e, \gamma_e, n_e^0, T_e} \frac{dT_e}{dz}$$

# Outer Reorganization Energy

Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

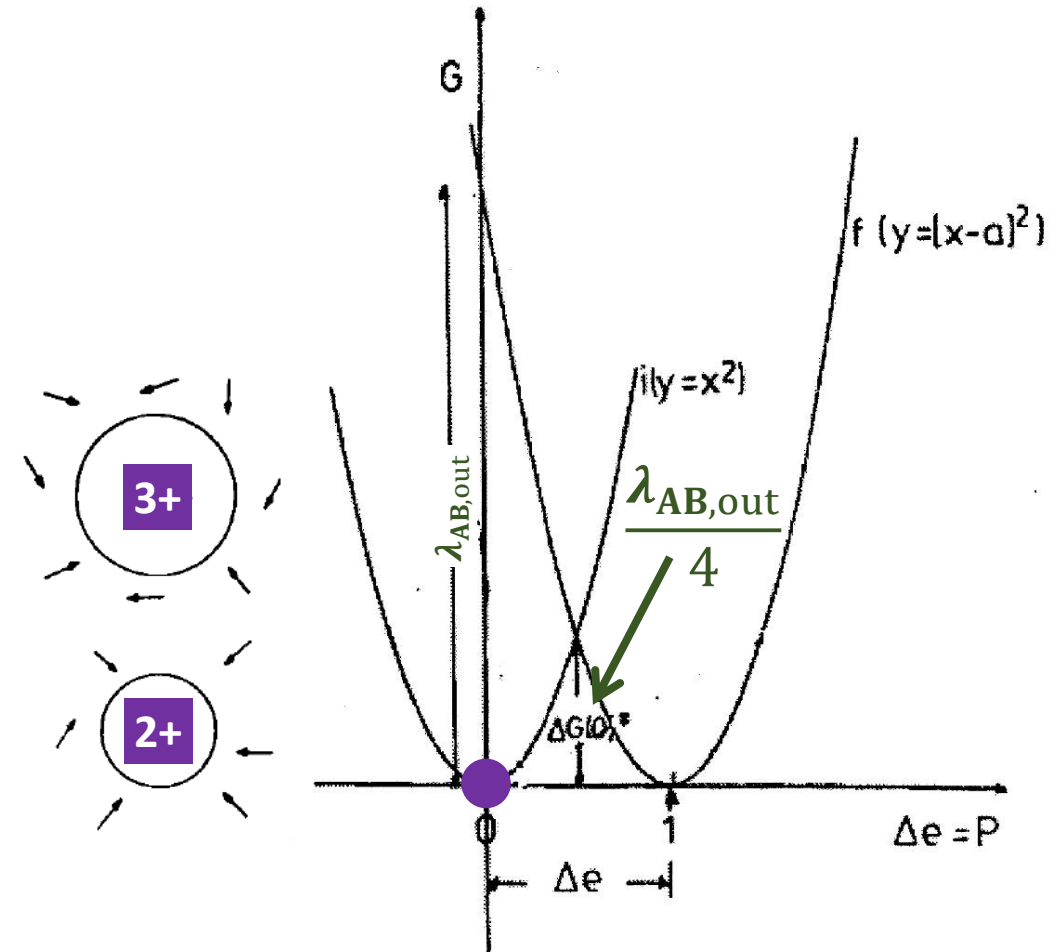
$$k_{\text{ET}} = \frac{2\pi}{\hbar} |H_{\text{DA}}|^2 \frac{1}{\sqrt{4\pi\lambda_{\text{AB}}kT}} \exp\left(-\frac{\Delta G_{\text{AB}}^{\ddagger}}{kT}\right)$$

$$\Delta G_{\text{AB}}^{\ddagger} = \frac{(\lambda_{\text{AB}} + \Delta G_{\text{AB}}^0)^2}{4\lambda_{\text{AB}}}$$

- ... but what causes this parabolic relationship?...
- ... what physical expression results in a quadratic dependence on **charge**?
- ... **classical electrostatics... of the solvent!**
- ... dielectric continuum model...

...  $G_{\text{out}} \approx 1$  eV in water

...  $G_{\text{out}}$  decreases as permittivity decreases



$$\lambda_{\text{out}} = \left( \frac{1}{2r_{\text{D}}} + \frac{1}{2r_{\text{A}}} - \frac{1}{R_{\text{DA}}} \right) \left( \frac{1}{\epsilon_{\infty}} - \frac{1}{\epsilon_{\text{s}}} \right) (\Delta e)^2$$

# Outer + Inner Reorganization Energy

Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

**This theory came about by answering the following question:** For an electron-transfer event, how does one satisfy the Franck–Condon principle and the conservation of energy?

- Franck–Condon principle: Nuclei are fixed during electron-transfer between orbitals... Born–Oppenheimer approximation is relevant

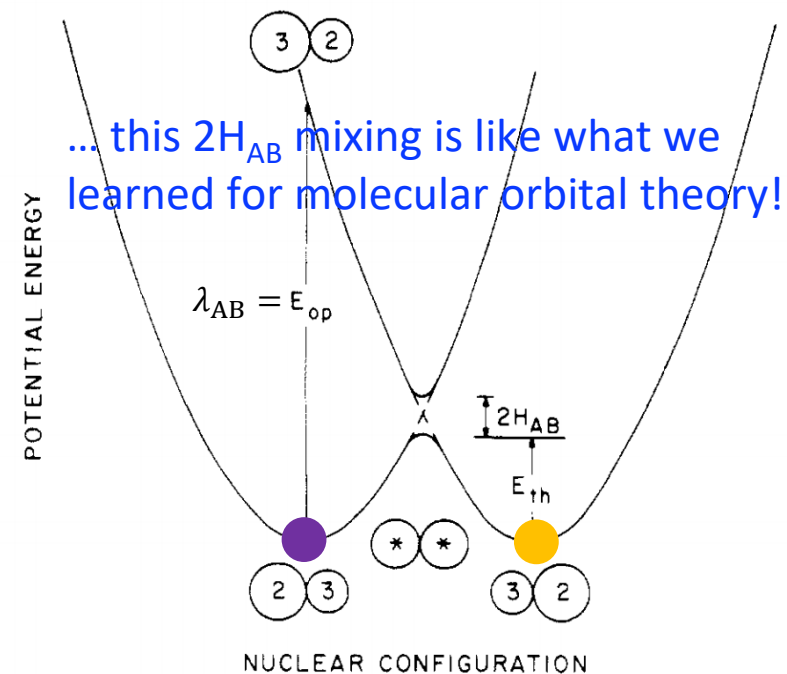
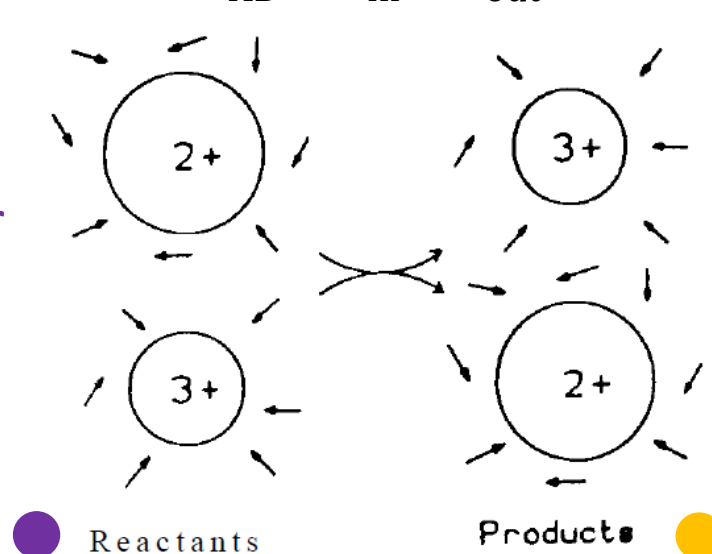
$$\lambda_i = \sum_l \lambda_{i,l} = \frac{1}{2} \sum_l f_l (\Delta q_{e,l})^2$$

... this is for a harmonic oscillator  
... with force constants,  $f_l$ ...

... and yes, it's another parabola!

## Electron Transfer in Solution

$$\lambda_{AB} = \lambda_{in} + \lambda_{out}$$



# More Quantum Mechanics

But you still didn't tell us why we need to recall this equation

$$\hat{H}\psi_n(x) = (\hat{T} + \hat{V})\psi_n(x) = E_n\psi(x)$$

... we need to fill **internal energies**,  $E_n$ , with particles... okay.

Poisson's Equation (from Gauss's law)

$$\frac{\partial^2 \phi(x)}{\partial x^2} = -\frac{\rho}{\epsilon} \quad \mathbf{E} = -\frac{\partial \phi(x)}{\partial x}$$

$$\phi(r) = \frac{q}{4\pi\epsilon r}$$

for a point charge...  
look familiar?

... and that under most chemical conditions, potential energy,  $\hat{V}(x)$ , is **electrostatic**,  $\phi(x)$

... which is actually not so limiting because there are only 4-ish forces of Nature

... and while we're at it, let's (re)learn **overlap integral** ( $S_{nm}$ ), **expectation value** ( $\langle p_n \rangle$ ), **bra-ket notation** ( $\langle \psi_n | \psi_m \rangle$ ), and exponential **tunneling probability**...

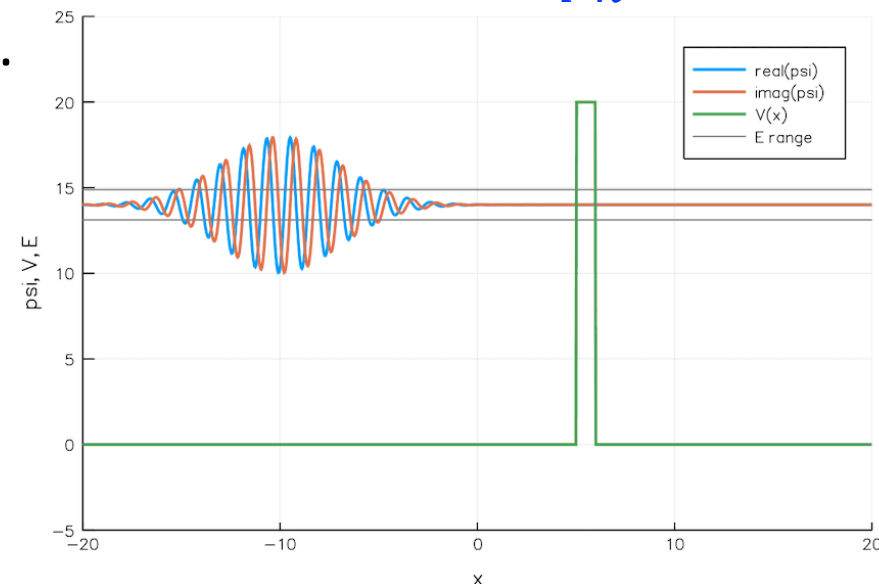
$$\text{Probability Density}(x) = |\psi_n(x)|^2 = \psi_n^*(x)\psi_n(x)$$

... with  $\psi_n^*(x)$  (complex conjugate)

$$\text{Overlap integral, } S_{nm} = \int_{-\infty}^{\infty} \psi_n^*(x)\psi_m(x) dx = \langle \psi_n | \psi_m \rangle$$

... with  $\langle \Psi_n |$  ("bra") and  $|\Psi_m \rangle$  ("ket")

$$\text{Mean Energy, } \langle E_n \rangle = \frac{\int_{-\infty}^{\infty} \Psi_n^*(x,t)\hat{H}\Psi_n(x,t)dx}{\int_{-\infty}^{\infty} \Psi_n^*(x,t)\Psi_n(x,t)dx} = \langle \Psi_n | \hat{H} | \Psi_n \rangle = \langle \hat{H} \rangle$$



# Molecular Orbital Theory

## Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

$$k_{\text{ET}} = \frac{2\pi}{\hbar} |H_{\text{DA}}|^2 \frac{1}{\sqrt{4\pi\lambda_{\text{AB}}kT}} \exp\left(-\frac{\Delta G_{\text{AB}}^{\ddagger}}{kT}\right)$$

quantum adiabatic  
electronic coupling

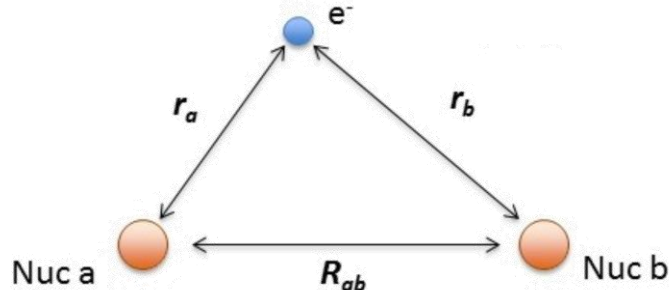
classical nuclear free-  
energy dependence

... remember the simplicity of  $\text{H}_2^+$ ... which resembles  $\lambda_{\text{out}}$  math

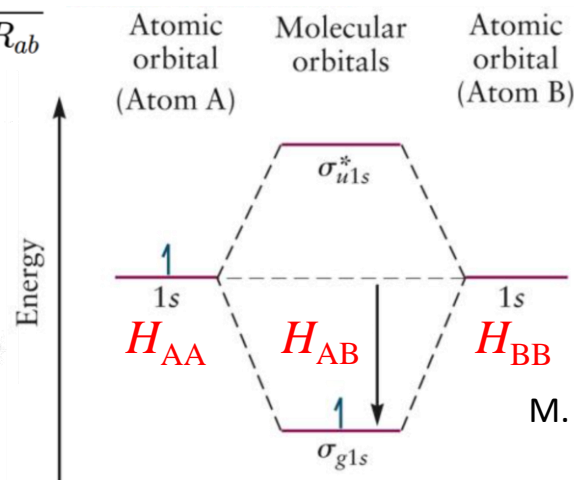
$$\hat{H}(r, R_{ab}) = -\frac{\hbar^2}{2m} \nabla_a^2 - \frac{\hbar^2}{2m} \nabla_b^2 - \frac{\hbar^2}{2m} \nabla^2 - \frac{e^2}{4\pi\epsilon_0 r_a} - \frac{e^2}{4\pi\epsilon_0 r_b} + \frac{e^2}{4\pi\epsilon_0 R_{ab}}$$

matrix

$$\hat{H} = \begin{pmatrix} H_{AA} & H_{AB} \\ H_{BA} & H_{BB} \end{pmatrix}$$



$$E_{\pm}(R) = \frac{1}{1 \pm S(R)} (H_{AA}(R) \pm H_{AB}(R))$$

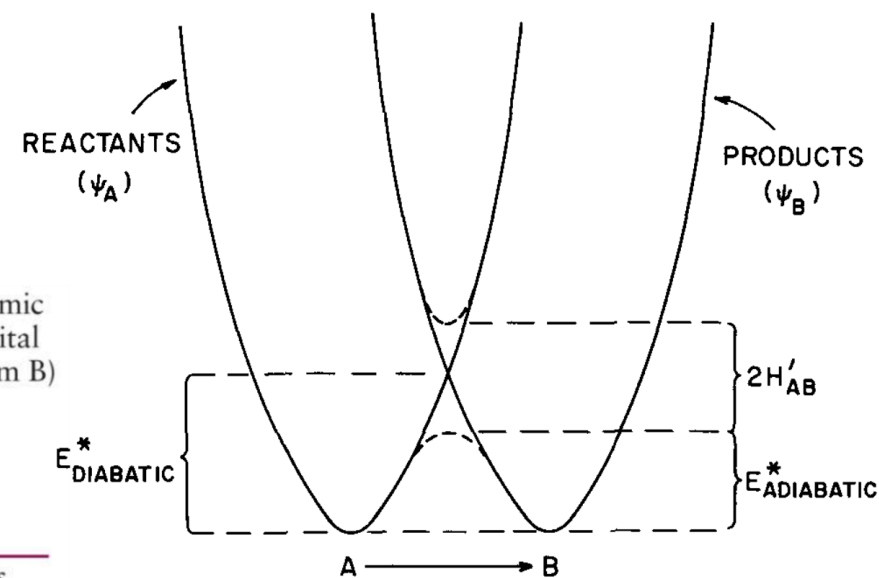


## Molecular Orbital Theory

linear combination of atomic orbitals

(50) The splitting at the intersection is only equal to  $2H_{\text{AB}}$  when the overlap of the electronic wave functions can be neglected. More generally it is equal to  $2(H_{\text{AB}} - S_{\text{AB}}H_{\text{AA}})/(1 - S_{\text{AB}}^2)$  where  $S_{\text{AB}} = \langle \psi_A | \psi_B \rangle$ .

