



## Lecture #6 of 12

{80 min away from being halfway through... tear}

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## Thermal (Dark) Reactions

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### Marcus–Hush Theory (REVIEW) 124

Marcus–Hush (1950s–1960s)  
theoretical (semiclassical) rate constant equation

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}k_B T}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{k_B T}\right) \dots \frac{2\pi |H_{DA}|^2}{\hbar \sqrt{4\pi\lambda_{AB}k_B T}} \text{ has units of } s^{-1}$$

Eyring–Polanyi–Evans (1930s)

theoretical rate constant equation (from **transition-state theory / activated complex theory**)

$$k_f = \kappa \nu K^\ddagger = \frac{\kappa k_B T}{h} K^\ddagger \dots \text{ with transmission coefficient, } \kappa, \text{ and vibrational frequency, } \nu \text{ (s}^{-1}\text{)}$$

... and  $R = N_A k_B \dots$  and  $\frac{\kappa k_B T}{h}$  has units of  $s^{-1}$

$$k_f = \frac{\kappa k_B T}{h} \exp\left(-\frac{\Delta G^\ddagger}{RT}\right) = \frac{\kappa k_B T}{h} \exp\left(\frac{\Delta S^\ddagger}{R}\right) \exp\left(-\frac{\Delta H^\ddagger}{RT}\right) \dots \text{ and so } A \text{ contains } \Delta S^\ddagger$$

... what is the largest predicted pre-exponential factor at 25 °C? **(161 fs)<sup>-1</sup> = (1.61 × 10<sup>-13</sup> s)<sup>-1</sup>**

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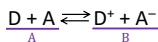
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### Marcus–Hush Theory

(REVIEW) 125



Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{kT}\right)$$

quantum adiabatic electronic coupling
classical nuclear free-energy dependence

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{(\lambda_{AB} + \Delta G_{AB}^0)^2}{4\lambda_{AB}kT}\right) \dots \text{what kind of function is this?}$$

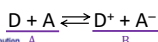
$$\Delta G_{AB}^\ddagger = \frac{(\lambda_{AB} + \Delta G_{AB}^0)^2}{4\lambda_{AB}}$$

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{2\pi}\sqrt{2\lambda_{AB}kT}} \exp\left(-\frac{1}{2} \left(\frac{\Delta G_{AB}^0 - (-\lambda_{AB})}{\sqrt{2\lambda_{AB}kT}}\right)^2\right) \dots \text{does this help at all?}$$



### Marcus–Hush Theory

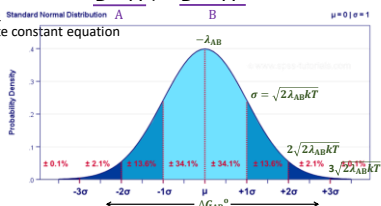
(REVIEW) 126



Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

... wait... the classical component in Marcus electron-transfer theory is a **normal distribution** as a function of standard-state thermodynamic driving force ( $\Delta G_{AB}^0$ )? ... Yep!



$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{2\pi}\sqrt{2\lambda_{AB}kT}} \exp\left(-\frac{1}{2} \left(\frac{\Delta G_{AB}^0 - (-\lambda_{AB})}{\sqrt{2\lambda_{AB}kT}}\right)^2\right) \dots \text{does this help at all?}$$



### Inner versus Outer Sphere Reactions

(CLARIFICATION) 127

Marcus–Hush (1950s–1960s)

theoretical (semiclassical) rate constant equation

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{kT}\right)$$

Taube (1950s–1970s)

inner sphere electron transfer

Robin–Day MV classification... label each below!

Class I: nonadiabatic ( $H_{DA} \approx 0$ )

Class II: adiabatic, localized ( $0 < 2H_{DA} < \lambda_{AB}$ )

Class III: adiabatic, delocalized ( $2H_{DA} > \lambda_{AB}$ )

... so what is  $2H_{DA}$  for Class III [C-]??

Creutz–Taube ion (1969)

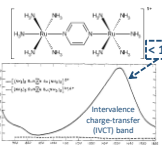
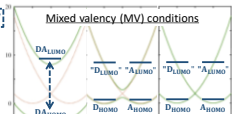


Figure 1. Visible-ultraviolet spectra of [2,2], [2,2], and [2,2] in 0.1 M HClO<sub>4</sub>. Intersphere electron transfer is possible only in [2,2].

Figure 2. Normalized absorption of [2,2] in 0.1 M HClO<sub>4</sub>. Absorption spectra of [2,2] in the visible absorption range of [2,2] show a strong absorption band centered at 310 nm. The absorption band is assigned to the intervalence charge transfer (IVCT) band.



C. Creutz & H. Taube, J. Am. Chem. Soc., 1969, 91, 3988–3989  
B. S. Brunshwig, C. Creutz & N. Sutin, Chem. Soc. Rev., 2002, 31, 168–184



### Huang–Rhys Factor

Marcus–Hush (1950s–1960s) theoretical (semiclassical) rate constant equation

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{kT}\right) = \nu_n(r) \kappa_n(r) \kappa_n(r) = \frac{2\pi}{\hbar} \langle \psi_{el} | \hat{H} | \psi_{el} \rangle^2 \langle \psi_{vib} | \psi_{vib} \rangle^2 \delta(E - E)$$

... separable due to the Born–Oppenheimer approximation

If  $\hbar\omega_j \gg k_B T$ , only  $v_j = 0$  is appreciably populated and

$$\langle \psi_{v_j} | \psi_{v_j=0} \rangle^2 = \exp(-S_j) \frac{S_j^{\nu_j}}{\nu_j!} \quad (22)$$

Fermi's Golden Rule time-dependent perturbation theory

With one coupled medium or high-frequency mode (or averaged mode), only the  $v = 0$  vibrational level is appreciably populated at room temperature ( $\hbar\omega \gg k_B T$ ), and  $S_j \ll 1$  (13.11)

$$k_{ET} = \frac{2\pi}{\hbar} \frac{J_{en}^2}{(4\pi\lambda_{AB}kT)^{1/2}} \sum_{\nu} \exp(-S_j) \exp[-(\Delta G^\ddagger + \nu\hbar\omega + \lambda_j^2/4k_B T)] \quad (23)$$

In this limit the coupled vibration does not contribute to the temperature dependence since the only contributing reaction channels originate from  $v = 0$ .