... which equals  $(E_+ - E_-)$  from MO Theory



M. D. Newton, *Int. J. Quantum. Chem.*, **1980**, *18*, 363–391  
N. Sutin, *Acc. Chem. Res.*, **1982**, *15*, 275–282

# Observation of Inverted Region Behavior

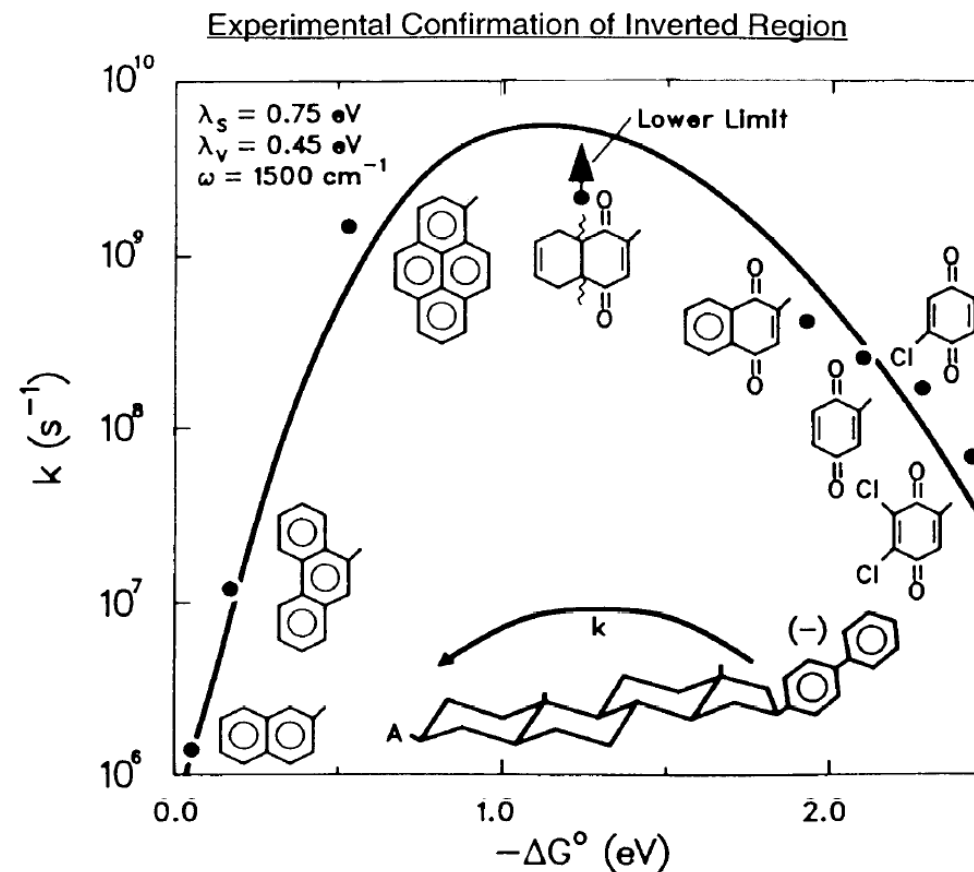
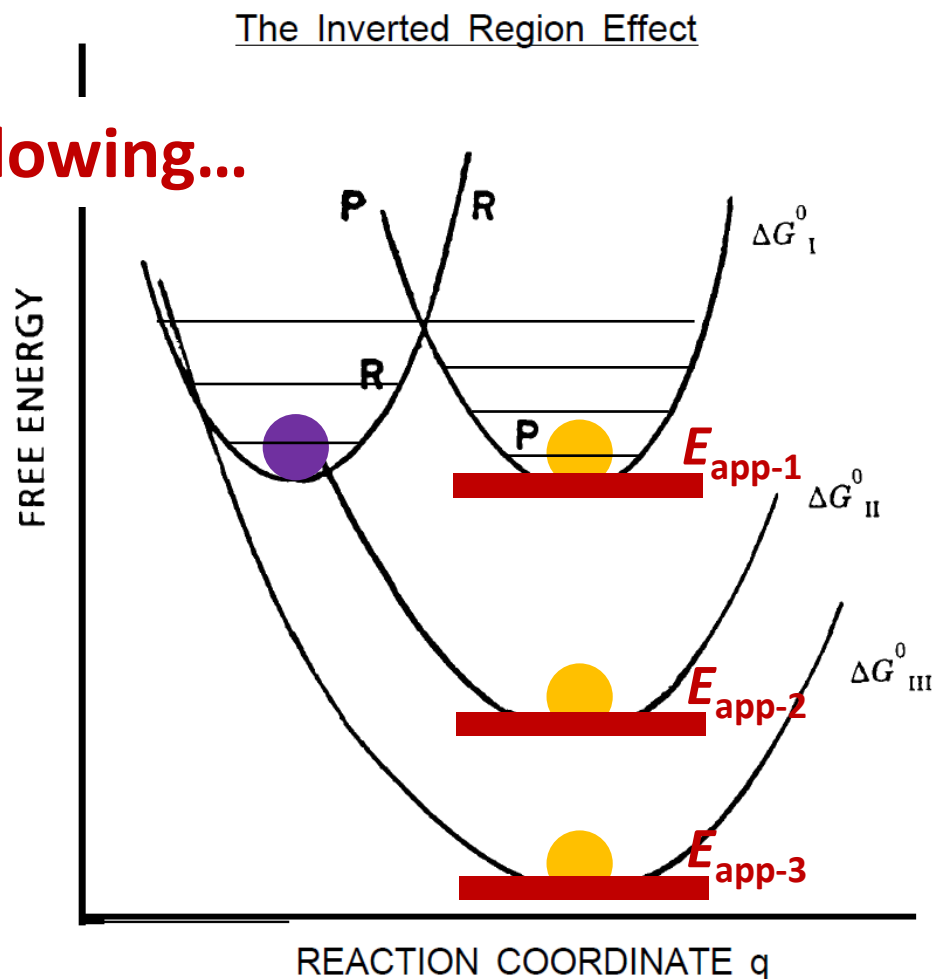
Marcus-Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

Cross-Miller (1984)

observation of inverted region

**Foreshadowing...**



L. T. Calcaterra, J. R. Miller & G. L. Closs, *J. Am. Chem. Soc.*, **1984**, *106*, 3047–3049

G. L. Closs & J. R. Miller, *Science*, **1988**, *240*, 440–447

# Linear Free Energy Relationships (LFERs)

Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

Brønsted–Pedersen (1924)

empirical LFER for proton transfer

$$\ln k_{\text{PT}} = -\beta \text{p}K_{\text{a}} + C$$

$$\ln k_{\text{PT}} = \beta \Delta \text{p}K_{\text{a}} + C'$$

Brønsted slope...

... most people use  $\alpha$ ...

... but I prefer  $\beta$

... like in EChem

**linear free-energy relation** Online use... < >

Also contains definition of: [linear Gibbs energy relation](#)

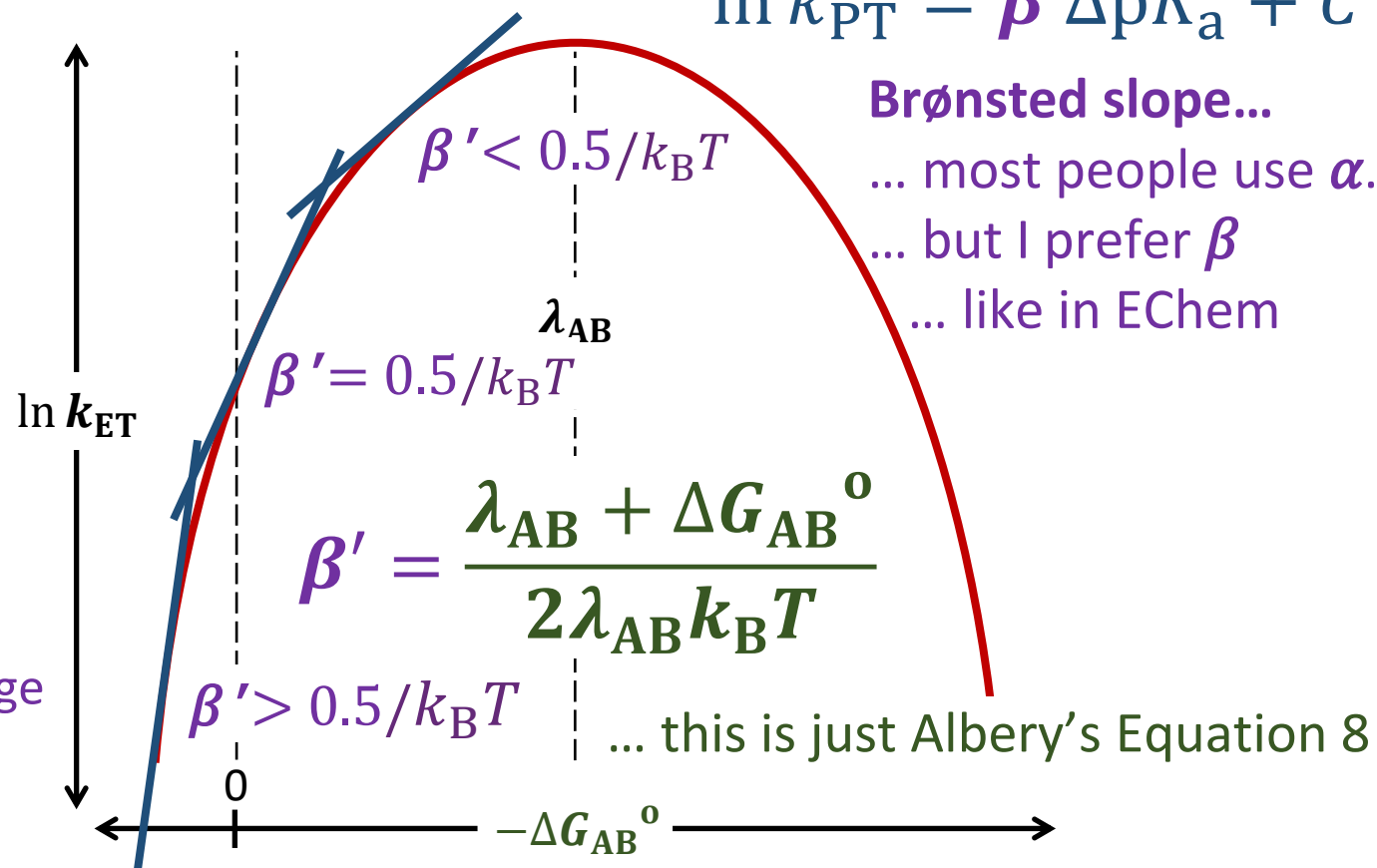
A linear correlation between the logarithm of a [rate constant](#) or [equilibrium constant](#) for one series of reactions and the logarithm of the [rate constant](#) or [equilibrium constant](#) for a related series of reactions. Typical examples of such relations (also known as linear Gibbs energy relations) are the [Brønsted relation](#), and the [Hammett equation](#).

The name arises because the logarithm of an [equilibrium constant](#) (at constant temperature and pressure) is proportional to a standard [free energy](#) (Gibbs energy) change, and the logarithm of a [rate constant](#) is a linear function of the [free energy](#) (Gibbs energy) of [activation](#).

It has been suggested that this name should be replaced by linear Gibbs energy relation, but at present there is little sign of acceptance of this change. The area of physical organic

Cite as: IUPAC. Compendium of Chemical Terminology, 2nd ed. (the "Gold Book"). Compiled by A. D. McNaught and A. Wilkinson. Blackwell Scientific Publications, Oxford (1997). Online version (2019-) created by S. J. Chalk. ISBN 0-9678550-9-8. <https://doi.org/10.1351/goldbook>.

Last revised: February 24, 2014



... trend looks linear over a small enough  $\Delta G_{\text{AB}}^{\circ}$  range

J. Albery, *Ann. Rev. Phys. Chem.*, **1980**, 31, 227–263

R. A. Marcus, *Farad. Discuss. Chem. Soc.*, **1982**, 74, 7–15



# Marcus Kinetic Behavior

**Table 13** Applications of the Marcus relation

<b>Electron transfers</b>	<b>Electrochemical</b>	<b>Tafel Law</b> <b>Electrode kinetics at semiconductors</b>
	<b>Homogeneous</b>	<b>Correlation with electrochemical</b> <b>Cross reactions obey Marcus relation (Eq. 4)</b>
<b>Proton transfers</b>	<b>Marcus relation reduces to Brønsted Law</b>	<b>Explains curved Brønsted plots</b>
	<b>O, N bases and some transfers to C</b> <b>Concerted reactions</b>	<b>Describes enolization of acetone</b>
<b>Methyl transfers</b>	<b>Cross reactions obey Marcus relation</b> <b>Swain-Scott <math>n</math> separated into <math>n^{\ddagger}</math> and <math>n^{\theta}</math></b> <b><math>\alpha D</math> isotope effects measure <math>C^+</math> character</b>	
<b>Nucleophilic additions</b>	<b>Provides alternative explanation to Ritchie relation</b> <b>Values of <math>\bar{G}</math> found to be constant for similar species</b>	



# Charge Transfer across Electrified Interfaces

... aren't these so-called "heterogeneous electron-transfer reactions"?... Sure.



FYI: For each  $c_O$  and  $c_R$ , electrochemical equilibrium ( $j = 0$ ) is attained via interfacial charge transfer to alter  $\Delta\phi^{M|s}$

$$R_O = \frac{\partial c_{O,z_0}}{\partial t} = -k_f c_O + k_b c_R$$

...  $R_O$  is a rate... with units of M/s (= mol dm<sup>-3</sup> s<sup>-1</sup>)  
...  $k_j$  (s<sup>-1</sup>) is an inverse time constant!

$$j_E = nF(-k'_{f,E} c_{O,z_0} + k'_{b,E} c_{R,z_0})$$

...  $j_E$  is a current density... with units of A/cm<sup>2</sup> (= C cm<sup>-2</sup> s<sup>-1</sup>)  
...  $k'_{j,E}$  (cm s<sup>-1</sup>) is a velocity!

... as a specific case choose  $E^{o'}$  ... and thus,  $j_{E^{o'}} = nF(-k'_{f,E^{o'}} c_{O,z_0} + k'_{b,E^{o'}} c_{R,z_0})$

But how does this lead to the Butler–Volmer eqn?...

$$0 = j_{E^{o'}} = nF(-k'_{f,E^{o'}} + k'_{b,E^{o'}})$$

$$j_E = j_o \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

$$k'_{f,E^{o'}} = k'_{b,E^{o'}} = k^0$$

$$\eta = (E - E_{eq}) = E_{app} = \frac{\Delta G^0}{-nF} \quad \dots \text{where } \eta \text{ (V) is overpotential}$$

... this suggests that we will replace  $k'_{j,E}$  velocity rate constants...  
... with thermodynamic driving force terms!... But how?... **M–H!**

# Charge Transfer across Electrified Interfaces

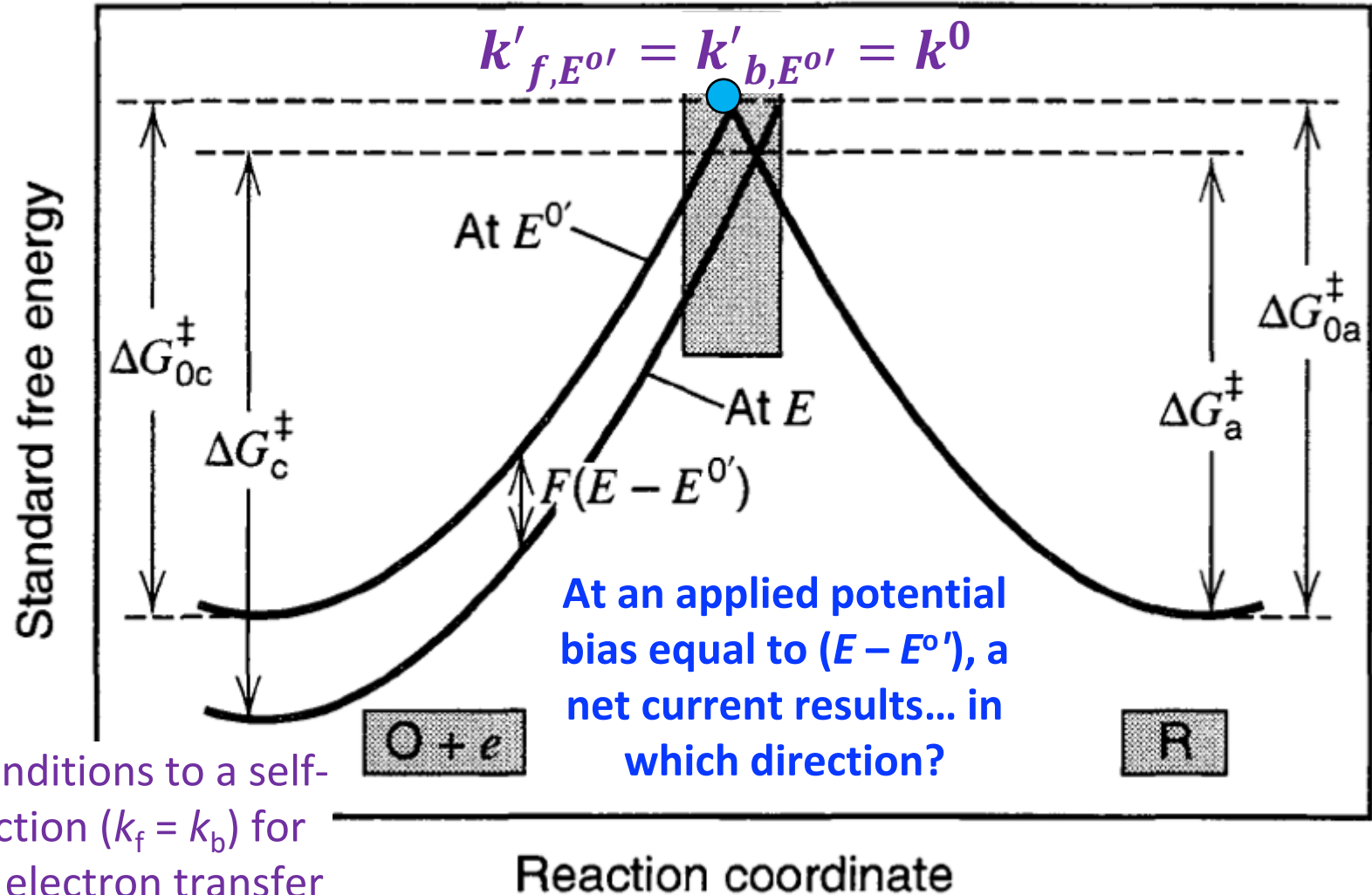


Bard & Faulkner, Chapter 3, Figure 3.3.2, Page 95

## Key Electrochemical Information

- In electrochemistry, application of a potential,  $E_{app}$ , varies the electrochemical potential of electrons ( $e^{-}$ ) in the (M)etal working electrode,  $\bar{\mu}_e^M$
- Based on thermodynamics, when written as a reduction reaction, changing  $\bar{\mu}_e^M$  alters the free energy (and one assumes also the standard-state free energy) of the reactants, as  $\Delta G_A = \bar{\mu}_e^M + \bar{\mu}_O$  (and  $\Delta G_A^0 = \bar{\mu}_e^M + \bar{\mu}_O^0$ )
- The derivation here assumes that the electrode is inert, e.g. not like battery electrodes

\* analogous conditions to a self-exchange reaction ( $k_f = k_b$ ) for homogeneous electron transfer



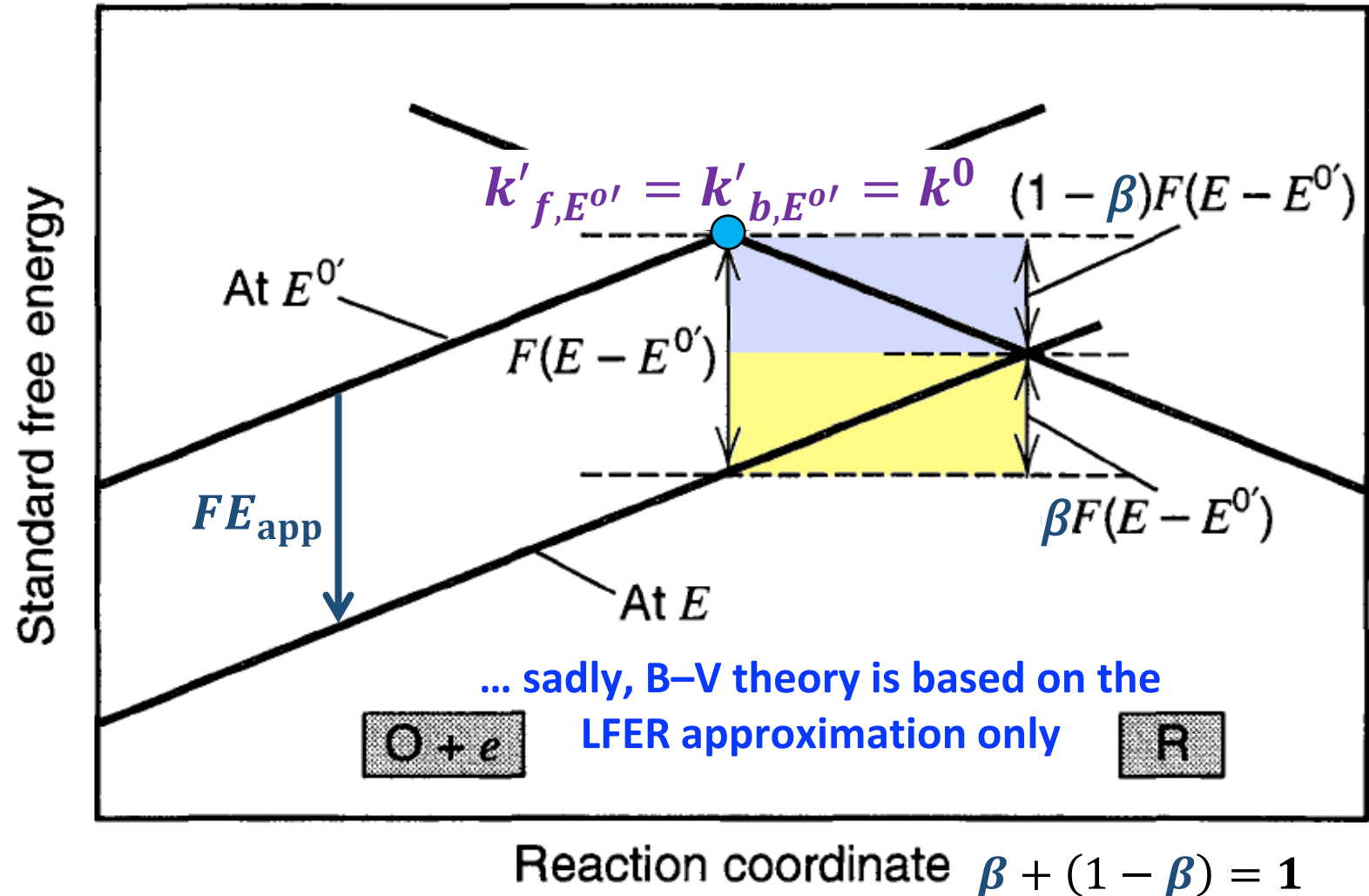
# Charge Transfer across Electrified Interfaces



Bard & Faulkner, Chapter 3, Figure 3.3.2, Page 95

## Key Electrochemical Information

- In electrochemistry, application of a potential,  $E_{app}$ , varies the electrochemical potential of electrons ( $e^{-}$ ) in the (M)etal working electrode,  $\bar{\mu}_e^M$
- Based on thermodynamics, when written as a reduction reaction, changing  $\bar{\mu}_e^M$  alters the free energy (and one assumes also the standard-state free energy) of the reactants, as  $\Delta G_A = \bar{\mu}_e^M + \bar{\mu}_O$  (and  $\Delta G_A^0 = \bar{\mu}_e^M + \bar{\mu}_O^0$ )
- Based on approximations, altering  $\Delta G_A$  changes all  $\Delta G$  values on the reaction coordinate relative to  $\Delta G_A$ , and not the parabolic/linear shape of the reactant "surface" ... **Should it?**



# Butler–Volmer equation



$$j_E = nF(k'_{b,E}c_{R,z_0} - k'_{f,E}c_{O,z_0}) \quad \dots \text{for this example, let's assume that } n = 1\dots$$

$$j_E = Fk^0 \left\{ c_{R,z_0} \exp\left(\frac{(1-\beta)F(E-E^{0'})}{RT}\right) - c_{O,z_0} \exp\left(\frac{-\beta F(E-E^{0'})}{RT}\right) \right\} \quad \text{Current–Potential Characteristic}$$

$$j_E = j_0 \left\{ \frac{c_{R,z_0}}{c_R^*} \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \frac{c_{O,z_0}}{c_O^*} \exp\left(\frac{-\beta F\eta}{RT}\right) \right\} \quad \begin{array}{l} \eta = (E - E_{\text{eq}}) = E_{\text{app}} \\ j_0 = Fk^0 c_R^{*\beta} c_O^{*(1-\beta)} \end{array} \quad \text{Current–Overpotential Equation}$$

...  $c^*$  means bulk concentration... conversion is trivial using  $E_{\text{eq}} = E^{0'} - \frac{RT}{nF} \ln \frac{c_R^*}{c_O^*}$

$$j_E = j_0 \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right\} \quad \text{Butler–Volmer Equation}$$

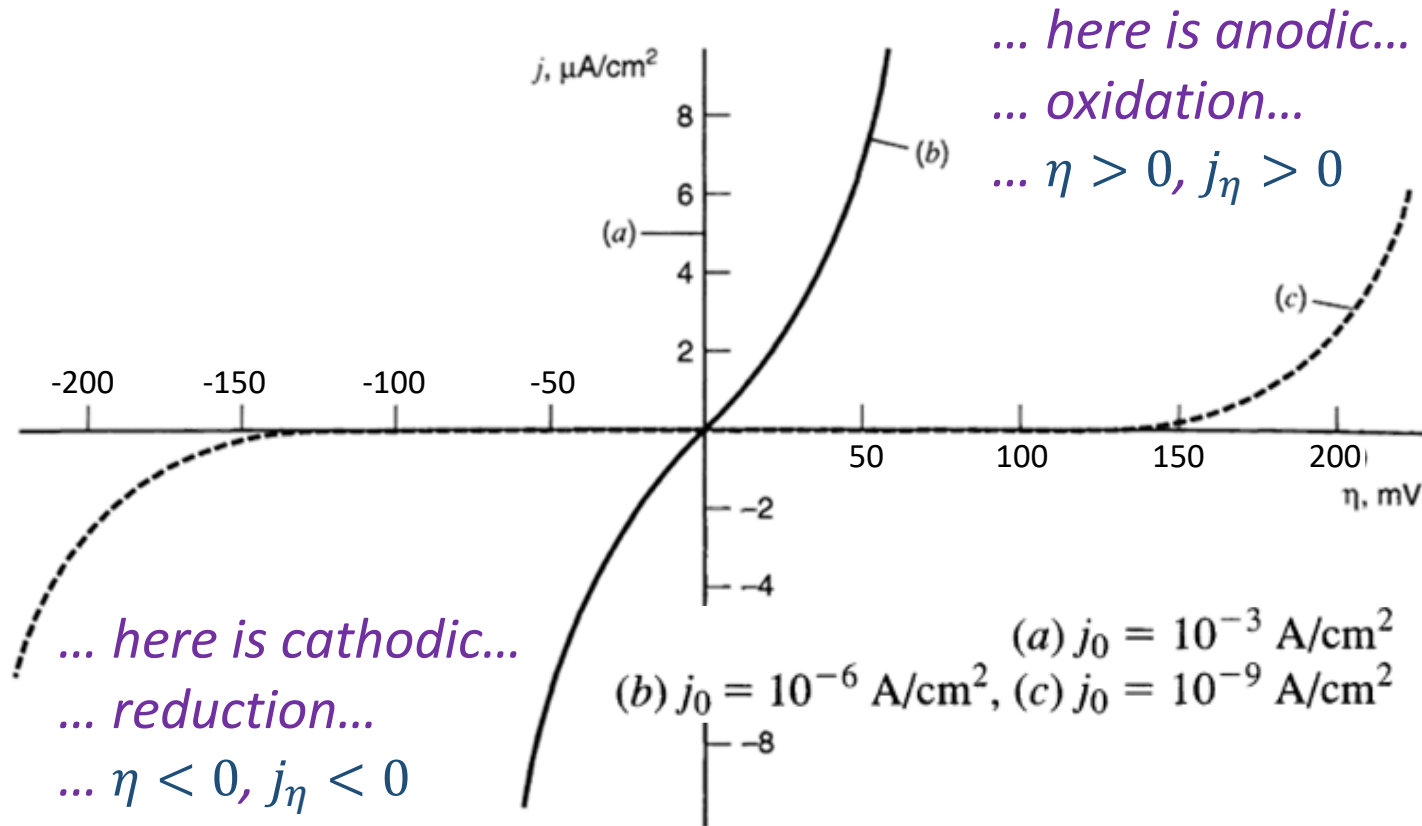
... assuming one rapidly stirs

$$\text{Tafel Slopes} \quad \text{TS}_{\text{cath}}^{-1} = \left(\frac{d(\log |j_E|)}{d|\eta|}\right)_{\text{cath}} = \frac{-\beta F}{2.303RT}$$

$$\text{TS}_{\text{an}}^{-1} = \left(\frac{d(\log j_E)}{d\eta}\right)_{\text{an}} = \frac{(1-\beta)F}{2.303RT}$$

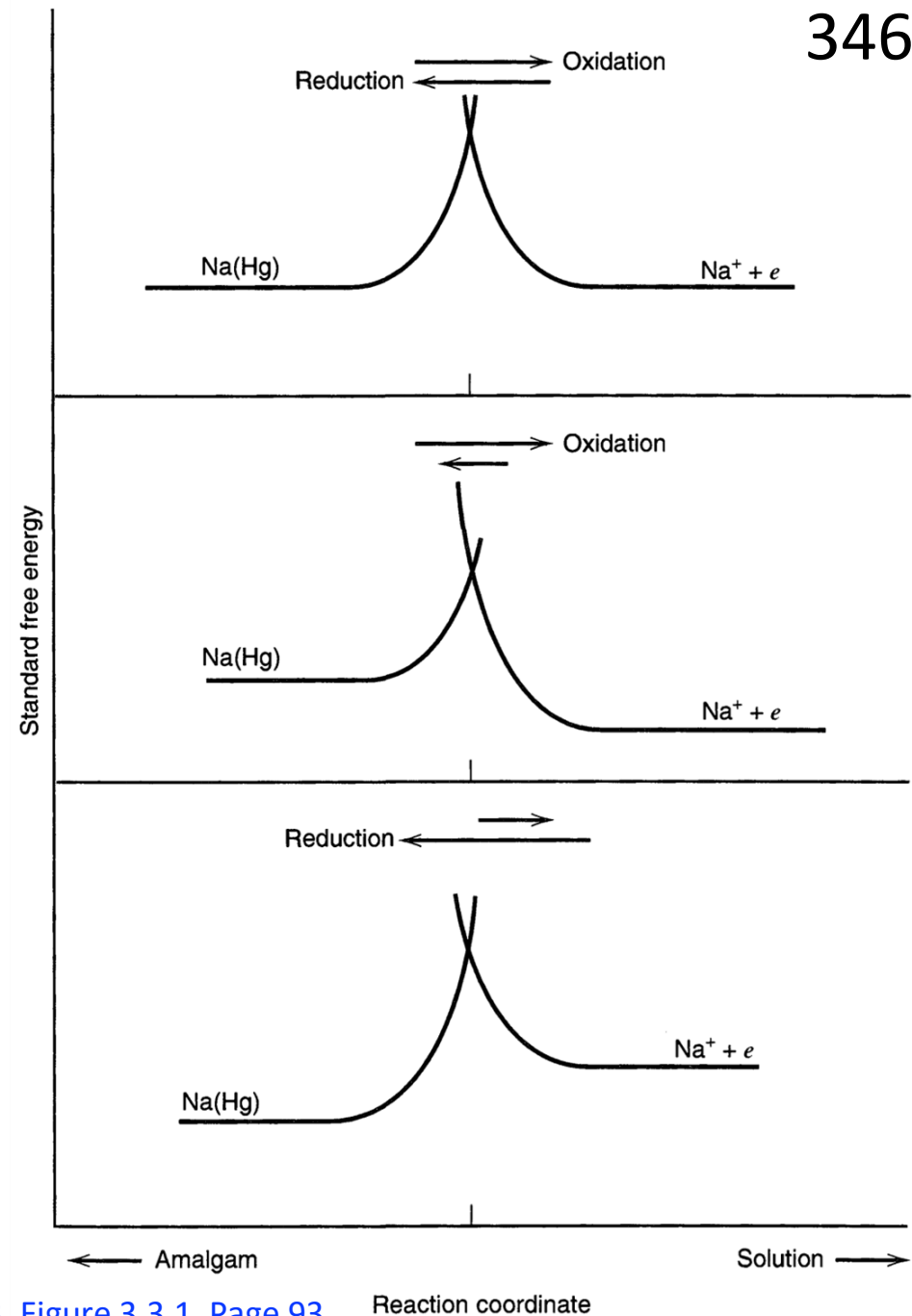
# Butler–Volmer equation

... let's examine effects of  $j_0$  (or  $k^0$ )...