$S_j$  is the electron-vibrational coupling constant, or Huang–Rhys factor.<sup>28,29</sup>

$$S_j = \frac{1}{2\lambda} \left( \frac{\Delta Q_j}{Q_j} \right)^2 \quad (19) \quad \dots \text{we'll stop here, but it can get even messier, of course...}$$

$M_j$  is the reduced mass. The physical significance of the vibrational overlap integrals is that they give the extent to which the final and initial states coincide along the normal coordinate.

P. Chen & T. J. Meyer, Chem. Rev., 1998, 98, 1439–1477

### Thermal (Dark) Reactions

- Activation energy, Eyring–Polanyi–Evans equation
- Marcus–Hush (electron-transfer) theory
- Transition-state character, Reorganization energies (outer and inner), Linear free energy relationships
- Molecular orbital theory, Huang–Rhys factor
- Quantum mechanical tunneling, Superexchange
- Inner versus Outer sphere reactions, Robin–Day classification
- Self-exchange reactions, Marcus cross relations
- Charge transfer across electrified interfaces, Butler–Volmer equation, Rate-determining step, Fermi's golden rule, Marcus–Gerischer theory

### Self-Exchange Reactions

Marcus–Hush (1950s–1960s) theoretical (semiclassical) rate constant equation

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{kT}\right)$$

What is the fastest way to predict values of parameters? ... study self-exchange reactions for each of D and A!



... and then use "half" of every value for D and "half" of every value for A to in order to determine  $k_{ET-DA}$  ... but how are each measured, since the reactants and the products are identical?

N. Sutin, Acc. Chem. Res., 1982, 15, 275–282  
D. L. Jameson & R. Anand, J. Chem. Educ., 2000, 77, 88–99

... use NMR spectroscopy... of course!

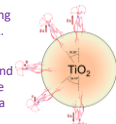
The equation that is used to correlate line-width, chemical shift, and rate is

$$k_{ex} = 4\pi K_A K_B (\Delta\nu)^2 (H_D - K_D H_A - K_A H_D) C_{tot} \quad (1)$$

where  $k_{ex}$  is the rate of electron exchange,  $K_A$  and  $K_B$  are the mole fractions of diamagnetic and paramagnetic species,  $\Delta\nu$  is the contact shift or chemical shift difference (absolute value) between the pure diamagnetic and paramagnetic species (measured in Hz),  $H_D$  is the peak width at half height of the signal of interest for the mixture,  $H_A$  and  $H_B$  are the peak widths of the pure diamagnetic and paramagnetic species and  $C_{tot}$  is the total concentration of the exchanging species in moles/liter.

... radioisotope labeling of species works too...

... an anisotropic scaffold also works, and then monitor how the polarization of spectra change over time!



S. Ardo & G. J. Meyer, J. Am. Chem. Soc., 2011, 133, 15384–15396

### Marcus Cross Relations

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Marcus-Hush (1950s-1960s) theoretical (semiclassical) rate constant equation

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{\Delta G_{AB}^\ddagger}{kT}\right)$$

$\Delta G_{AB}^\ddagger = \frac{(\lambda_{AB} + \Delta G_{AB}^0)^2}{4\lambda_{AB}}$

$k_{AB} = \frac{2\pi}{\hbar\sqrt{4\pi kT}} \left( |H_{DD}|^2 |H_{AA}|^2 \frac{1}{\sqrt{\lambda_{AA}\lambda_{BB}}} \exp\left(-\frac{1}{kT} \left( \frac{\lambda_{AA}}{4} + \frac{\lambda_{BB}}{4} + \Delta G_{AB}^0 \right) \right) \right)^{1/2}$

$|H_{DA}| = \sqrt{|H_{DD}||H_{AA}|}$

$\lambda_{AB} \approx \frac{\lambda_{AA} + \lambda_{BB}}{2}$

... these are "half"...

### Marcus Cross Relations

... so, how does one apply knowledge gained from self-exchange reactions? ... meaning what is "half"? ... use this nice equation...

$$k_{AB} = \sqrt{f_{AB} k_{AA} k_{BB} K_{AB}} \dots \text{with } f_{AB} \approx 1$$

$$k_{AB} \approx \sqrt{k_{AA} k_{BB} K_{AB}}$$

... where  $\Delta G_{AB}^\ddagger$  is similar to Albery's Equation 4...

$$\Delta G_{AB}^\ddagger = \frac{1}{8} \Delta G_{AA}^\ddagger + \frac{1}{8} \Delta G_{BB}^\ddagger + \frac{1}{4} \Delta G^{\ddagger\ddagger} + \frac{(\Delta G^0)^2}{8(\Delta G_{AA}^\ddagger + \Delta G_{BB}^\ddagger)}$$

J. Albery, Ann. Rev. Phys. Chem., 1980, 31, 227-263  
R. A. Marcus & N. Sutin, Biochim. Biophys. Acta, 1985, 911, 265-322



### Today's Critical Guiding Question

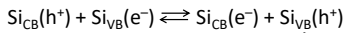
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Since Marcus-Hush theory is sufficient to predict all rate constants (with knowledge of only  $\Delta G_{AB}^0$ ,  $\lambda_{AB}$ , and  $|H_{DA}|^2$ ), and mass action makes knowledge of rates facile (and transport is a chemical reaction), can Marcus-Hush theory also be used to predict rates of interfacial charge transfer, e.g. current in electrochemistry?