Bard & Faulkner, Chapter 3, Figure 3.4.2, Page 101

... recall that...  $\eta = (E - E_{\text{eq}}) = E_{\text{app}} = \frac{\Delta G^0}{-nF}$

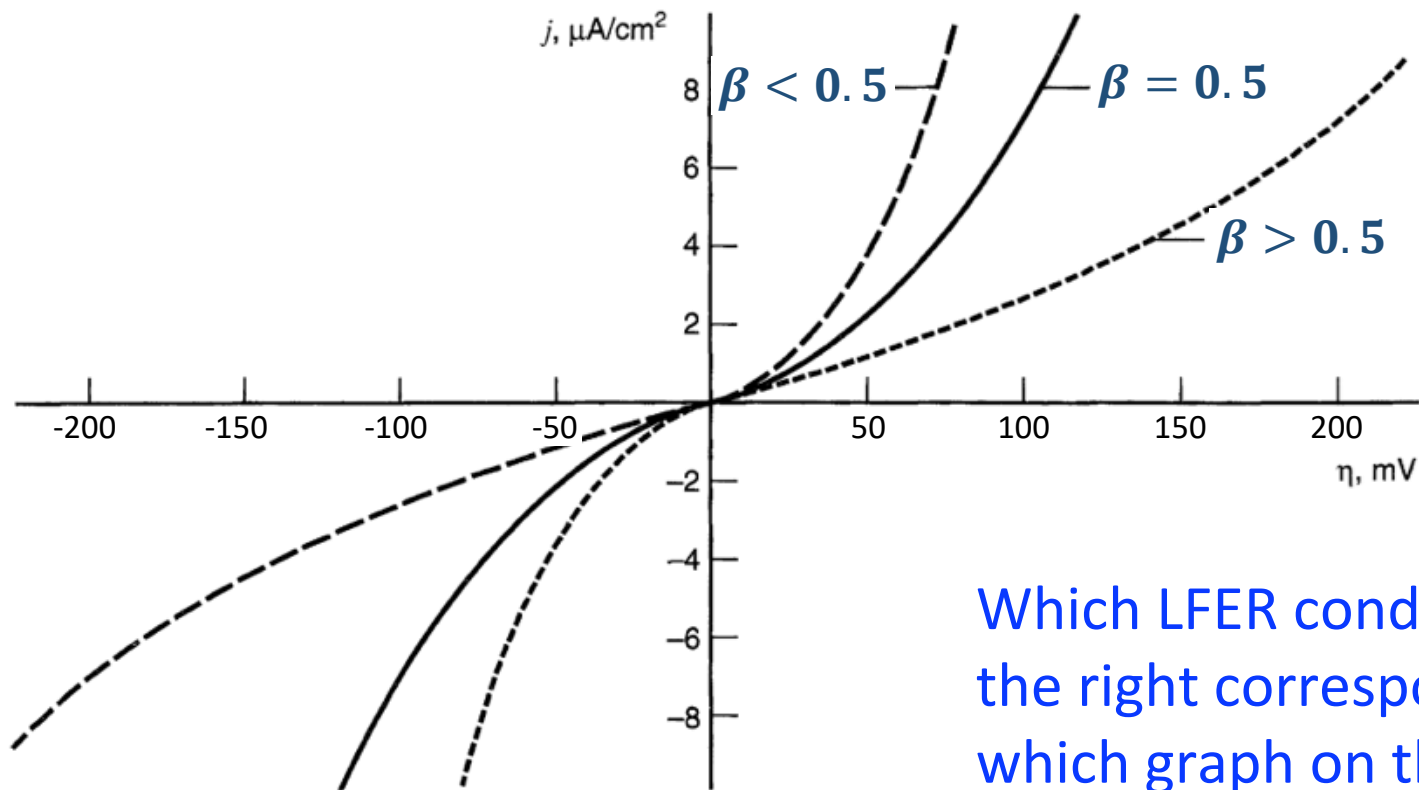


Bard & Faulkner, Chapter 3, Figure 3.3.1, Page 93

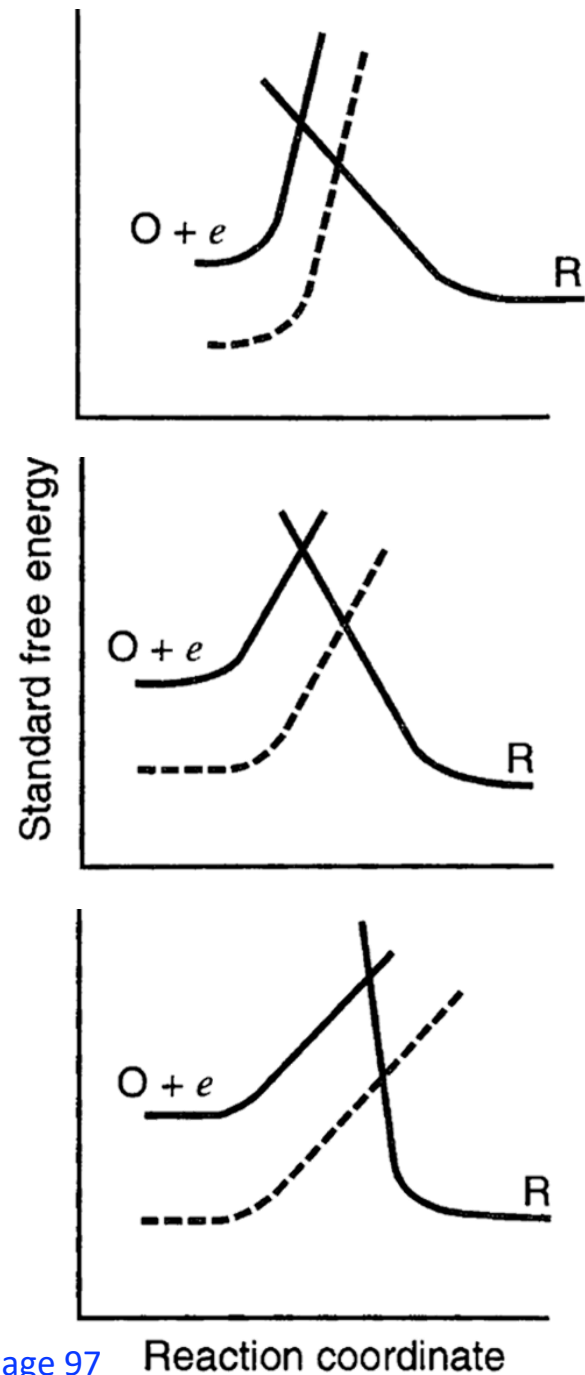
Reaction coordinate

# Butler–Volmer equation

... let's examine effects of  $\beta$  (or Tafel slope)...



Which LFER condition on the right corresponds to which graph on the left?



Bard & Faulkner, Chapter 3, Figure 3.4.3, Page 101

... recall that...  $\eta = (E - E_{\text{eq}}) = E_{\text{app}} = \frac{\Delta G^0}{-nF}$

Bard & Faulkner, Chapter 3, Figure 3.3.4, Page 97

Reaction coordinate

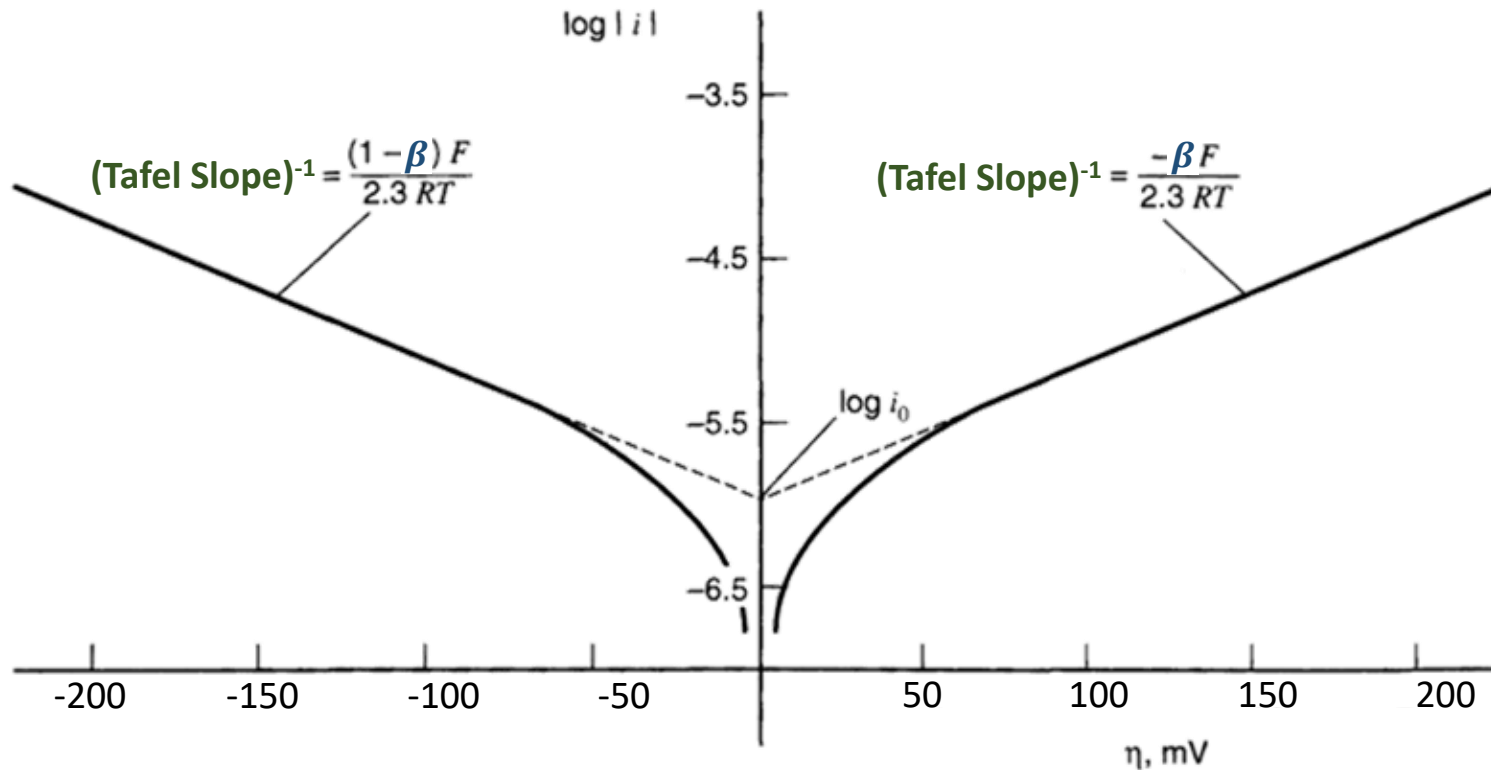
# Butler–Volmer equation

... let's examine the tradeoff of  $j_0$  and Tafel Slope...

... the latter has units of mV/decade...

... wait, didn't Marcus teach us this should be a parabola?

... then, why is this "potential relationship" so linear?



Bard & Faulkner, Chapter 3, Figure 3.4.4, Page 103

**Quick Quiz: Which catalyst is best?**

(A)  $j_0 = 10^{-4} \text{ A cm}^{-2}$ , TS = 120 mV decade<sup>-1</sup>

(B)  $j_0 = 10^{-7} \text{ A cm}^{-2}$ , TS = 60 mV decade<sup>-1</sup>

Well, it depends on the desired  $j_E$ ...

For 1 mA cm<sup>-2</sup>, (A) is best, but...

For 1 A cm<sup>-2</sup>, (B) is best...

... because catalyst (A) requires  $\eta = 480 \text{ mV}$ , while catalyst (B) requires  $\eta = 420 \text{ mV}$ !

... and neither may be "best" in practice, if they aren't stable or selective for the reaction of interest!

**Take-home point: Each current density has a corresponding overpotential!**



# Fermi's (Second) Golden Rule

$$j_E = nF(-k'_{f,E}c_{O,z_0} + k'_{b,E}c_{R,z_0})$$

$k'_j$  (cm s<sup>-1</sup>)... a velocity!

$$\lambda_{if} = \frac{2\pi}{\hbar} |M_{if}|^2 \rho_f$$

Transition probability

Matrix element for the interaction

Density of final states (DoS)

Recall M-H...  $k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{kT}\right)$

... divide the DoS by  $c_{i,z_0}$ ...

frequency factor (s<sup>-1</sup>)

$$k_f = \nu \int_{-\infty}^{\infty} \epsilon_{red}(\mathbf{E}) W_O(\lambda, \mathbf{E}) f(\mathbf{E}) \rho(\mathbf{E}) d\mathbf{E}$$

proportionality function (cm<sup>3</sup> eV)

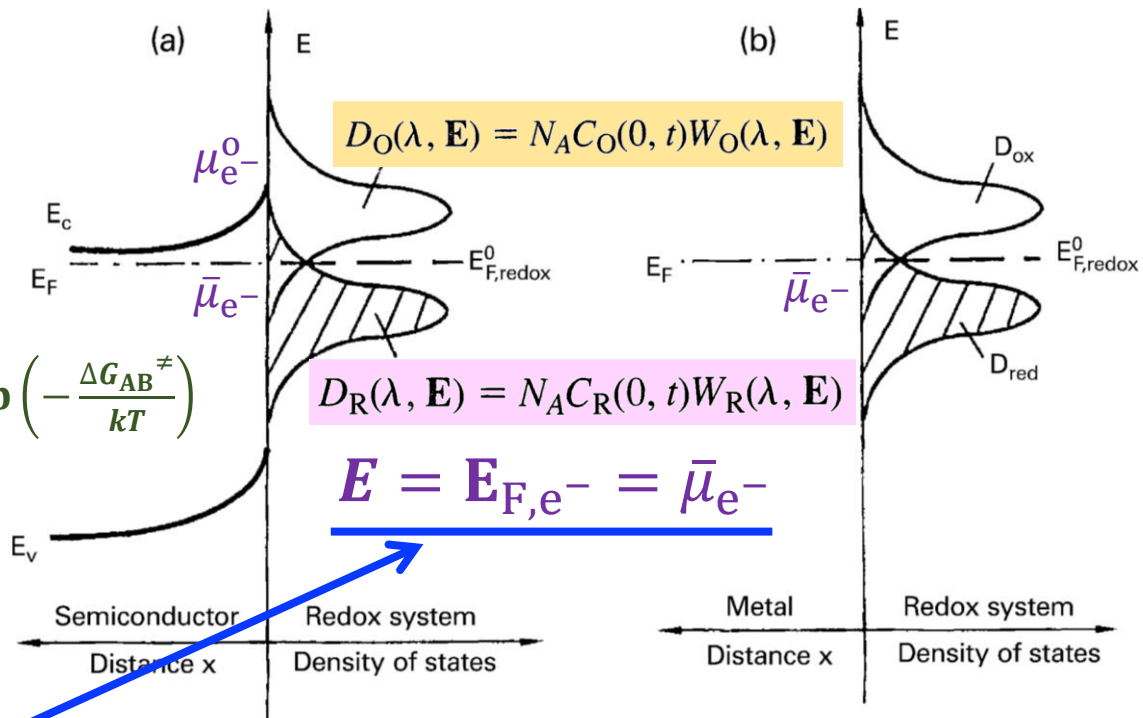
$$k_b = \nu \int_{-\infty}^{\infty} \epsilon_{ox}(\mathbf{E}) W_R(\lambda, \mathbf{E}) [1 - f(\mathbf{E})] \rho(\mathbf{E}) d\mathbf{E}$$

applied potential

$$k'_{f,E,obs} = \int_{-\infty}^{\infty} k'_{f,E} dE \quad k'_{b,E,obs} = \int_{-\infty}^{\infty} k'_{b,E} dE$$

energy

$$j_{E,obs} = nF(-k'_{f,E,obs}c_{O,z_0} + k'_{b,E,obs}c_{R,z_0})$$

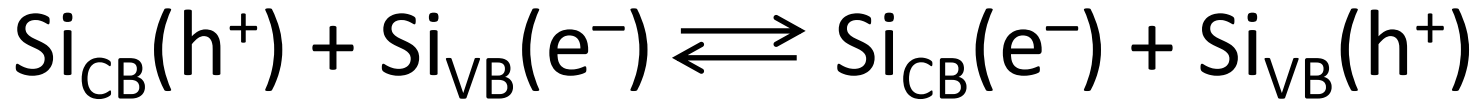


R. Memming, Chapter 6, Semiconductor Electrochemistry



# Solid-State Physics Terminology

$$\bar{\mu}_i^\alpha = \left( \frac{\partial G}{\partial n_i} \right)_{T,p,n_{j \neq i}}$$



At equilibrium,

$$\bar{\mu}_{\text{CB}}^{\text{Si}}(\text{h}^+) + \bar{\mu}_{\text{VB}}^{\text{Si}}(\text{e}^-) = \bar{\mu}_{\text{CB}}^{\text{Si}}(\text{e}^-) + \bar{\mu}_{\text{VB}}^{\text{Si}}(\text{h}^+)$$

As reference states, it is useful to define

$$\bar{\mu}_{\text{CB}}^{\text{Si}}(\text{h}^+) = \bar{\mu}_{\text{VB}}^{\text{Si}}(\text{e}^-) = 0$$

... you can define up to one more  $\bar{\mu}_i^{\text{Si}}$ , but the last  $\bar{\mu}_i^{\text{Si}}$  has to be defined based on calorimetry data

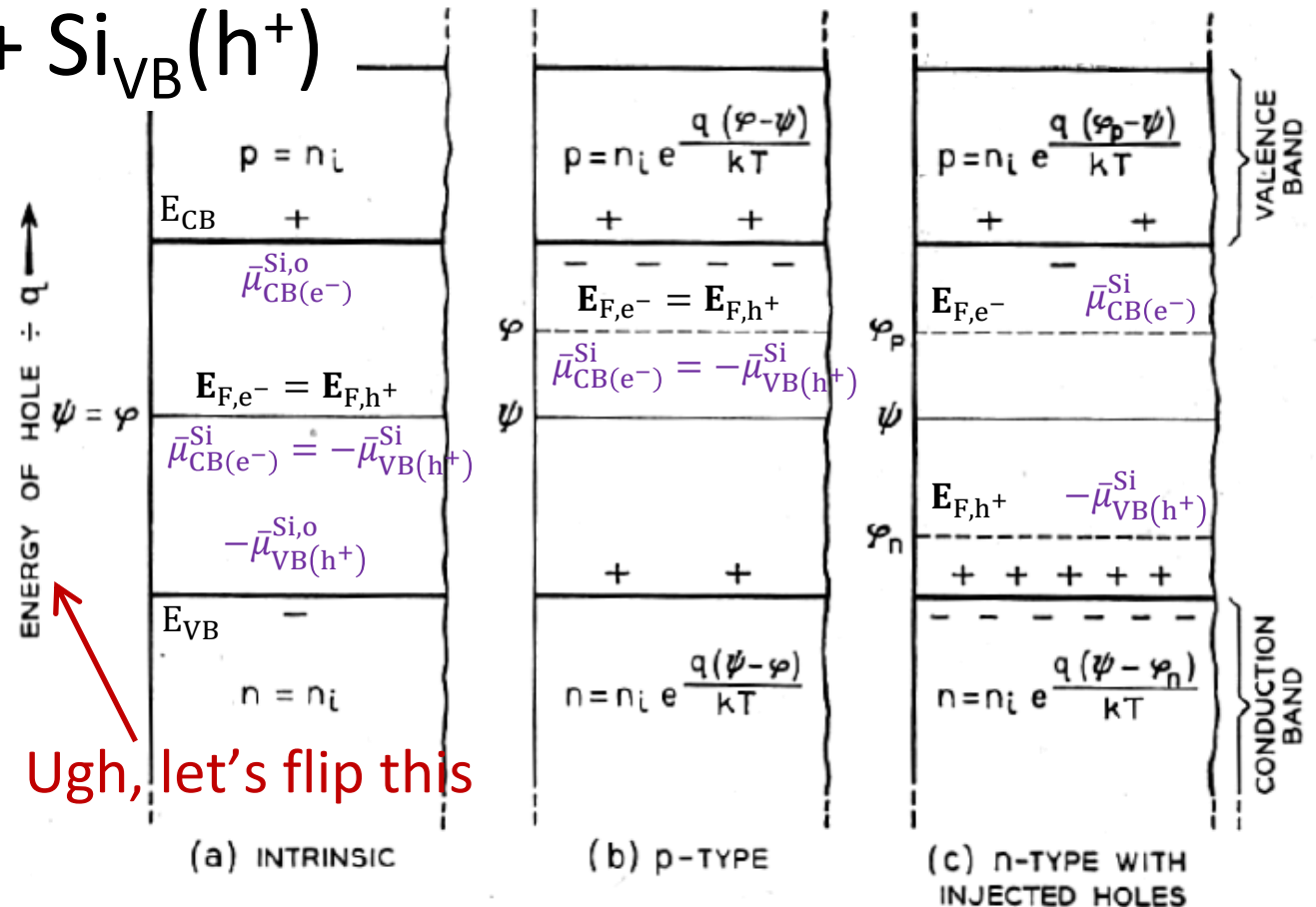
Anyway... therefore,

$$\mathbf{E}_{\text{F},\text{e}^-} = \bar{\mu}_{\text{e}^-}$$

$$\bar{\mu}_{\text{CB}}^{\text{Si}}(\text{e}^-) = -\bar{\mu}_{\text{VB}}^{\text{Si}}(\text{h}^+)$$

$$\mathbf{E}_{\text{F},\text{h}^+} = -\bar{\mu}_{\text{h}^+}$$

$$\mathbf{E}_{\text{F},\text{e}^-} = \mathbf{E}_{\text{F},\text{h}^+}$$



Ugh, let's flip this

Fig. 2—Electrostatic potential  $\psi$ , Fermi level  $\phi$  and quasi Fermi levels  $\phi_p$  and  $\phi_n$ . (In order to show electrostatic potential and energies on the same ordinates, the energies of holes, which are minus the energies of electrons, are plotted upwards in the figures in this paper.)

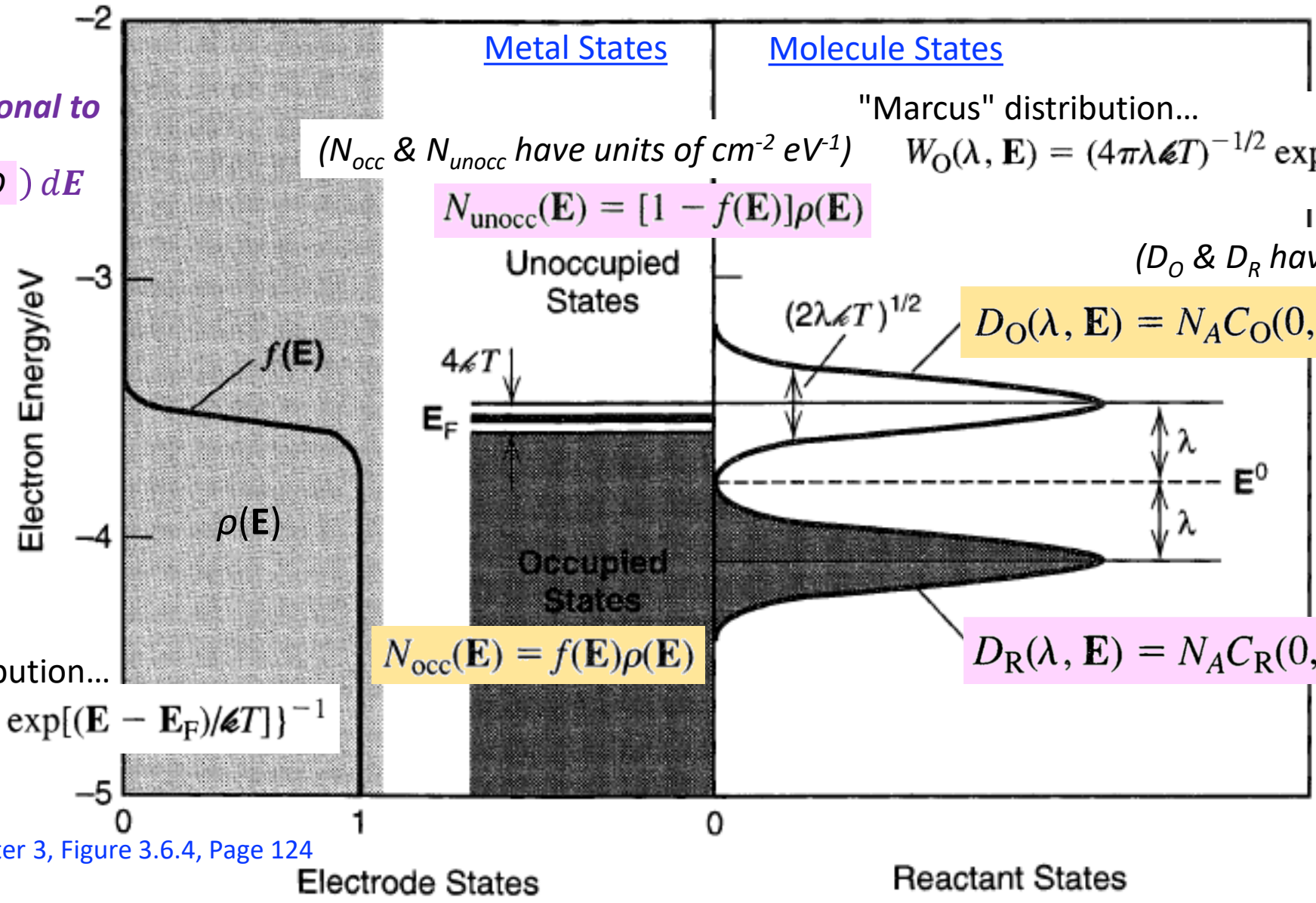
# Marcus–Gerischer Theory

ET rate is proportional to

$$\int_{-\infty}^{\infty} (N_D - N_{D'}) dE$$

Fermi–Dirac distribution...

$$f(E) = \{1 + \exp[(E - E_F)/kT]\}^{-1}$$



Metal States

Molecule States

( $N_{occ}$  &  $N_{unocc}$  have units of  $cm^{-2} eV^{-1}$ )

"Marcus" distribution...

$$W_O(\lambda, E) = (4\pi\lambda kT)^{-1/2} \exp\left[-\frac{(E - E^0 - \lambda)^2}{4\lambda kT}\right]$$

$$N_{unocc}(E) = [1 - f(E)]\rho(E)$$

( $D_O$  &  $D_R$  have units of  $cm^{-3} eV^{-1}$ )

$$D_O(\lambda, E) = N_A C_O(0, t) W_O(\lambda, E)$$

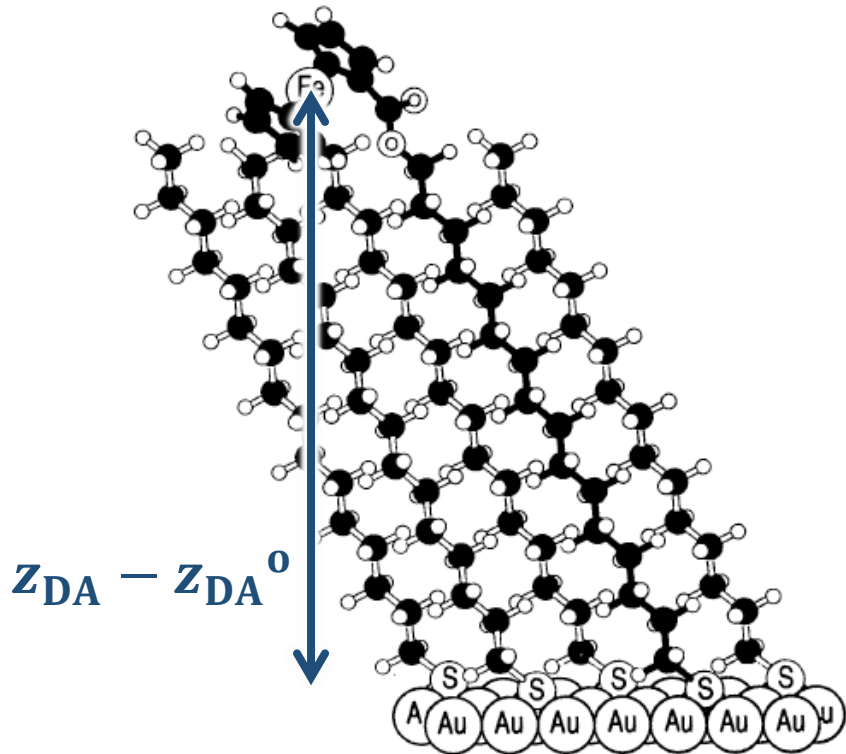
$$N_{occ}(E) = f(E)\rho(E)$$

$$D_R(\lambda, E) = N_A C_R(0, t) W_R(\lambda, E)$$

# Marcus–Gerischer Theory

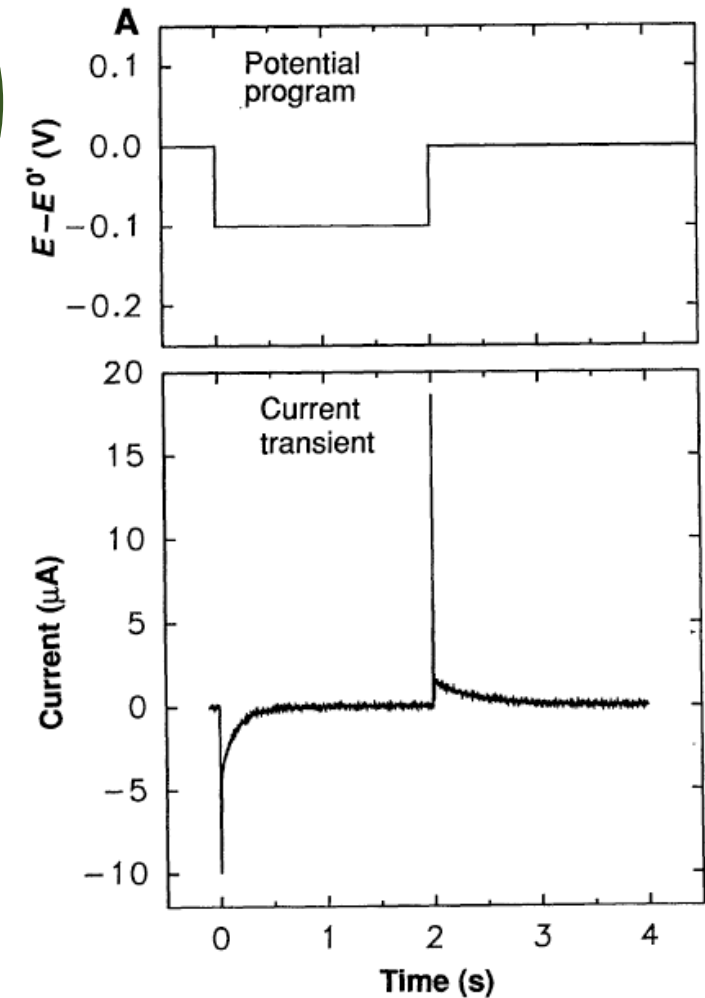
$$k_{\text{ET}} = \frac{2\pi}{\hbar} |H_{\text{DA}}^0|^2 e^{-2\beta(z_{\text{DA}} - z_{\text{DA}}^0)} \frac{1}{\sqrt{4\pi\lambda_{\text{AB}}kT}} \exp\left(-\frac{(\lambda_{\text{AB}} + \Delta G_{\text{AB}}^0)^2}{4\lambda_{\text{AB}}kT}\right)$$

quantum adiabatic electronic coupling      classical nuclear free-energy dependence



... as an aside... why is the data biphasic for the Current?