### Solid-State Physics Terminology

$\bar{\mu}_i^\sigma = \left(\frac{\partial G}{\partial n_i}\right)_{T,P,n_j \neq i}$  (REVIEW) 133



At equilibrium,  $\bar{\mu}_{CB}^{Si}(h^+) + \bar{\mu}_{VB}^{Si}(e^-) = \bar{\mu}_{CB}^{Si}(e^-) + \bar{\mu}_{VB}^{Si}(h^+)$   
As reference states, it is useful to define  $\bar{\mu}_{CB}^{Si}(h^+) = \bar{\mu}_{VB}^{Si}(e^-) = 0$

... you can define up to one more  $\bar{\mu}_{i^\sigma}^{Si}$ , but the last  $\bar{\mu}_{i^\sigma}^{Si}$  has to be defined based on calorimetry data

Anyway... therefore,  $E_{F,e^-} = \bar{\mu}_{e^-}$   
 $\bar{\mu}_{CB}^{Si}(e^-) = -\bar{\mu}_{VB}^{Si}(h^+)$   $E_{F,h^+} = -\bar{\mu}_{h^+}$   
 $E_{F,e^-} = E_{F,h^+}$

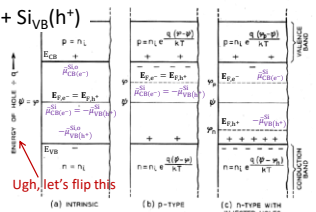


Fig. 2—Electrostatic potential  $\phi$ , Fermi level  $\mu$  and quasi Fermi levels  $\mu_n$  and  $\mu_p$ . The order to show electrostatic potential and energies in the same ordinate, the energies of holes, which are minus the energies of electrons, are plotted upwards in the figure in this paper. W. Shockley, The Bell System Technical Journal, 1949, 28, 435-489



**Charge Transfer across Electrified Interfaces** (UPDATED) 134

... aren't these so-called "heterogeneous electron-transfer reactions"?... Sure.  $n e^{-,M} + O \rightleftharpoons R^{n+}$  FYI: For each  $c_O$  and  $c_R$ , electrochemical equilibrium ( $j = 0$ ) is attained via interfacial charge transfer to alter  $\Delta G^{M\ddagger}$ .

$$R_O = \frac{\partial c_{O,z=0}}{\partial t} = -k_f c_O + k_b c_R$$

...  $R_O$  is a rate... with units of M/s (= mol dm<sup>-3</sup> s<sup>-1</sup>) ...  $j_E$  is a current density... with units of A/cm<sup>2</sup> (= C cm<sup>-2</sup> s<sup>-1</sup>)  
 ...  $k_f$  (s<sup>-1</sup>) is an inverse time constant! ...  $k_{j,E}$  (cm s<sup>-1</sup>) is a velocity!

... as a specific case choose  $E^{0'}$ ... and thus,  $j_{E^{0'}} = nF(-k'_{f,E^{0'}} c_{O,z=0} + k'_{b,E^{0'}} c_{R,z=0})$   
 But how does this lead to the Butler-Volmer eqn?...  $0 = j_{E^{0'}} = nF(-k'_{f,E^{0'}} + k'_{b,E^{0'}})$

$$j_E = j_0 \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

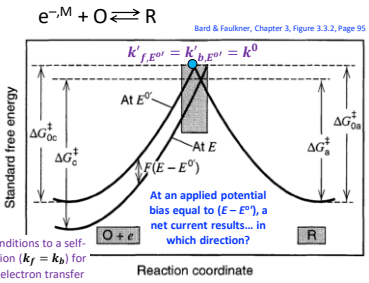
$\eta = (E - E_{eq}) = E_{app} - E_{eq} = \frac{\Delta G^0}{-nF}$  ... where  $\eta$  (V) is overpotential

... this suggests that we will replace  $k'_{j,E}$  velocity rate constants...  
 ... with thermodynamic driving force terms!... But how?... **M-H!**



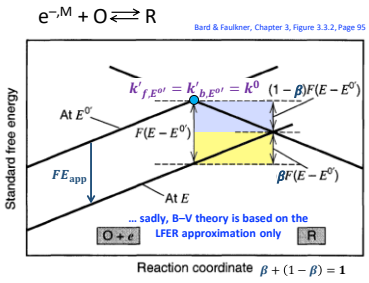
**Charge Transfer across Electrified Interfaces** 135

- Key Electrochemical Information**
- In electrochemistry, application of a potential,  $E_{app}$ , varies the electrochemical potential of electrons ( $e^-$ ) in the (M)etal working electrode,  $\mu_e^{M\ddagger}$
  - Based on thermodynamics, when written as a reduction reaction, changing  $\mu_e^{M\ddagger}$  alters the free energy (and also the standard free energy) of the reactants, as  $\Delta G_A = \mu_e^{M\ddagger} + \mu_O$  (and  $\Delta G_A^0 = \mu_e^{M\ddagger} + \mu_O^0$ )
  - The derivation here assumes that the electrode is inert, e.g. not like battery electrodes



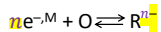
**Charge Transfer across Electrified Interfaces** 136

- Key Electrochemical Information**
- In electrochemistry, application of a potential,  $E_{app}$ , varies the electrochemical potential of electrons ( $e^-$ ) in the (M)etal working electrode,  $\mu_e^{M\ddagger}$
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  - Based on approximations, altering  $\Delta G_A$  changes all  $\Delta G$  values on the reaction coordinate relative to the initial  $\Delta G_A$ , and not differently along the parabolic/linear shape of the reactant "surface"... **Should it?**



### Butler-Volmer equation

(BRIEFLY; UPDATED) 137



$j_E = nF(k'_{B,E} c_{R,x_0} - k'_{f,E} c_{O,x_0})$  ... for this example, let's assume that  $n = 1...$

$j_E = Fk^0 \left\{ c_{R,x_0} \exp\left(\frac{(1-\beta)F(E - E^{0'})}{RT}\right) - c_{O,x_0} \exp\left(\frac{-\beta F(E - E^{0'})}{RT}\right) \right\}$  **Current-Potential Characteristic**

$j_E = j_o \left\{ \frac{c_{R,x_0}}{c_R^*} \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \frac{c_{O,x_0}}{c_O^*} \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$   $\eta = (E - E_{eq}) = E_{app}$  **Current-Overpotential Equation**  
 $j_o = Fk^0 c_R^{\beta} c_O^{1-\beta}$

...  $c^*$  means bulk concentration... conversion is trivial using  $E_{eq} = E^{0'} - \frac{RT}{nF} \ln \frac{c_R^*}{c_O^*}$

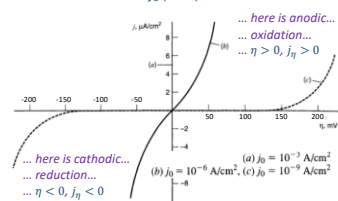
$j_E = j_o \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$  **Butler-Volmer Equation**  $T_{Scath}^{-1} = \left(\frac{d(\log |j_E|)}{d|\eta|}\right)_{cath} = \frac{-\beta F}{2.303RT}$   
 ... assuming a rapidly stirred solution **Tafel Slopes**  $T_{San}^{-1} = \left(\frac{d(\log |j_E|)}{d\eta}\right)_{an} = \frac{(1-\beta)F}{2.303RT}$



### Butler-Volmer equation

... let's examine effects of  $j_o$  (or  $k^0$ )...