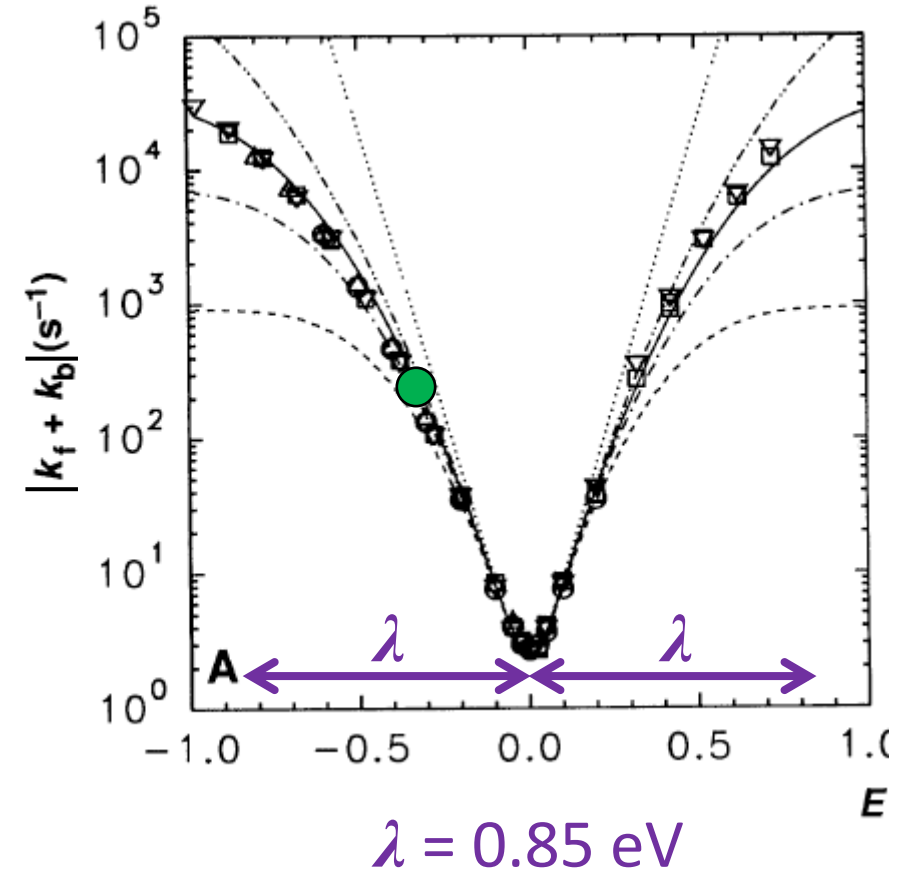
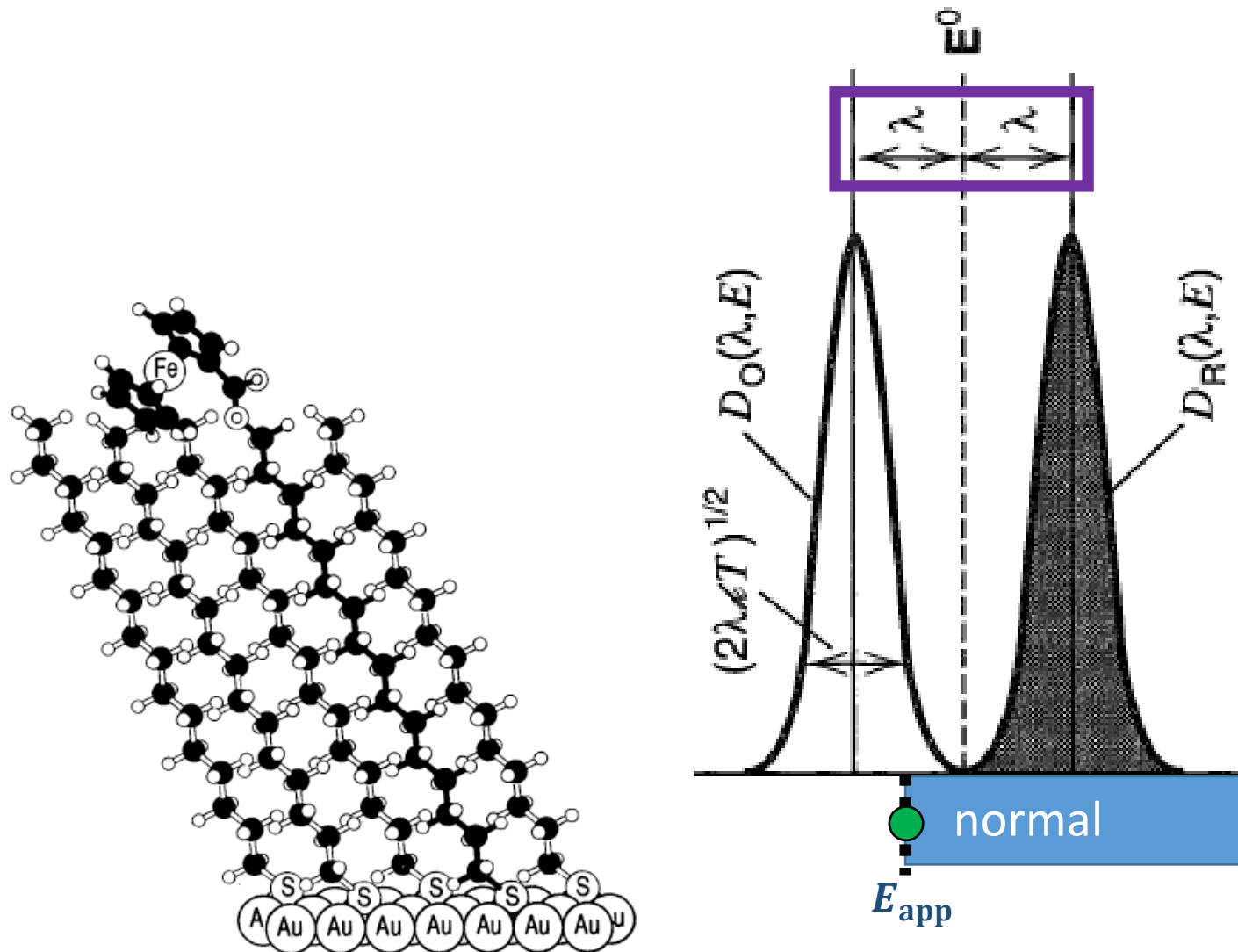
... RC-circuit double layer charging... followed by 1<sup>st</sup>-order ET kinetics



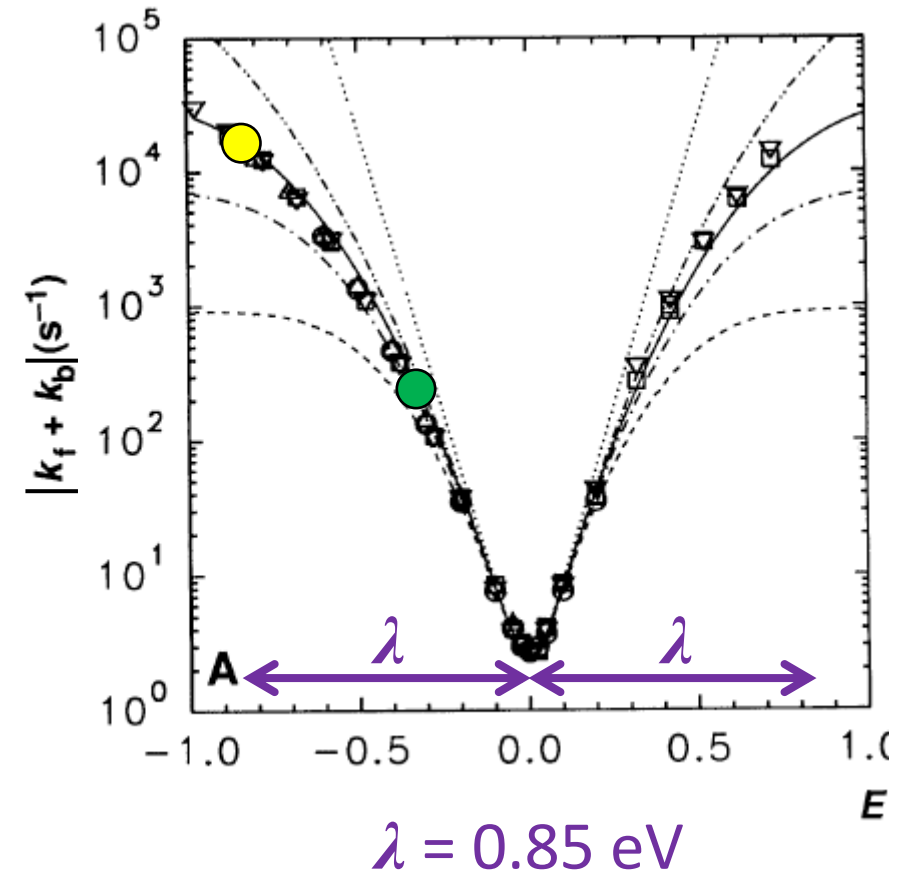
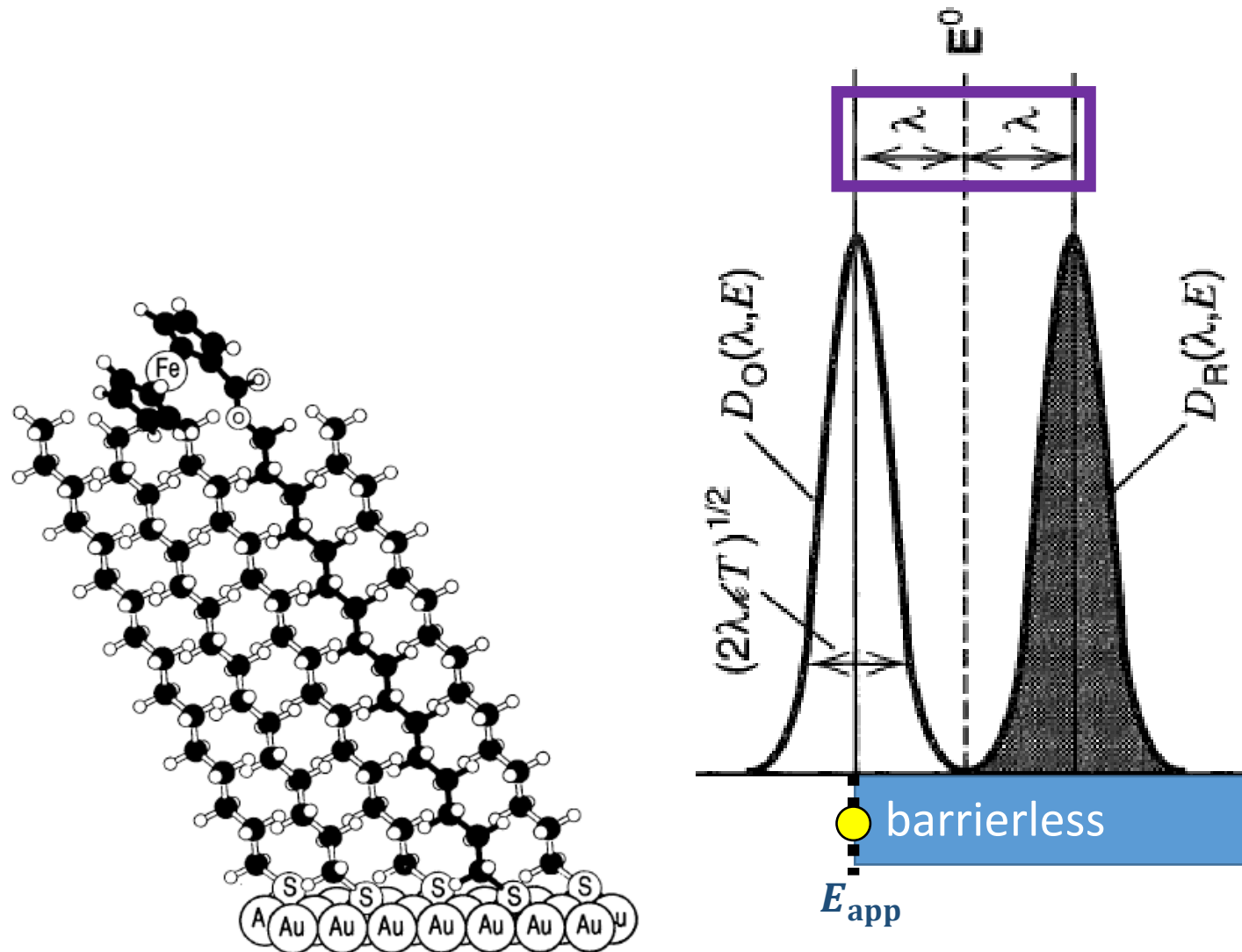
C. E. D. Chidsey, *Science*, **1991**, 251, 919–922

H. D. Sikes, J. F. Smalley, S. P. Dudek, A. R. Cook, M. D. Newton, C. E. D. Chidsey & S. W. Feldberg, *Science*, **2001**, 291, 1519–1523

# Marcus–Gerischer Theory



# Marcus–Gerischer Theory





# Marcus–Gerischer Theory

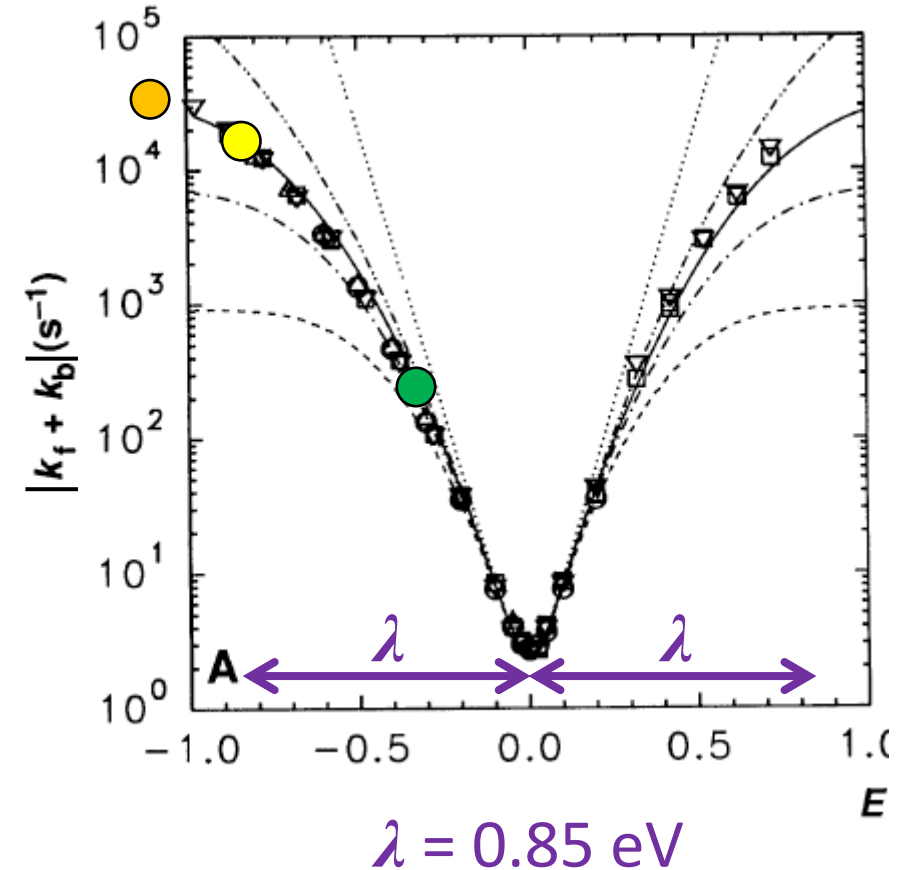
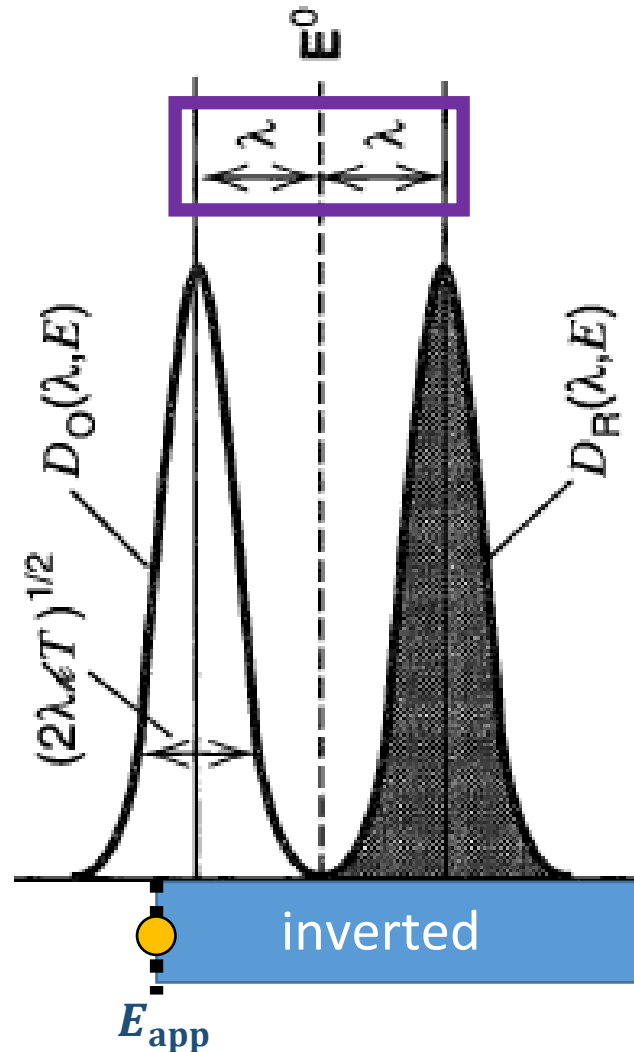
- It is easy to sweep/vary the driving force,  $\Delta G_{AB}$ , by simply changing the electrochemical potential of electrons ( $e^-$ ) in the (M)etal working electrode,  $\bar{\mu}_e^M$ , through variations in  $E_{app}$

- But evidence of the inverted region is a little challenging to clearly observe

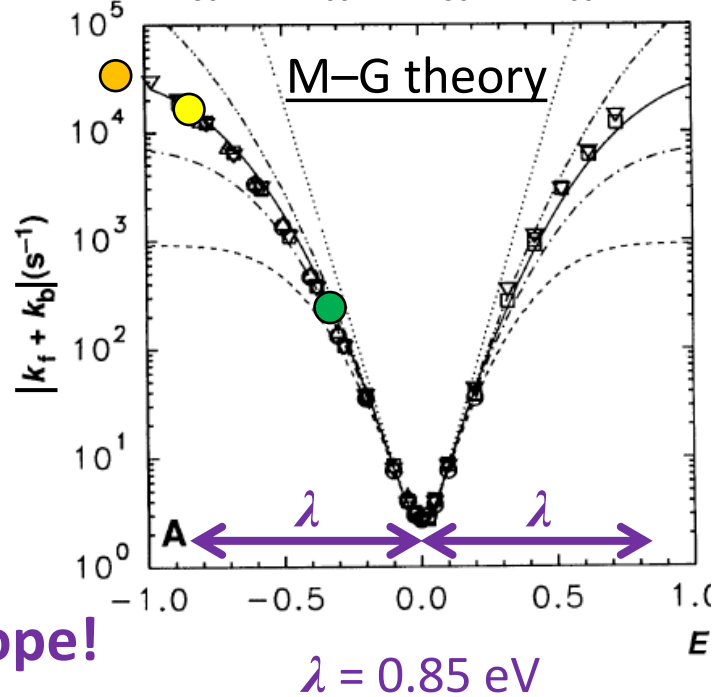
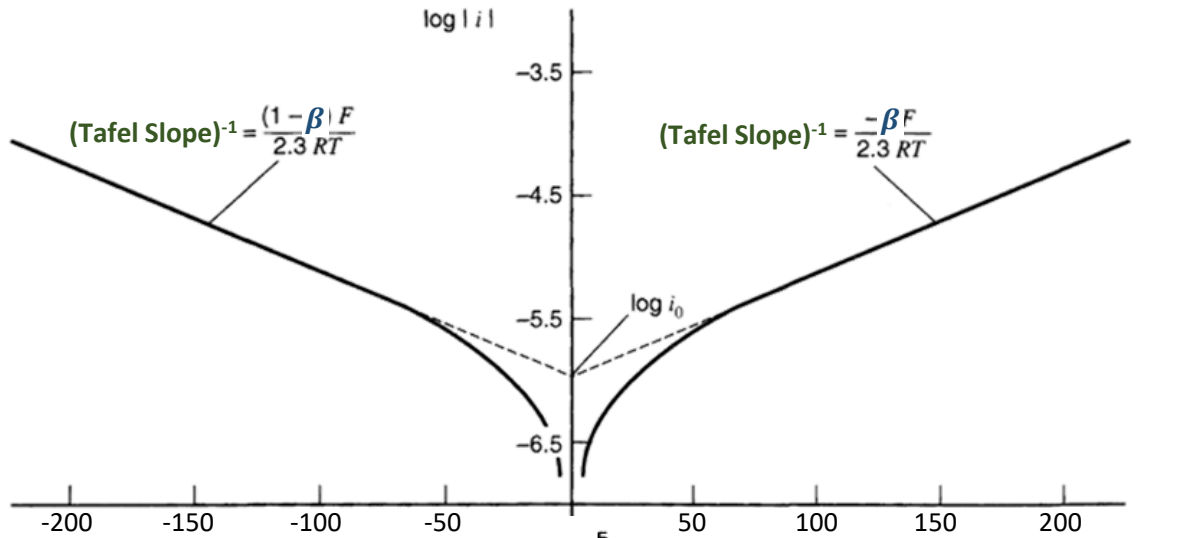
... what if Chidsey had plotted the derivative of his data on the right?

... what do you expect that would have looked like?... a nice Marcus parabola!

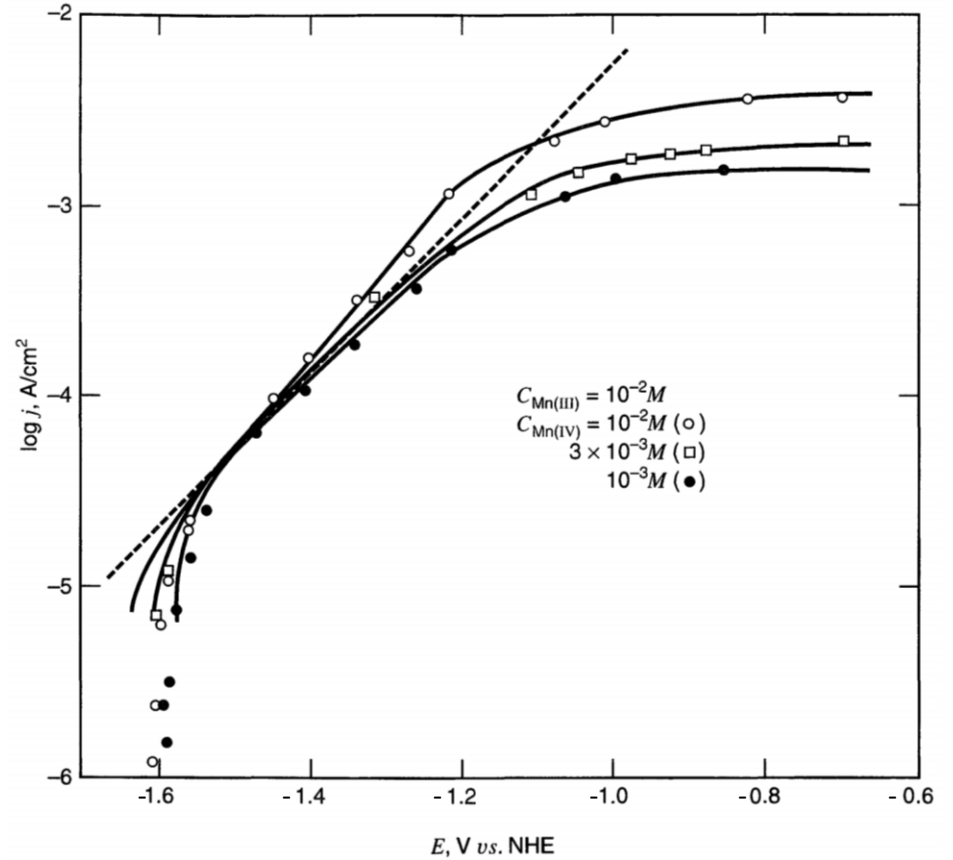
... I wish he had done that!



# Limiting Processes



## B-V theory (Current-Overpotential Equation)



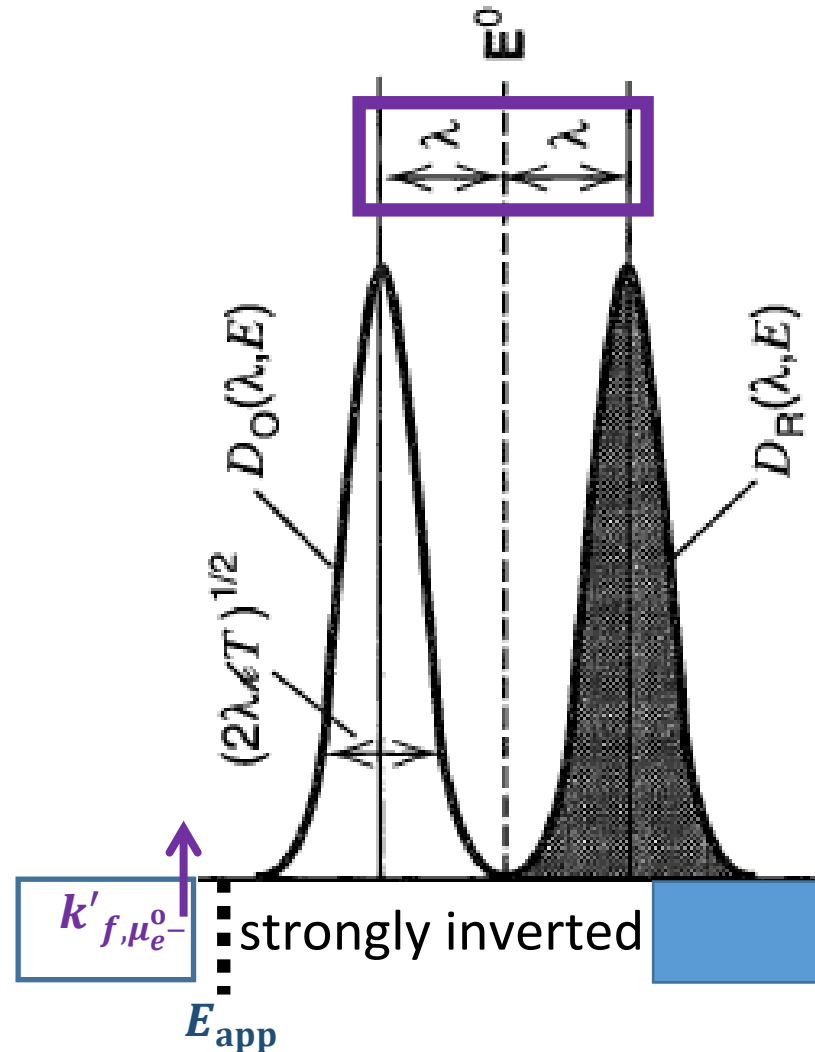
Bard & Faulkner, Chapter 3, Figure 3.4.5, Page 104

Since Butler-Volmer theory is based on the LFER approximation only, can it report on aspects of the inverted region? ... **Nope!**

... but why do these current densities,  $j_E$ , and rate constants,  $k'_{j,E,obs}$ , plateau at large overpotential,  $\eta$ ? ... **Not for the same reasons!**

# Marcus–Gerischer Theory

- Use of a semiconductor limits the electronic states to those with (approximately) a single  $\mu_{e^-}^0$ , which makes analysis of data simpler, i.e. one does not need to consider a distribution of states in the electrode
- But one cannot alter the driving force,  $\Delta G_{AB}^0$ , by simply changing the electrochemical potential of electrons ( $e^-$ ) in the (S)emi(C)onductor working electrode,  $\bar{\mu}_{e^-}^{SC}$ , through variations in  $E_{app}$ , because instead that changes the concentration of  $e^-$

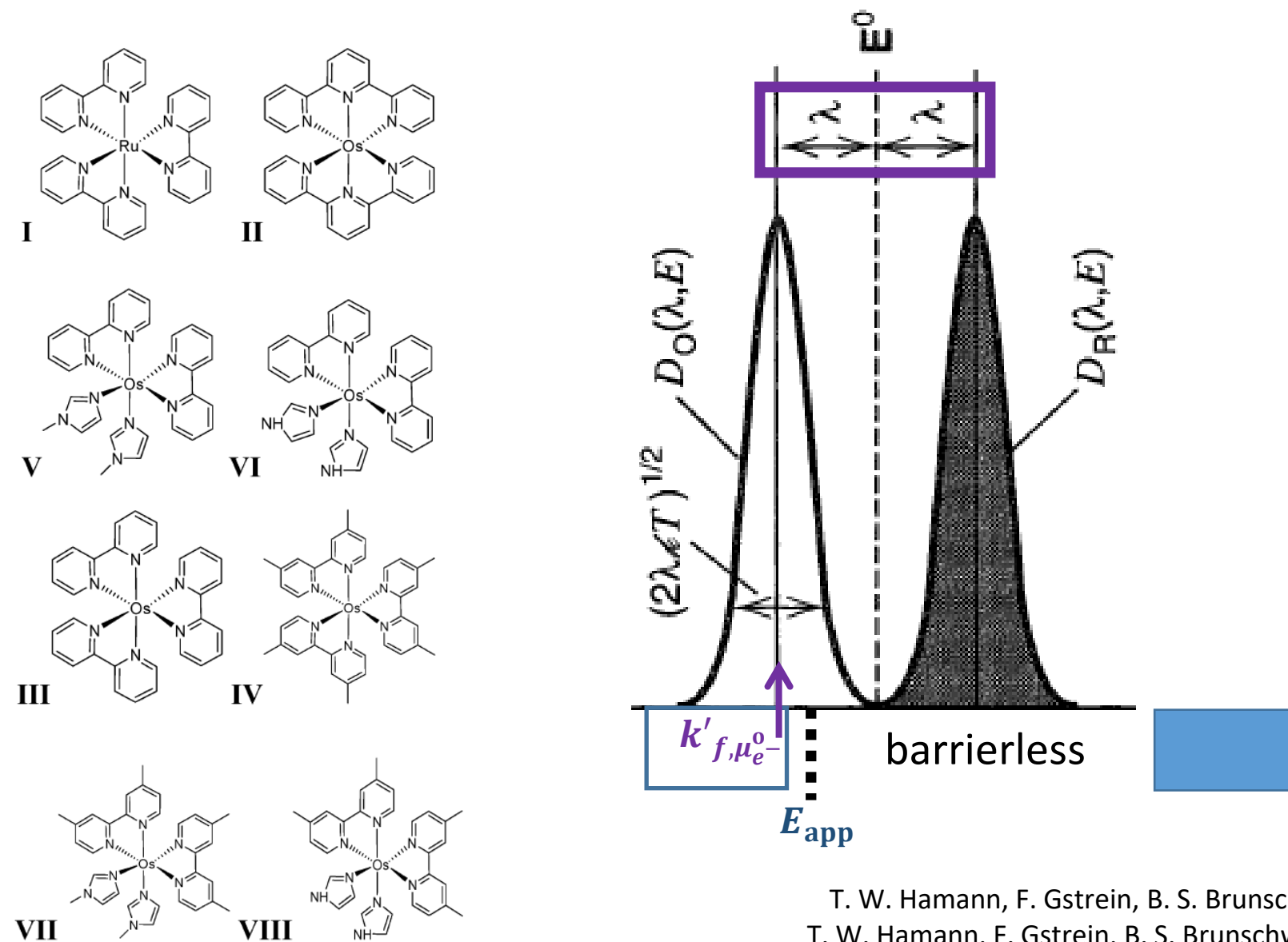


How can one use a semiconductor to study the inverted region?