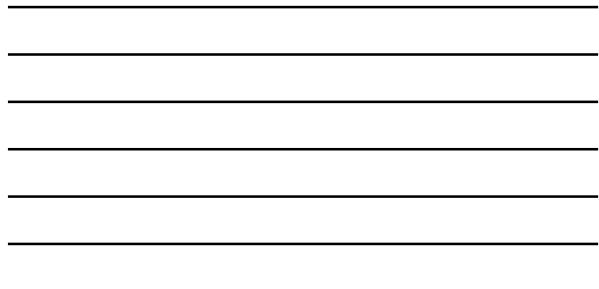
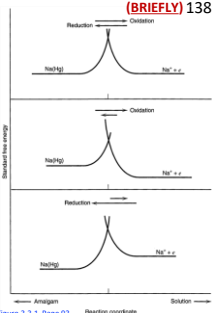
(BRIEFLY) 138



Bard & Faulkner, Chapter 3, Figure 3.4.2, Page 101

... recall that...  $\eta = (E - E_{eq}) = E_{app} - \frac{\Delta G^0}{-nF}$

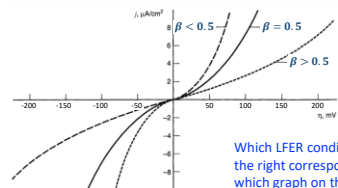
Bard & Faulkner, Chapter 3, Figure 3.3.1, Page 93



### Butler-Volmer equation

... let's examine effects of  $\beta$  (or Tafel slope)...

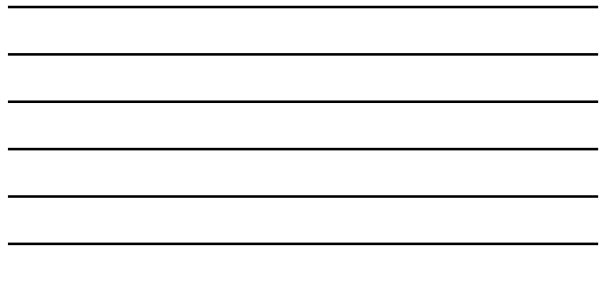
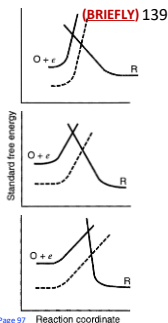
(BRIEFLY) 139



Bard & Faulkner, Chapter 3, Figure 3.4.3, Page 101

... recall that...  $\eta = (E - E_{eq}) = E_{app} - \frac{\Delta G^0}{-nF}$

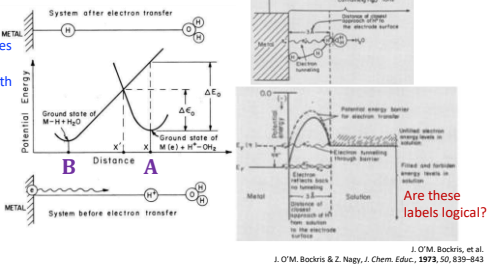
Bard & Faulkner, Chapter 3, Figure 3.3.4, Page 97



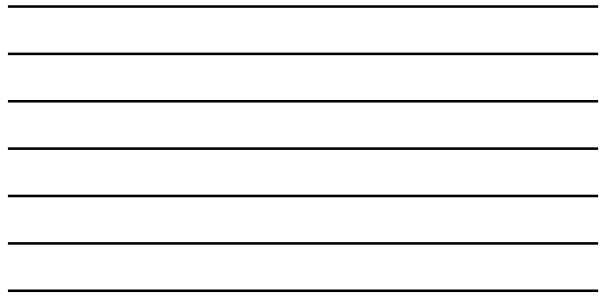
Charge Transfer across Electrified Interfaces (BRIEFLY) 140

Why are these "Potential Energy" surfaces so linear and asymmetric with "Distance"?

$E_{app} \ll \frac{\lambda_{AB}}{2}$   
... with a transition state that is more reactant-like, i.e. A



J. O'M. Bockris, et al. J. O'M. Bockris & Z. Nagy, J. Chem. Educ., 1978, 55, 839-843

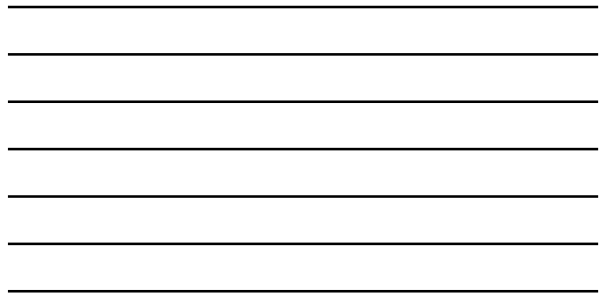
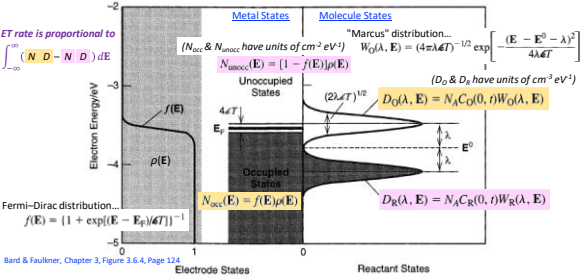


Fermi's (Second) Golden Rule (BRIEFLY) 141

$j_E = nF(-k'_{f,E} c_{O,z_0} + k'_{b,E} c_{R,z_0})$   
 $k'_j$  (cm s<sup>-1</sup>)... a velocity!  
 $\lambda_{ij} = \frac{2\pi}{\hbar} |M_{ij}|^2 \rho_f$   
 Recall M-H...  $k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 \frac{1}{\sqrt{4\pi\epsilon_0}} \exp(-\frac{\Delta E_{int}^2}{4T})$   
 Transition probability  $\lambda_{ij}$  Matrix element for the interaction  $M_{ij}$  Density of final states  $\rho_f$  (DoS)  
 ... divide the DoS term by  $c_{i,z_0}$ ...  
 frequency factor (s<sup>-1</sup>)  $k_f = \nu \int_{-\infty}^{\infty} \epsilon_{red}(E) W_O(\lambda, E) f(E) \rho(E) dE$   
 proportionality function (cm<sup>3</sup> eV)  $k_b = \nu \int_{-\infty}^{\infty} \epsilon_{ox}(E) W_R(\lambda, E) [1 - f(E)] \rho(E) dE$   
 $j_{E,obs} = nF(-k'_{f,E,obs} c_{O,z_0} + k'_{b,E,obs} c_{R,z_0})$   
 $k'_{f,E,obs} = \int_{-\infty}^{\infty} k'_f f(E) dE$   $k'_{b,E,obs} = \int_{-\infty}^{\infty} k'_b dE$   
 applied potential  $E = E_{F,e^-} = \bar{\mu}_e^-$   
 energy  
 R. Memming, Chapter 6, Semiconductor Electrochemistry



Marcus-Gerischer Theory (BRIEFLY) 142

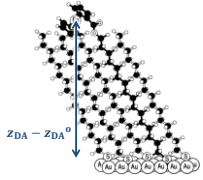


**(BRIEFLY)** 143

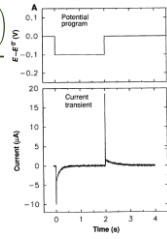
### Marcus–Gerischer Theory

$$k_{ET} = \frac{2\pi}{\hbar} |H_{DA}|^2 e^{-2\beta(z_{DA} - z_{DA}^0)} \frac{1}{\sqrt{4\pi\lambda_{AB}kT}} \exp\left(-\frac{(\lambda_{AB} + \Delta G_{AB}^0)^2}{4\lambda_{AB}kT}\right)$$

quantum adiabatic electronic coupling     classical nuclear free-energy dependence



... as an aside... why is the data biphasic for the Current?  
... RC-circuit double layer charging... followed by 1<sup>st</sup>-order ET kinetics



C. E. D. Chidsey, *Science*, **1991**, 251, 919-922  
H. D. Sikes, J. F. Smalley, S. P. Dudek, A. R. Cook, M. D. Newton, C. E. D. Chidsey & S. W. Feldberg, *Science*, **2001**, 291, 1519-1523

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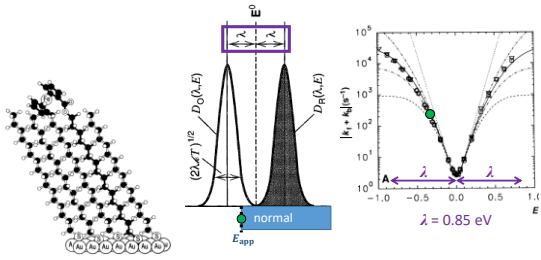
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144

### Marcus–Gerischer Theory



C. E. D. Chidsey, *Science*, **1991**, 251, 919-922

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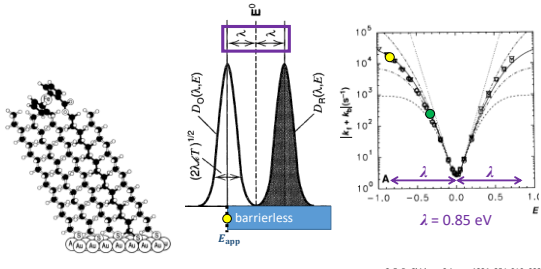
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145

### Marcus–Gerischer Theory



C. E. D. Chidsey, *Science*, **1991**, 251, 919-922

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## Marcus–Gerischer Theory

146

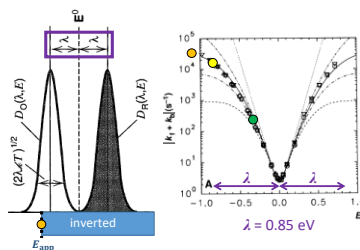
• It is easy to sweep/vary the driving force,  $\Delta G_{AB}$ , by simply changing the electrochemical potential of electrons ( $e^-$ ) in the [Metal] working electrode,  $\mu_M^{\text{[Metal]}}$ , through variations in  $E_{\text{app}}$

• But evidence of the inverted region is a little challenging to clearly observe

... what if Chidsey had plotted the derivative of his data on the right?

... what do you expect that would have looked like?... a nice Marcus parabola!

... I wish he had done that!



C. E. D. Chidsey, *Science*, **1991**, 251, 919–922

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## Today's Critical Guiding Question

147

*Since Marcus–Hush theory is sufficient to predict **all** rate constants (with knowledge of only  $\Delta G_{AB}^0$ ,  $\lambda_{AB}$ , and  $|H_{DA}|^2$ ), and mass action makes knowledge of rates facile (and transport is a chemical reaction), can Marcus–Hush theory also be used to predict rates of interfacial charge transfer, e.g. current in electrochemistry?*

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## Thermal (Dark) Reactions

148

- Activation energy, Eyring–Polanyi–Evans equation
- Marcus–Hush (electron-transfer) theory
- Transition-state character, Reorganization energies (outer and inner), Linear free energy relationships
- Molecular orbital theory, Huang–Rhys factor
- Quantum mechanical tunneling, Superexchange
- Inner *versus* Outer sphere reactions, Robin–Day classification
- Self-exchange reactions, Marcus cross relations
- Charge transfer across electrified interfaces, Butler–Volmer equation, Rate-determining step, Fermi's golden rule, Marcus–Gerischer theory

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# DISCUSSION SESSION TOPICS

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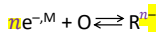
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## Butler–Volmer equation (UPDATED) 150



$j_E = nF(k'_{b,E}c_{R,x_0} - k'_{f,E}c_{O,x_0})$  ... for this example, let's assume that  $n = 1$ ...

$$j_E = Fk^0 \left\{ c_{R,x_0} \exp\left(\frac{(1-\beta)F(E-E^{0'})}{RT}\right) - c_{O,x_0} \exp\left(\frac{-\beta F(E-E^{0'})}{RT}\right) \right\}$$