Think solution studies...  
vary the molecule!

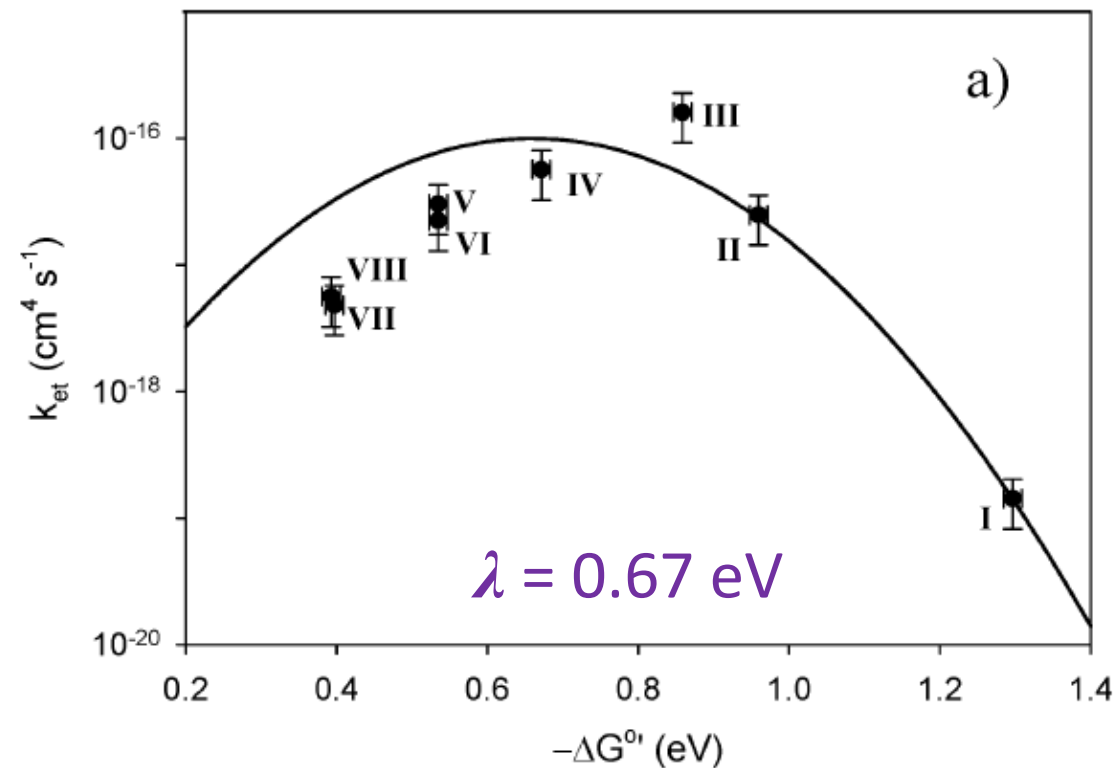
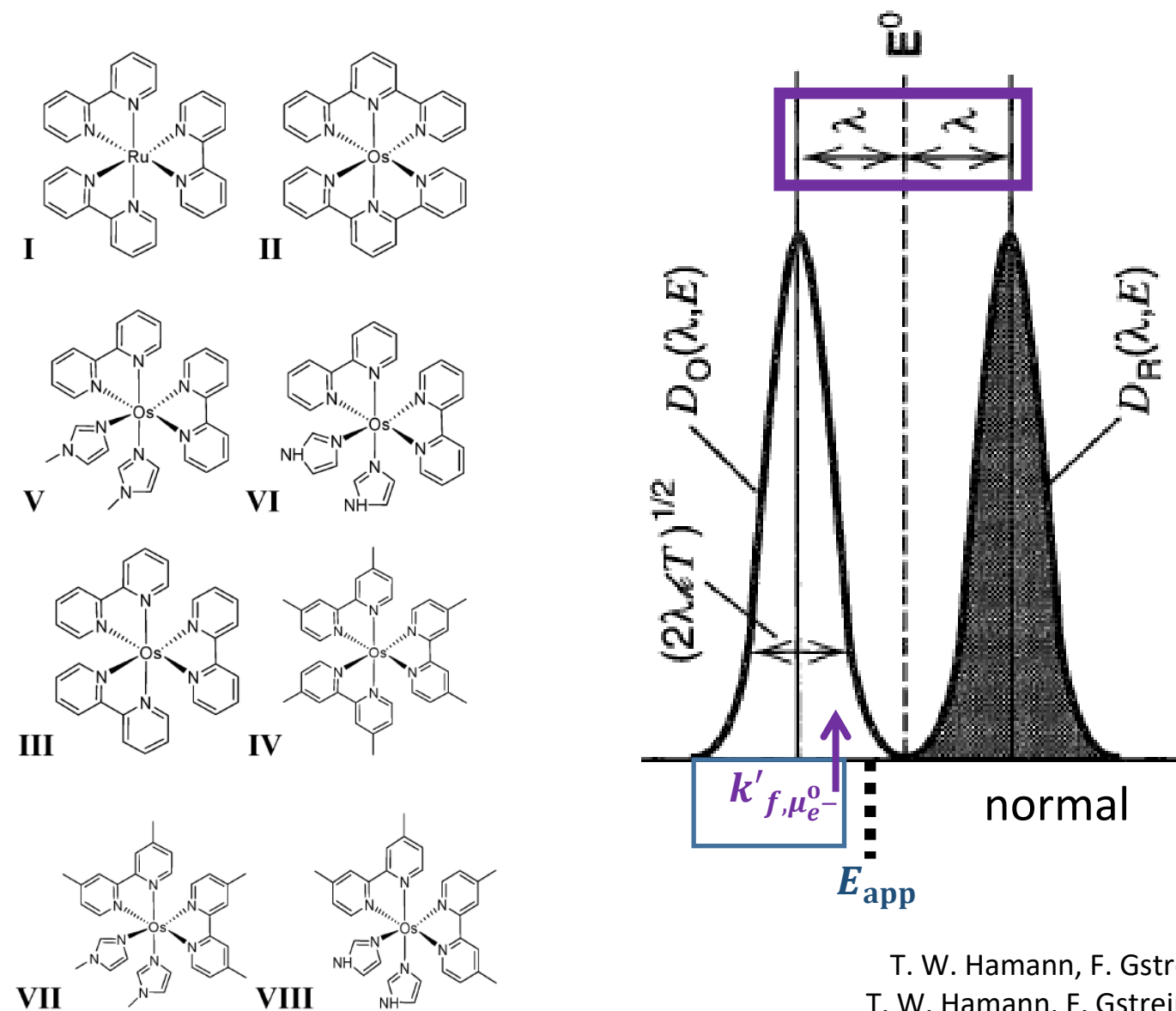


# Marcus–Gerischer Theory



T. W. Hamann, F. Gstrein, B. S. Brunshwig & N. S. Lewis, *J. Am. Chem. Soc.*, **2005**, *127*, 7815–7824  
 T. W. Hamann, F. Gstrein, B. S. Brunshwig & N. S. Lewis, *J. Am. Chem. Soc.*, **2005**, *127*, 13949–13954

# Marcus–Gerischer Theory

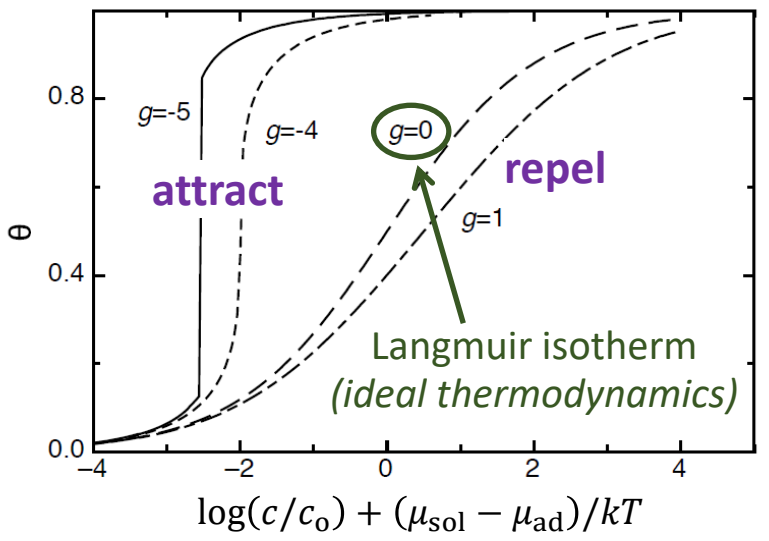


$k_{IET} (\text{cm}^4 \text{s}^{-1})$ ... a second-order rate constant!

# Charge Transfer across Electrified Interfaces

J. O'M. Bockris<sup>1</sup>  
and Z. Nagy<sup>2</sup>  
Electrochemistry Laboratory  
University of Pennsylvania  
Philadelphia, 19104

Frumkin isotherms



\*  $g$  is a lateral interaction term

## $\beta$ Symmetry Factor and $\alpha$ Transfer Coefficient

*A source of confusion in electrode kinetics*

Symmetry factor ( $\beta$ ): change in the activation free energy of the cathodic elementary reaction step, expressed as a fraction of  $E_{\text{app}}$

Transfer coefficient ( $\alpha_j$ ): change in the total observed (cath)odic/(an)odic reaction rate expressed as a change in observed activation free energies as a fraction of  $E_{\text{app}}$

Do the two transfer coefficients always have to sum to one?

$$\text{TS}_{\text{cath}}^{-1} = \left( \frac{d(\log |j_E|)}{d|\eta|} \right)_{\text{cath}} = \frac{-\alpha_{\text{cath}} F}{2.303RT}$$

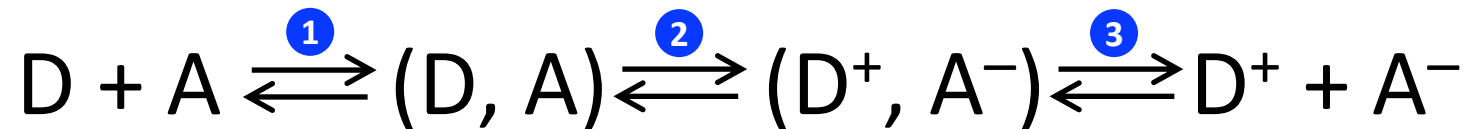
$$\text{TS}_{\text{an}}^{-1} = \left( \frac{d(\log j_E)}{d\eta} \right)_{\text{an}} = \frac{\alpha_{\text{an}} F}{2.303RT}$$



# RDS: Pre-Equilibrium Approximation

... seemingly totally unrelated... how does one determine the **observed** resistance of 3 resistors in parallel, or 3 capacitors in series? ... it's approximately equal to the smaller one... okay...

but mathematically, add their reciprocals... and reciprocate



... so how does one determine the **observed** rate constant for 3 reactions **in series**?

... it's the same general idea...  $\frac{1}{k_{f,obs}} = \frac{1}{k_{1f}} + \frac{1}{k'_{2f}} + \frac{1}{k'_{3f}}$ ... where  $\frac{\partial[D]}{\partial t} = \frac{\partial[A]}{\partial t} = -k_{f,obs}[D][A]$

... except that Step 2 is preceded by Step 1... and Step 3 is preceded by Steps 1 and 2

... and only one of those Steps will dictate the observed rate when it is the slowest step

... so the (pre)ceding steps must be much faster... thus assume they are in equilibrium...

$$\frac{1}{k_{f,obs}} = \frac{1}{k_{1f}} + \frac{1}{K_1 k_{2f}} + \frac{1}{K_1 K_2 k_{3f}} = \frac{1}{k_{1f}} + \frac{k_{1b}}{k_{1f} k_{2f}} + \frac{k_{1b} k_{2b}}{k_{1f} k_{2f} k_{3f}}$$

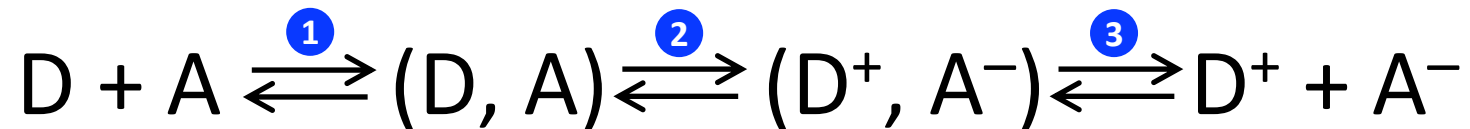
... and for completion, what if 3 (same-order) reactions are **in parallel**?

... it's as easy as it seems...  $k_{f,obs} = k_{1f} + k_{2f} + k_{3f} = \frac{1}{\tau_{1f}} + \frac{1}{\tau_{2f}} + \frac{1}{\tau_{3f}} = \frac{1}{\tau_{f,obs}}$

# RDS: Steady-State Approximation

... but what if we want to determine  $\frac{\partial[D^+]}{\partial t} = \frac{\partial[A^-]}{\partial t}$ ... and some preceding steps are fast?

... when all are fast, **except Step 1**, won't  $k_{f,obs} = k_{1f}$  then?... not always...



Assume that the middle steps come and go quickly... so each has a small steady-state conc...

$$\frac{\partial[(D,A)]}{\partial t} = 0 = -k_{2f}[(D,A)] + k_{1f}[D][A] \dots \text{and thus } [(D,A)] = \frac{k_{1f}[D][A]}{k_{2f}}$$

$$\frac{\partial[(D^+,A^-)]}{\partial t} = 0 = -k_{3f}[(D^+,A^-)] + k_{2f}[(D,A)] \dots \text{and thus } [(D^+,A^-)] = \frac{k_{2f}[(D,A)]}{k_{3f}}$$

$$\dots \text{which means that } [(D^+,A^-)] = \frac{k_{1f}k_{2f}[D][A]}{k_{2f}k_{3f}} = \frac{k_{1f}[D][A]}{k_{3f}}$$

... since  $\frac{\partial[D^+]}{\partial t} = \frac{\partial[A^-]}{\partial t} = k_{3f}[(D^+,A^-)]$ ... this is just equal to  $k_{1f}[D][A]$ ... and  $k_{f,obs} = k_{1f}$

... which is what the pre-equilibrium approximation would have predicted too, so... unexciting!

# Chemical Kinetics (*summary for today*)

- Continuity of mass, Mass transfer, Nernst–Planck equation, Diffusion, Diffusion coefficient, Migration, Mobility, Convection, Boundary layer
- Mass action, Microscopic reversibility, Förster cube, Square schemes, Rate constants, Activation energies, Transition-state theory, Marcus–Hush theory, Transition-state character, Reorganization energies (outer and inner)
- Linear free energy relationships, Charge transfer across electrified interfaces, Butler–Volmer equation, Fermi’s golden rule, Solid-state physics, Marcus–Gerischer theory
- Rate-determining step, Steady-state & Pre-equilibrium approximations, Langmuir/Frumkin isotherms

**Paper Time!**