Current–Potential Characteristic

$$j_E = j_0 \left\{ \frac{c_{R,x_0}}{c_R^*} \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \frac{c_{O,x_0}}{c_O^*} \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

Current–Overpotential Equation

...  $c^*$  means bulk concentration... conversion is trivial using  $E_{eq} = E^{0'} - \frac{RT}{nF} \ln \frac{c_R^*}{c_O^*}$

Butler–Volmer Equation

$$j_E = j_0 \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

... assuming a rapidly stirred solution

Tafel Slopes

$$TS_{cath}^{-1} = \left(\frac{d(\log |j_E|)}{d\eta}\right)_{cath} = \frac{-\beta F}{2.303RT}$$

$$TS_{an}^{-1} = \left(\frac{d(\log j_E)}{d\eta}\right)_{an} = \frac{(1-\beta)F}{2.303RT}$$

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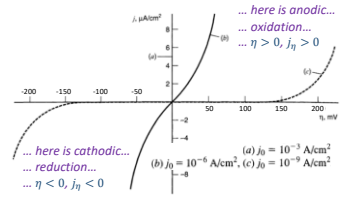
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## Butler–Volmer equation (REVIEW) 151

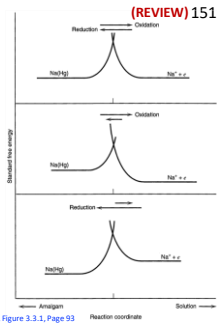
... let's examine effects of  $j_0$  (or  $k^0$ )...



Bard & Faulkner, Chapter 3, Figure 3.4.2, Page 101

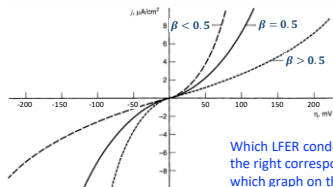
... recall that...  $\eta = (E - E_{eq}) = E_{app} - \frac{\Delta G^0}{nF}$

Bard & Faulkner, Chapter 3, Figure 3.3.1, Page 93



## Butler-Volmer equation

... let's examine effects of  $\beta$  (or Tafel slope)...

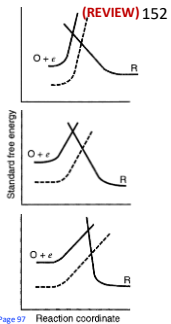


Which LFER condition on the right corresponds to which graph on the left?

Bard & Faulkner, Chapter 3, Figure 3.3.4.3, Page 101

... recall that...  $\eta = (E - E_{eq}) = E_{app} - \frac{\Delta G^0}{nF}$

Bard & Faulkner, Chapter 3, Figure 3.3.4, Page 97

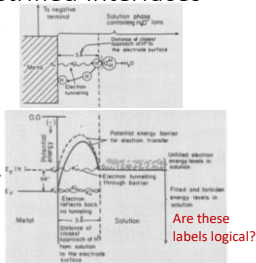
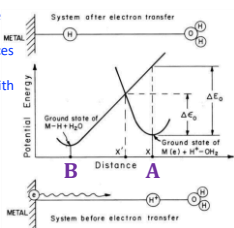


## Charge Transfer across Electrified Interfaces

(REVIEW) 153

Why are these "Potential Energy" surfaces so linear and asymmetric with "Distance"?

$E_{app} \ll \frac{\lambda_{AB}}{2}$   
... with a transition state that is more reactant-like, i.e. A



Are these labels logical?

J. O'M. Bockris, et al. *J. Chem. Educ.*, 1973, 50, 839-843

## Fermi's (Second) Golden Rule

(REVIEW) 154

$$j_E = nF(-k'_{f,E} c_{O,Z_0} + k'_{b,E} c_{R,Z_0})$$

$k'_j$  (cm s<sup>-1</sup>)... a velocity!

$$\lambda_{ij} = \frac{2\pi}{h} |M_{ij}|^2 \rho_j$$

Transition probability Matrix element for the interaction

Density of final states (DoS)

... divide the DoS term by  $c_{L,Z_0}$

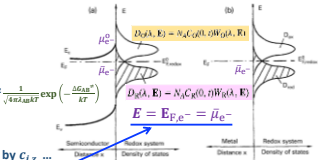
frequency factor (s<sup>-1</sup>)

$$k_f = \nu \int_{-\infty}^{\infty} \epsilon_{red}(E) W_O(\lambda, E) f(E) \rho(E) dE$$

proportionality function (cm<sup>3</sup> eV)

$$k_b = \nu \int_{-\infty}^{\infty} \epsilon_{ox}(E) W_R(\lambda, E) [1 - f(E)] \rho(E) dE$$

$$j_{E,obs} = nF(-k'_{f,E,obs} c_{O,Z_0} + k'_{b,E,obs} c_{R,Z_0})$$



applied potential R. Memming, Chapter 6, Semiconductor Electrochemistry

$$k'_{f,E,obs} = \int_{-\infty}^{\infty} k'_{f,E} dE$$

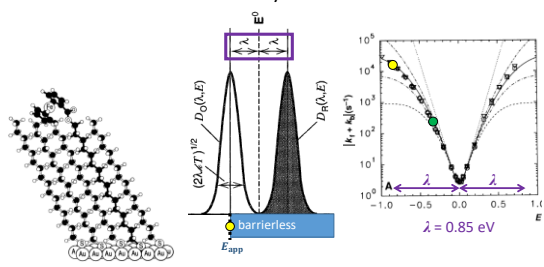
$$k'_{b,E,obs} = \int_{-\infty}^{\infty} k'_{b,E} dE$$

energy



### Marcus–Gerischer Theory

(REVIEW) 158



C. E. D. Chidsey, *Science*, 1991, 251, 919-922

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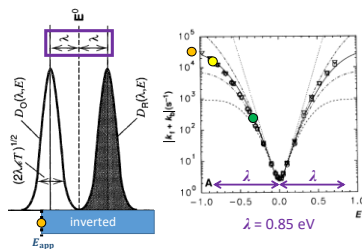
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### Marcus–Gerischer Theory

(REVIEW) 159

- It is easy to sweep/vary the driving force,  $\Delta G_{AB}$ , by simply changing the electrochemical potential of electrons ( $e^-$ ) in the [Metal] working electrode,  $\mu_e^M$ , through variations in  $E_{app}$
  - But evidence of the inverted region is a little challenging to clearly observe
- ... what if Chidsey had plotted the derivative of his data on the right?
- ... what do you expect that would have looked like?... a nice Marcus parabola!
- ... I wish he had done that!



C. E. D. Chidsey, *Science*, 1991, 251, 919-922

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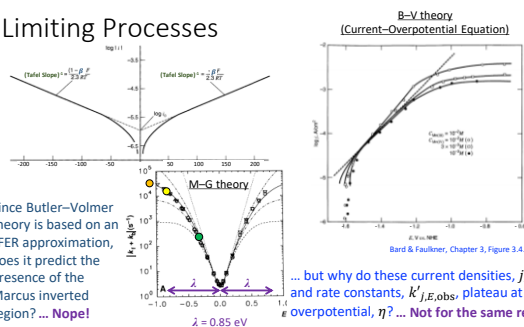
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### Limiting Processes

160



Bard & Faulkner, Chapter 3, Figure 3.4.5, Page 104

Since Butler–Volmer theory is based on an LFER approximation, does it predict the presence of the Marcus inverted region? ... **Nope!**

... but why do these current densities,  $j_E$ , and rate constants,  $k'_{j,E,obs}$ , plateau at large overpotential,  $\eta$ ? ... **Not for the same reasons!**

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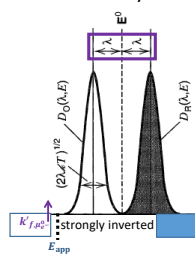
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## Marcus–Gerischer Theory

161

• Use of a semiconductor limits the electronic states to those with (approximately) a single  $\mu_{e^-}^0$ , which makes analysis of data simpler, i.e. one does not need to consider a distribution of states in the electrode

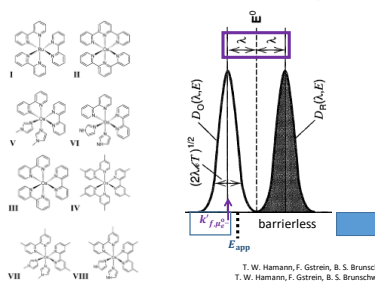
• But one cannot alter the driving force,  $\Delta G_{app}^0$ , by simply changing the electrochemical potential of electrons ( $e^-$ ) in the (S)emi(C)onductor working electrode,  $\mu_{e^-}^{SC}$ , through variations in  $E_{app}$ , because instead that changes the concentration of  $e^-$



How can one use a semiconductor to study the inverted region?  
Think solution studies... vary the molecule!

## Marcus–Gerischer Theory

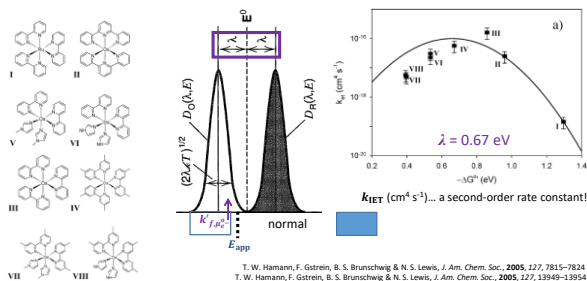
162



T. W. Hamann, F. Gotrein, B. S. Brunschwig & N. S. Lewis, *J. Am. Chem. Soc.*, **2005**, *127*, 7815–7824  
T. W. Hamann, F. Gotrein, B. S. Brunschwig & N. S. Lewis, *J. Am. Chem. Soc.*, **2005**, *127*, 13949–13954

## Marcus–Gerischer Theory

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T. W. Hamann, F. Gotrein, B. S. Brunschwig & N. S. Lewis, *J. Am. Chem. Soc.*, **2005**, *127*, 7815–7824  
T. W. Hamann, F. Gotrein, B. S. Brunschwig & N. S. Lewis, *J. Am. Chem. Soc.*, **2005**, *127*, 13949–13954

Charge Transfer across Electrified Interfaces 164

J. O'M. Bockris<sup>1</sup>  
and Z. Nagy<sup>2</sup>  
Electrochemistry Laboratory  
University of Pennsylvania  
Philadelphia, 19104

**Symmetry Factor and Transfer Coefficient**

*A source of confusion in electrode kinetics*

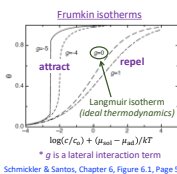
**Symmetry factor ( $\beta$ ):** change in the activation free energy of the cathodic elementary reaction step, expressed as a fraction of  $E_{app}$

**Transfer coefficient ( $\alpha$ ):** change in the (cathodic/ano)dic reaction rate expressed as a change in the activation free energy as a fraction of  $E_{app}$

Do the two transfer coefficients always have to sum to one?

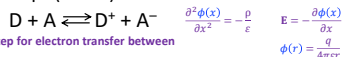
$$TS_{cath}^{-1} = \left( \frac{d(\log |j_c|)}{d|\eta|} \right)_{cath} = \frac{-\alpha_{cath} F}{2.303RT}$$

$$TS_{an}^{-1} = \left( \frac{d(\log |j_a|)}{d\eta} \right)_{an} = \frac{\alpha_{an} F}{2.303RT}$$



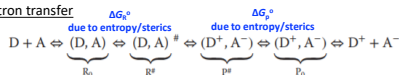
J. O'M. Bockris & Z. Nagy, J. Chem. Educ., 1973, 50, 839-843

Rate-Determining Step (RDS) 165

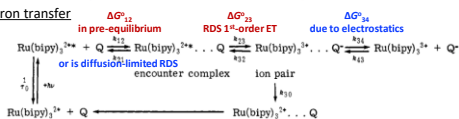


But wait... is this the elementary reaction step for electron transfer between a (D)onor and an (A)ceptor in solution?  
**Nope!**

Ground-state electron transfer

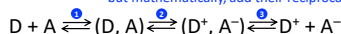


Excited-state electron transfer



RDS: Pre-Equilibrium Approximation 166

... seemingly totally unrelated... how does one determine the **observed** resistance of 3 resistors in parallel, or 3 capacitors in series? ... it's approximately equal to the smaller one... okay... but mathematically, add their reciprocals... and reciprocate



... so how does one determine the **observed** rate constant for 3 reactions in series?  
... it's the same general idea...  $\frac{1}{k_{f,obs}} = \frac{1}{k_{1f}} + \frac{1}{k_{2f}} + \frac{1}{k_{3f}}$ , where  $\frac{\partial[D]}{\partial t} = \frac{\partial[A]}{\partial t} = -k_{f,obs}[D][A]$   
... except that **Step 2** is preceded by **Step 1**... and **Step 3** is preceded by **Steps 1 and 2**  
... and only one of those **Steps** will dictate the observed rate when it is the slowest step  
... so the (pre)ceding steps must be much faster... thus assume they are in equilibrium...

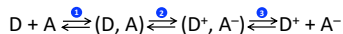
$$\frac{1}{k_{f,obs}} = \frac{1}{k_{1f}} + \frac{1}{K_1 k_{2f}} + \frac{1}{K_1 K_2 k_{3f}} = \frac{1}{k_{1f}} + \frac{K_{1B}}{k_{1f} k_{2f}} + \frac{K_{1B} K_{2B}}{k_{1f} k_{2f} k_{3f}}$$

... and for completion, what if 3 (same-order) reactions occur simultaneously, i.e. in parallel?  
... it's as easy as it seems...  $k_{f,obs} = k_{1f} + k_{2f} + k_{3f} = \frac{1}{\tau_{1f}} + \frac{1}{\tau_{2f}} + \frac{1}{\tau_{3f}} = \frac{1}{\tau_{f,obs}}$

### RDS: Steady-State Approximation

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... but what if we want to determine  $\frac{\partial[D^+]}{\partial t} = \frac{\partial[A^-]}{\partial t}$  ... and some preceding steps are fast?  
 ... when all are fast, except Step 1, won't  $k_{f,obs} = k_{1f}$  then?... not always...



Assume that the middle steps come and go quickly... so each has a small steady-state conc...  
 $\frac{\partial[(D,A)]}{\partial t} = 0 = -k_{2f}[(D,A)] + k_{1f}[D][A]$ ... and thus  $[(D,A)] = \frac{k_{1f}[D][A]}{k_{2f}}$   
 $\frac{\partial[(D^+,A^-)]}{\partial t} = 0 = -k_{3f}[(D^+,A^-)] + k_{2f}[(D,A)]$  ... and thus  $[(D^+,A^-)] = \frac{k_{2f}[(D,A)]}{k_{3f}}$   
 ... which means that  $[(D^+,A^-)] = \frac{k_{1f}k_{2f}[D][A]}{k_{2f}k_{3f}} = \frac{k_{1f}[D][A]}{k_{3f}}$   
 ... since  $\frac{\partial[D^+]}{\partial t} = \frac{\partial[A^-]}{\partial t} = k_{3f}[(D^+,A^-)]$ ... this is just equal to  $k_{1f}[D][A]$ ... and  $k_{f,obs} = k_{1f}$   
 ... which is what the pre-equilibrium approximation would have predicted too, so... consistent!



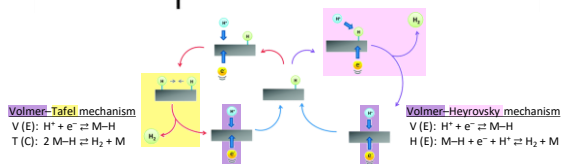
### Charge Transfer across Electrified Interfaces

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J. O'M. Bockris<sup>1</sup>  
 and Z. Nagy<sup>2</sup>  
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 University of Pennsylvania  
 Philadelphia, 19104

#### Symmetry Factor $\beta$ and Transfer Coefficient $\alpha$

A source of confusion in electrode kinetics



J. O'M. Bockris & Z. Nagy, J. Chem. Educ., 1973, 50, 839-843



### Charge Transfer across Electrified Interfaces

169

J. O'M. Bockris<sup>1</sup>  
 and Z. Nagy<sup>2</sup>  
 Electrochemistry Laboratory  
 University of Pennsylvania  
 Philadelphia, 19104

#### Symmetry Factor $\beta$ and Transfer Coefficient $\alpha$

A source of confusion in electrode kinetics

Case 1: V = pre-equilibrium; H = RDS; E = E<sub>eq</sub>; rapidly stir solution

$$j_E = 2j_0 \left\{ \frac{c_{M-H,eq}^2}{c_{H_2}^2} \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \frac{c_{M-H,eq} c_{H^+}^2}{c_{H_2} c_{H^+}^2} \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

$$j_E = 2j_0 \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \theta_{M-H} \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

$$j_E = 2j_0 \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \frac{c_{M-H,eq}^2}{c_{H^+}^2} \exp\left(\frac{-F\eta}{RT}\right) \exp\left(\frac{-\beta F\eta}{RT}\right) \right\}$$

$$j_E = 2j_0 \left\{ \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-(1+\beta)F\eta}{RT}\right) \right\}$$

$\alpha_{cath} + \alpha_{an} = (1 + \beta) + (1 - \beta) = 2!$





## Charge Transfer across Electrified Interfaces

J. O'M. Bockris<sup>1</sup>  
and Z. Nagy<sup>2</sup>  
Electrochemistry Laboratory  
University of Pennsylvania  
Philadelphia, 19104

### Symmetry Factor and Transfer Coefficient

A source of confusion in electrochemistry

Case 2:  $V = \text{RDS}$ ;  $H = \text{pre-equilibrium}$ ;  $E = E_{\text{eq}}$ ; rapidly stir solution

$$j_k = 2j_0 \left( \frac{c_{M-H} z_0}{c_{M-H} z_0} \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \frac{c_{H^+} z_0}{c_{H^+} z_0} \exp\left(\frac{-\beta F\eta}{RT}\right) \right)$$

$$j_k = 2j_0 \left( \theta_{M-H} \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right)$$

$$j_k = 2j_0 \left( \frac{c_{H_2 z_0}}{c_{H_2} c_{H^+ z_0}} \exp\left(\frac{F\eta}{RT}\right) \exp\left(\frac{(1-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right)$$

$$j_k = 2j_0 \left( \exp\left(\frac{(2-\beta)F\eta}{RT}\right) - \exp\left(\frac{-\beta F\eta}{RT}\right) \right)$$

